



ACTION:



INFORMATION:



COUNCIL MEETING NOTICE/AGENDA

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THE PUBLIC MAY LISTEN IN BY CALLING:	1-800-839-9416
PARTICIPANT CODE:	2982825

DATE: Thursday, May 26, 2016

TIME: 10:00 a.m. – 5:00 p.m.

LOCATION: Crowne Plaza Sacramento
5321 Date Ave
Sacramento, CA 95841
Phone: (916) 338-5800

Pursuant to Government code Sections 11123.1 and 11125(f), individuals with disabilities who require accessible alternative formats of the agenda and related meeting materials and/or auxiliary aids/services to participate in this meeting should contact Robin Maitino at (916) 322-8481 or email robin.maitino@sccd.ca.gov. Requests must be received by 5:00 pm, May 20, 2016.

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2.	ESTABLISH QUORUM	A. Lopez
3.	WELCOME/INTRODUCTIONS	A. Lopez
4.	SWEARING IN OF NEW COUNCILMEMBER	A. Carruthers
5.	PUBLIC COMMENTS <i>This item is for members of the public only to provide comments and/or present information to the Council on matters not on the agenda. Each person will be afforded up to three minutes to speak. Written requests, if any, will be considered first.</i>	
	6. APPROVAL OF MARCH 2016 MINUTES	A. Lopez 4

For additional information regarding this agenda, please contact Robin Maitino, 1507 21st Street, Ste. 210, Sacramento, CA 95811, (916) 322-8481. Documents for an agenda item should be turned into SCDD no later than 12:00 p.m. the day before the meeting to give members time to review the material. The fax number is (916) 443-4957.

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APPROVAL OF MARCH 2016 MINUTES

**Council Meeting Minutes
March 8, 2016**

Members Present

April Lopez (FA)
Carmela Garnica (FA)
Charles Nutt (SA)
Chisorom Okwuosa
Catherine Blakemore
Dan Boomer
David Forderer (SA)
Elena Gomez
Eric Gelber
Francis Lau (FA)
Jacqueline Nguyen (FA)
Janelle Lewis (FA)
Jay Lytton (SA)
Kris Kent
Kerstin Williams (SA)
Larry Yin
Max Duley (FA)
Ning Yang (SA)
Olivia Raynor
Rebecca Donabed (SA)
Robert Taylor (SA)

Members Present (continued)

Robin Hansen
Sandra Aldana (SA)
Sandra Smith (FA)
Steven Kapp (SA)

Members Absent

Michele Villados
Kecia Weller (SA)

Others Attending

Aaron Bishop
Aaron Carruthers
Amy Wall
Angela Lewis
Bob Giovati
Brian Winfield
Cindy Chiu
David Grady
Dawn Morley
Dena Hernandez
Dwayne LaFon

Others Attending (continued)

Gabriel Rogin
Holly Bins
Lisa Hooks
Liz Pazdral
Marty Omoto
Mary Agnes Nolan
Mary Ellen Stives
Megan Stegemiller
Meredith Raymond
Natalie Bocanegra
Pamela O'Brien
Robin Maitino
Rohmteem Mokhtari
Sarah May
Scarlett vonThenen
Sonya Bingaman
Tamica Fouts-Rachal
Tim Beatty (ILA)
Vicki Smith
Wayne Glusker
Yolanda Cruz
Valerie Buell

1. CALL TO ORDER

Chairperson April Lopez (FA) called the meeting to order at 10:16 a.m.

2. ESTABLISHMENT OF QUORUM

Chair Lopez (FA) established a quorum.

3. WELCOME AND INTRODUCTIONS

Councilmembers and others in attendance introduced themselves.

4. SWEARING IN OF NEW COUNCILMEMBER

Executive Director Aaron Carruthers swore in new Councilmember Jacqueline Nguyen (FA).

5. **PUBLIC COMMENT**

Marty Omoto with CDCAN provided public comment in which he applauded Council leadership by stating that the new leadership has brought positive change and more Council visibility in the Community.

6. **APPROVAL OF MEETING MINUTES**

Councilmembers acted to approve the January 20, 2016 meeting minutes as amended.

Motion 1

It was moved/seconded (Forderer [SA]/Nutt [SA]) and carried to approve the January 20, 2016 Council meeting minutes as amended. (See page 10 for the voting record of members present.)

Amendment 1

Correct Councilmember Charles Nutt name from "Harmon-Nutt" to "Nutt."

7. **CHAIR REPORT**

Chair Lopez (FA) reported on activities since the January Council meeting.

Chair Lopez (FA) met with staff at DDS to discuss the Developmental Center closures and chaired the Council's first Statewide Self-Determination Committee meeting. Additionally, Chair Lopez announced the signing of the new Managed Care Organization Tax bill and the Council's invitation to be involved with the California Office of Emergency Services project that if funded, would train first responders on how to work with persons with disabilities in active shooter situations.

8. **STAFF REPORTS**

Executive Director Carruthers provided an overview of his written report which focused on the areas of: legislative testimony, national representation, policy, self-advocacy and state plan activities as well as, partner collaborations and the Council's budget.

Additional staff reports were included in the packet, starting on page 16.

9. **MTARS/AIDD UPDATE**

Director Carruthers reported that since the January Council meeting, AIDD approved the January 1st MTARS submission which now brings the Council to 85% compliant. The next submission is not due until October of 2016.

10. **ADMINISTRATION ON DISABILITIES PRESENTATION**

Administration on Disabilities Commissioner Aaron Bishop, along with other key staff from the Administration for Community Living, spoke to the Council and provided information on a number of topics including: the new proposed Council funding formula, service trends over the next 10 years, the new HCBS rules, WIOA, Self-Determination, and national trends. Following the presentation, Councilmembers were afforded a 25-minute question and answer period.

Councilmembers found the presentation informative and directed staff to write a letter in support of the new funding formula.

11. **DEVELOPMENTAL CENTER CLOSURES**

Dwayne LaFon, Brian Winfield and Amy Wall from the Department of Developmental Services (DDS) presented closure plans for Fairview and the General Treatment Area of Porterville Developmental Centers to Councilmembers. This closure is the result of the loss of federal funding. Funding is scheduled to end on April 1st, therefore DDS must provide their closure plans to the Legislature by then.

The Council wanted to ensure that DDS follow the same policy guidelines that were adopted at the September 15, 2015 Council meeting regarding the Sonoma Closure.

12. **REGIONAL CENTER CONFLICT OF INTEREST WAIVER**

Staff Counsel, Natalie Bocanegra presented two Regional Center Conflicts of Interest (COI) Waiver Requests to the Council for consideration.

The first was for Mr. Scott Miller a Central Valley Regional Center (CVRC) Board Member whose family member is an employee of Exceptional Parents Unlimited (EPU). CVRC submitted Mr. Miller's COI Statement and proposed resolution plan to the Council for consideration. CVRC stated that it does not set EPU's vendor rate nor approve any contracts and that Mr. Miller's family member does not have any financial interest in or decision making authority at EPU.

Additionally, Mr. Miller has recently become employed by EPU performing light handy work therefore, having his own COI arising from his employment with EPU. According to CVRC, Mr. Miller does not have any financial interest in or decision making authority at EPU.

Motion 2

It was moved/seconded (Nutt [SA]/Forderer [SA]) and carried to adopt staff's recommendation to approve Mr. Miller's waiver request and resolution plan if the plan includes complying with the rules of Section 4622(k). (See page 10 for the voting record of members present.)

The second waiver request was for Ms. Zoua Vang, a CVRC Board Member whose husband works as a nurse for Valley Children's Hospital (VCH). CVRC has paid Purchase of Service funds to VCH for speech therapy services and for medical services and supplies in an amount less than \$4,000. CVRC states that VCH is a vendor for CVRC. CVRC submitted Ms. Vang's COI Statement and a proposed resolution plan and points out that it does not and cannot make medical referrals to VCH.

Motion 3

It was moved/seconded (Nutt [SA]/Lytton [SA]) and carried to adopt staff's recommendation to approve Ms. Vang's waiver request and resolution plan. (See page 10 for the voting record of members present.)

13. COMMITTEE REPORTS

- a. Statewide Self-Determination Committee (SSDAC) – Chair Lopez (FA) provided a brief summary of the first SSDAC that took place on March 3rd in Culver City stating that the first meeting was successful and Committee members were able to identify things that were working well in their local areas as well as areas that needed support.
- b. Legislative and Public Policy Committee – Committee Chair Janelle Lewis (FA) directed members to their packet for a summary of the February 8th meeting. Committee Chair Lewis (FA) also brought forth three (3) items for the Council's consideration.

The first item for consideration was S. 1719: the RAISE Family Caregivers Act (H.R. 3099). If passed, S. 1719 would provide for the establishment and maintenance of a National Family Caregiving

Strategy. The Committee recommended that the Council support this legislation.

Motion 4

It was moved/seconded (Forderer [SA]/Smith [FA]) and carried to adopt LPPC's recommendation is to support S. 1791/H.R. 3099 the RAISE Family Caregivers Act. (See page 10 for the voting record of members present.)

The second item brought forth for consideration was Senate Bill (SB) 1072 (Mendoza) School Bus Safety: Child Safety Alarm System. If passed, SB 1072 would require equipping all school buses with an alert system designed to prevent children from being left unattended on buses by requiring the driver to walk to the back of the bus to deactivate the system, making certain the bus is empty.

The Committee recommended that the Council not only support this bill but also sponsor or co-sponsor the bill. Councilmembers were in support of the intent of this bill and had in depth discussion on what it would mean to if the Council sponsor or co-sponsor a bill.

Motion 5

It was moved/seconded (Lytton [SA]/Forderer [SA]) and carried to support and possibly sponsor or co-sponsor SB 1072 (Mendoza) School Bus Safety: Child Safety Alarm System. (See page 10 for the voting record of members present.)

The Committee also requested that the Council consider delegating authority to Council staff to represent the Council's interests during the Legislative process as long as the positions comply with the Council's Mission and Values, the State Plan, and the Legislative Platform.

Committee Chair Lewis (FA) further explained that should the Council support this recommendation that the following steps would be implemented to ensure that staff are complying with the Council's Mission and Values, State Plan and Legislative Platform:

- (1) Staff will obtain Council Chair and/or LPPC Committee Chair approval before conveying a Council position.
- (2) The Executive Director shall update the Council on positions taken.
- (3) The Legislative Platform should be reviewed annually.

Motion 6

It was moved/seconded (Nutt [SA]/Forderer [SA]) and carried to delegate authority to Council staff to represent the Council's interests during the legislative process as long as the positions comply with the Council's Mission and Values, State Plan and Legislative Platform. (See page 10 for the voting record of members present.)

- c. Administrative Committee – Committee Chair Charles Nutt (SA) provided a summary of the January 26th Committee meeting. In addition to going over the 2016-17 Proposed Budget and current budget projections, the Committee also considered and acted upon ten (10) recommendations put forth by the Structural Deficit Workgroup.
- d. Executive Committee – Committee Chair Jenny Yang (SA) referred members to the packet for summary of the February 9th Committee meeting and then deferred to Director Carruthers for the latest 2015-16 budget projections, proposed SCDD 16-17 Budget presentation, and the Committee's structural deficit recommendations.

Following Director Carruthers Budget presentation, Council members requested a final draft of the proposed 2016-17 budget be presented at the May 2016 Council meeting.

In 2013, Council staff discovered a “funding gap” in the amount of money allocated to the Council by the Governor versus the amount of money actually awarded to the Council by the Administration on Intellectual and Developmental Disabilities (AIDD).

In 2014, Council staff identified an actual structural deficit of more than \$700k in the basic state grant. Staff also identified the cause of this deficit being the result of the Governor and Legislature approved salary increases for civil servants that AIDD does not account for. Instead, AIDD's formula is based on the amount of funding they receive from Congress and a formula they apply to all states.

Once the cause of this shortfall was identified, Executive Director Carruthers began initiating steps to restore the deficit. This included reaching out to all staff for cost saving ideas. Once collected, those ideas went to a workgroup devised of Council staff of all levels throughout the state. The workgroup vetted through each of those

recommendations and presented ten (10) recommendations to the Council's Administrative Committee for consideration and action before going before the Executive Committee.

The Administrative Committee voted in favor of nine (9) of those recommendations and requested that additional information be provided to the Executive Committee regarding the Monterey/Central Coast office consolidation.

On February 9, 2016, the Executive Committee reviewed, discussed and approved the nine (9) recommendations put forth by the Administrative Committee. Furthermore, the Committee reviewed the additional information provided by staff on the Silicon Valley/Monterey/Central Coast consolidation recommendation. After significant discussion, the Executive Committee acted to recommend approval of the office consolidation as well.

Through every step of this process, staff and Committee members adhered to the following values when making decisions.

- (1) Cost saving ideas must be aligned with SCDD's mission
- (2) Recommendations should minimize impact on existing SCDD staff
- (3) The workgroup is part of a larger, public process
- (4) Actions will only be taken in a thoughtful, deliberate manner
- (5) SCDD must live within our means.

Below are the recommendations put forth for the full Council's consideration and action.

- (1) Eliminate the vacant Community Program Specialist II (field representative) position in the Orange County Office
- (2) Eliminate the vacant Community Program Specialist II (field representative) position in the Bay Area Office
- (3) Eliminate the Legislative Specialist position at SCDD Headquarters
- (4) Eliminate the Office Technician (front office staff) position at the North State Office

- (5) Eliminate the Monitoring and Technical Assistance Review System (MTARS) Committee
- (6) Limit the Legislative and Public Policy Committee (LPPC) to 6 face-to-face meetings per year
- (7) Limit the Employment-First Committee to the statutorily-required members (state and federal partners plus a self-advocate)
- (8) Limit the Executive Committee to 5 face-to-face meetings per year
- (9) Consolidate the Central Coast and Silicon Valley/Monterey Bay Regions into one region and have one office
- (10) Co-Locate SCDD Headquarters and the Sacramento Regional Office

Motion 7

It was moved/seconded (Lytton [SA]/Forderer [SA]) to adopt the Executive Committee's recommendation with the caveat that the Regional representation in the Central Coast stay in place and that staff find additional ways that the Employment First Committee achieve \$12,000 in savings. MOTION FAILED (See page 10 for the voting record of members present.)

Motion 8

It was moved/seconded (Nutt [SA]/Lytton [SA]) and carried to adopt the Executive Committee's 10 cost saving recommendations as presented above. (See page 10 for the voting record of members present.)

- e. State Plan Committee – A written summary of the February 2nd meeting was provided to Councilmembers starting on page 117 of the packet.
- f. Employment First Committee – A copy of the January 12th “draft” meeting minutes was provided to Councilmembers starting on page 132 of the packet.

14. **STATEWIDE SELF-ADVOCACY NETWORK (SSAN) REPORT**
Councilmember David Forderer (SA) provided a written report to the Council highlighting the items covered at the December 2-3, 2015 SSAN meeting.
15. **CALIFORNIA EMPLOYMENT CONSORTIUM FOR YOUTH (CECY) REPORT**
Councilmember Steven Kapp (SA) and Olivia Raynor provided a brief update on CECY.
16. **NEXT MEETING AND ADJOURNMENT**
The next Council meeting set for May 26, 2016 at the Crowne Plaza Sacramento Northeast Hotel in Sacramento. The meeting was adjourned at 4:35 p.m.

Name	Motion 1	Motion 2	Motion 3	Motion 4	Motion 5	Motion 6	Motion 7	Motion 8
Aldana, Sandra	For	For	For	For	For	Abstain	Abstain	Oppose
Blakemore, Catherine	For	Not Present						
Boomer, Daniel	For		For	For	For	Not Present	Not Present	Not Present
Donabed, Rebecca	For	For	For	For	For	For	For	For
Duely, Max	Abstain	For						
Forderer, David	For	For	For	For	For	For	Not Present	Not Present
Garnica, Carmela	For	For	For	For	Abstain	For	Abstain	For
Gelber, Eric	For	Abstain						
Gomez, Elena	For	Abstain	Abstain	Abstain	Abstain	Abstain	Not Present	Not Present
Hansen, Robin	For	For	For	For	Abstain	For	Abstain	Abstain
Kapp, Steven	Abstain	For	Oppose	For	Oppose	Abstain	For	Oppose
Kent, Kris	For	Not Present	Abstain	Abstain	Abstain	Abstain	Not Present	Not Present
Lau, Francis	For	For	For	Abstain	For	Abstain	For	For
Lewis, Janelle	For	Abstain	For	For	For	For	For	For
Lopez, April	For	Oppose	Oppose	For	For	For	Oppose	For
Lytton, Jay	For	For	For	For	For	For	For	For
Nguyen, Jacqueline	Abstain	Abstain	For	Abstain	For	For	For	For
Nutt, Charles	For	For	For	For	For	For	Oppose	For
Okwuosa, Chisorom	Abstain	Abstain	Abstain	Abstain	Abstain	Not Present	Not Present	Not Present
Raynor, Olivia	For	Oppose	Oppose	For	For	For	Oppose	Oppose
Smith, Sandra	For	For	For	For	For	For	Abstain	For
Taylor, Robert	For	For	For	For	For	For	For	Oppose
Williams, Kerstin	For	For	For	For	For	For	For	For
Yang, Ning	For	For	For	For	For	For	Oppose	For
Yin, Larry	For	For	For	For	Abstain	For	Abstain	Abstain

STAFF REPORTS



Chief Deputy Director's Report May 26, 2016

Began as Acting Chief Deputy Director on November 10, 2015.

Managed SCDD internal operations. Collaborated with staff to maximize efficiency and maintain a high level of performance, in order to advance our advocacy, capacity building and systems change efforts.

Policy

- Worked with the SCDD Chairperson and staff to implement the first Statewide Self-Determination Advisory Committee meeting.
- Participated in the Department of Developmental Services' Quality Management Advisory Group for the closure of Sonoma Developmental Center.
- Met with the new Executive Director of Golden Gate Regional Center to clarify roles and responsibilities and identify opportunities for collaboration.
- Along with the SCDD Executive Director, attended a meeting of the Lanterman Housing Alliance to discuss statewide housing policy.
- Attended the Alameda County Transition Faire and met with community partners to discuss SCDD's role in raising awareness on disability benefits information.
- Attended an Alameda County Board of Supervisors Hearing on Asian Community Mental Health.
- Gave a presentation on Self-Determination to the Family Empowerment Centers' Board of Directors.

Administrative

- Began implementation of the Council's Structural Deficit Recommendations.
- Supported the Deputy Director of Regional Office Operations to manage the State Plan process.
- Worked with the Deputy Director of Regional Office Operations to redesign and execute the Cycle 39 Program Development Grant process.
- Facilitated the execution of contracts with the Departments of Social Services and Developmental Services.
- Staffed the Administrative, Executive and Membership Committees.
- Supported the Deputy Director of Policy and Planning with the completion of an internal Communication Plan and the 2015 Employment-First Report.
- Began supervision of the Quality Assurance and Clients' Rights Advocacy/Volunteer Advocacy Services programs; met with the Quality Assurance Manager and the Department of Developmental Services regarding the National Core Indicator survey process.
- Responded to a Compliance Review from the State Personnel Board.
- In coordination with the Executive Team, clarified short and long-term organizational goals and reached consensus on a desired organizational culture.

Personnel

- Worked with the Personnel Officer on recruitment, screening and interview processes for vacant positions at SCDD Headquarters; conducted a Manager's training on the screening process.
- Conducted interviews for a new SCDD Bay Area Manager.
- In coordination with Executive Team, addressed on-going training and personnel needs.



DEPUTY DIRECTOR OF POLICY AND PLANNING REPORT MAY 2016

Policy

- Continuously communicated Council's positions on legislation to key committees, legislators, and like-minded organizations.
- Worked with Lanterman Coalition regarding Council's support of 10% increase for service providers.
- Attended and testified at Budget Sub 3 Hearing on DC closures.
- Testified on ABLE Act bill in Assembly Revenue and Tax Committee.
- Met with Senator Cannella's staff.
- Met with Assemblyman Wood's staff.
- Met with Veterans Affairs Committee staff.
- Met with Senator Mendoza's staff multiple times.
- Met with Senator Allen's staff.
- Met with Senate Transportation and Housing Committee staff.
- Met with Senate Republican Caucus staff.
- Attended Developmental Center closure hearing.
- Met with Senator Beall's staff.
- Met with Senator Vidak's' staff.
- Testified on AB 2785 in the Assembly Education Committee.
- Met with Senator Huff's staff.
- Met with Senator Cannella's staff.
- Met with Senator Monning's staff.
- Met with Senate Education Committee staff.
- Met with Senator Block's staff.
- Met with Senator Pan's staff.
- Met with Senator Hancock's staff.
- Met with Senator Galgiani's staff.
- Met with Senator Leyva's staff.
- Worked with Senator Mendoza's office to arrange press conference on SB 1072.
- Testified on SB 1072 in Senate Appropriations.
- Prepared press and committee statements for SB 1072.

- Attended all three SB 1072 hearings.
- Met with Senate Education Committee consultant on SB 1072.
- Testified on SB 1024 in Senate Human Services.
- Met with Senate Appropriations consultant on SB 1024.
- Testified on SB 1024 in Senate Appropriations.
- Prepared talking points for SB 1072.
- Attended Lanterman Coalition rally at Capitol.
- Visited every senate and assembly office to drop off floor alerts.
- Attended Lanterman Coalition special planning meeting.
- Continued ongoing monitoring and changes of our internal bill tracking system.
- Planning/strategy calls as well as ongoing communication with LPPC chair.
- Prepared LPPC agenda and materials.
- Prepared support letters.
- Numerous ongoing phone and email contacts with legislative offices and lobbyists.
- Legislative presentation for SSAN.
- Attended Joint Budget Subcommittee meeting on health and human services.
- Attended Olmstead Advisory Committee meeting.

Staff

- Working with HQ management to properly allocate distribution of workload and management responsibilities within the office.
- Continued to work with the new acting Chief Deputy and acting Deputy Director for Regional Center Operations to implement positive changes at SCDD HQ.
- Worked with SCDD management to address ongoing personnel matters.
- Offered input on and review of draft communications plan.
- Worked with staff on SSAN/SAAC issues.
- Worked with staff on EFC issues, including draft EFC report.



REPORT FROM
ACTING DEPUTY DIRECTOR OF REGIONAL OFFICE OPERATIONS
TIME PERIOD: March 01, 2016 to April 30, 2016

The Acting Deputy Director of Regional Office Operations (DDROO) provides leadership, mentoring, support, and supervision to the SCDD regional offices. The DDROO also supervises the SCDD Planning Analyst and provides staffing and support to the State Plan Committee and its plan development and reporting processes and procedures.

Regional Operations:

- Oversee and Coordinate with all Regional Managers to provide direction on established State Plan Workgroups, monitor state plan activities and implementation to ensure that goals and objectives are met by deadlines.
- Supervise regional office operations and facilitate monthly managers meetings (at minimum, meetings rotate between face-to-face one month and teleconference the next month) to identify needs, coordinate efforts and disseminate information to best support regional managers and staff.
- Provide initial training and support for Staff Services Manager IIs that are new to SCDD and ongoing training and support for all Managers that oversee the 13 regional offices by identifying training needs and coordinating training efforts to enhance skills.
- Oversee the process for Regional Advisory Committee input and/or recommendations to the SCDD via the Managers of the 13 regional offices.

Professional Development:

- Coordinate with our HR Specialist and Executive Team to identify initial and ongoing management training which enhances the skill set of management and which meets state requirements.
- Planning, Development and Implementation of Regional Manager's Training scheduled for May 25, 2016 to develop the 2017-2021 State Plan work plan.

Highlights of State Plan Activities (full version posted at www.scdd.ca.gov)

REGIONAL OFFICE ACTIVITIES FOR:

<u>REGIONAL OFFICE (RO)</u>	<u>MANGER</u>
North Coast (NCRO)	Dawn Morley
North State (NSRO)	Sarah May
Sacramento (SACRO)	Sonya Bingaman
North Bay (NBRO)	Lisa Hooks
Bay Area (BARO)	Gabriel Rogin
North Valley Hills (NVHRO)	Dena Hernandez
Silicon Valley/Monterey Bay (SVMBR))	David Grady
Sequoia (SEQRO)	Yolanda Cruz
Central Coast (CCRO)	Vicki Smith
Los Angeles (LARO)	Cindy Chiu
Orange County (OCRO)	Scarlett VonThenen
San Bernardino (SBRO)	Tamica Fouts-Rachal
San Diego/Imperial (SDIRO)	MaryEllen Stives

- Goal 1 - Individuals with developmental disabilities have the information, skills, opportunities and support to advocate for their rights and services and to achieve self-determination, independence, productivity, integration and inclusion in all facets of community life. SCDD SDIRO facilitated a team of self-advocates, San Diego Regional Center Service Coordinators, SDSU Nursing program representatives and local service providers to hold the 2016 self-advocacy conference on March 5. The event began with a resource fair which included local resources, health information and hands on experiential stations covering the topics of infection control, basic anatomy and blood pressure/heart rate checks. Following the resource fair was the opening session keynote presentation from Maria Marquez and she spoke to the theme of the conference, "Imagine the Possibilities". During her presentation she shared stories about her life and how through her many experiences she learned how to advocate for herself and her family, which resulted numerous doors being opened and providing her with opportunities such as self-determination. Other workshop topics offered were Disaster Preparedness, Internet Safety, Nutrition and Anger Management. Following the workshops was free time and then the dinner dance award banquet.

The theme for the dinner was a formal ball and many of the participants really enjoyed having an opportunity to get dressed up for a formal event. One hundred and twenty people came to be a part of the Imperial Valley People First conference this year and based on feedback and comments it was a very successful event. The following organizations assisted with the planning of the conference. San Diego Regional Center, Arc- Imperial Valley, San Diego State Nursing Program, Easter Seals, Sure-Helpline and Imperial Valley People First. Funds leveraged for the conference were through sponsorship of the event by Molina Healthcare and California Health and Wellness. Additionally, the Foundation on Developmental disabilities provided \$4500.00 to offset the cost of the conference to ensure the registration rates remained at an affordable rate.

- Goal #2 - Individuals with developmental disabilities and their families become aware of their rights and receive the supports and services they are entitled to by law across the lifespan, including early intervention, transition into school, education, transition to adult life, adult services and supports, and senior services and supports. SCDD LARO hosted and participated in the Modified Consent Decree Chanda Smith v. LAUSD presentation held at the LARO. Dr. David Rostetter, Independent Monitor and Dr. Jaime Hernandez, Research Director of the Modified Consent Decree in the case of Chanda Smith v. LAUSD made a presentation on the status of the modified consent decree and provided a Q&A session with Los Angeles Regional Advisory Committee (LA RAC) members. Read "Chanda Smith, the Face of Los Angeles' Special Education Reform" at <http://blogs.edweek.org/edweek/speced/2016/02/chanda-smith-decree-los-angeles.html> Watch "LA's Bold Move to Reform Special Education" on PBS at <http://www.pbs.org/newshour/bb/los-angeles-bold-move-to-reform-special-education/> As the hosting agency, SCDD LA Regional Office staff provided handout materials after the meeting to enhance future collaboration with meeting attendees. Handouts include "SCDD Los Angeles Regional Office: What We Do/Training & Event Calendar", "Self Determination Information Sheet", "Self-Advocacy Board of Los Angeles County" brochure, and "AIDD Fact Sheet".
- Goal #3 - Individuals with developmental disabilities and their families express the degree to which they are satisfied with their services and the extent to which they feel their needs are being met. SCDD NSRO participated in organizing a unique community event in collaboration with Far Northern Regional Center on 3-23-16 in Anderson for cultural exploration and insight into the Native American culture. The facilitator is a cultural competency educator, Lynn E. Fritz who addressed the history of the Native American community in the area, the trust and implications around the service system and the sacred rituals of the culture and people. The

event included participation in drumming ceremony. The event was attended by 43 community members and well received through survey feedback. The conclusion of the event focused on issues of trust by the Native American culture and why services are not accessed frequently. Far Northern Regional Center will be developing new approaches to families surrounding the lessons learned from this cultural experience. Dollars Leveraged: Far Northern Regional Center paid for the room at the Gaia Hotel and coffee = \$800.00

- Goal #4 - Public safety agencies, other first responders and the justice system get information and assistance to be knowledgeable and aware of the needs of individuals with developmental disabilities so they can respond appropriately when individuals with developmental disabilities may have experienced abuse, neglect, sexual or financial exploitation or violation of legal or human rights. Public Safety Staff received and reviewed responses to letters mailed to law enforcement agencies (LEAs) and law enforcement training agencies (LETAs) offering outreach and training/technical assistance re best practices for working with individuals with disabilities in the community. The letters sought information on the frequency of law enforcement contact with individuals with developmental disabilities and asked if the LEA currently has training in place to facilitate interaction with individuals with disabilities. As of the end of March SCDD North Valley Hills office have received 6 responses from LEAs; Ripon PD, Modesto PD, Manteca PD and Escalon PD, Sonora PD and the Tuolumne County Sheriffs Department. No LEA reported data compilation in regard to contact with the developmentally disabled nor did any LEA request technical assistance from SCDD re best practices for inter- action with the developmentally disabled community
- Goal #5 - Individuals with developmental disabilities and their families get the information to be prepared for emergencies. Staff facilitated 60 contacts to identify agencies interested in becoming a member of California Community Network, developed by the Office of Access and Functional Needs (OAFN) at the California Governor's Office of Emergency Services (Cal OES) for the purpose of enhancing communication in order to identify needs and locate resources for individuals with access and functional needs impacted by disasters. OAFN utilizes the network to communicate with partner organizations during disasters and recovery. When OAFN was established in 2008, the office was tasked with enhancing communication in order to identify needs and locate resources for individuals with disabilities and access and functional needs before, during and after disasters. The California Community Network is utilized throughout the state. It is essential for governmental agencies that are designed to respond to disasters to have relationships and networks with local resources of all kinds. Of the 60 agencies

contacted, 16 completed and submitted the membership form to become involved with California Community Network.

- Goal #6 - Young adults with developmental disabilities and their families get the information and support to be prepared for and experience a successful transition to adult life. State Council staff co-sponsored the Life After High School conference to assist transition aged youth and their families with attaining tools for planning for the future. SCDD staff opened the conference by presenting on our role and responsibilities. Jay Nolan Center for Person Centered Planning (PCP) presented on PCP and demonstrated some graphic facilitation techniques to assist parents and consumers in determining their PCP goals. They did a role play and provided examples for parents. OCRA presented on Alternatives to Conservatorship to parents, provided strategies, contact information, and answered questions for families. State Council staff also provided information packets and pamphlets to the group. State Council handed out materials (50 English and 50 Spanish) on: SCDD Then & Now -- Pamphlet on Turning 18 - SCDD overview -- Upcoming Trainings (Dress for Success, Housing, SSI, Self-Determination) -- Trainings Offered
- Goal #7 - Children birth to 3 who are at risk of or have a developmental delay and their families receive the early intervention services they need to achieve their potential. SCDD NCRO, as part of the Child Care Planning Council and the Redwood Empire Association for the Education of Young Children, assisted with planning a free family fair In Ukiah on April 16, 2016. The fair was a collaboration with local business and agencies serving children and families to promote the Month of the Young Child and Child Abuse Prevention Month. Collaborators were the Child Care Planning Council and the Redwood Empire Association for the Education of Young Children, Head Start, State Preschool, Early Start, Family Resource Centers, First 5, and local Child Care Centers. The outreach is to help parents become aware of community services available to them such as Family Resource Centers, Early Start, State Preschool, Head Start, Health Insurance, and early intervention services. Each booth provided child development information and also provided a learning activity that children could participate in; face painting, gardening, nutrition information, music, bubble games, children's crafts, wood craft, and games. (ORGS OTH=15)
- Goal #8 - The State of California will adopt an Employment First policy which reflects inclusive and gainful employment as the preferred outcome for working age individuals with developmental disabilities. SCDD SDIRO participated in the monthly planning meeting for the 2016 Jobtoberfest Employment Fair. This year I will be working on the Employment First, scholarship and volunteer

subcommittees. All subcommittees have been assigned, forms are being updated for distribution and scholarship information has gone out. Each year the San Diego Committee On Employment Of People With Disabilities awards \$1000.00 college scholarships. This year we will be giving out 4 scholarships. Members present were: Dept. of Rehabilitation, San Diego Regional Center, Employment Development Dept., Arc-San Diego, Mental Health Systems, Goodwill Industries, Outreach Consulting, Inc., Deaf Community Services, San Diego Workforce Partnership, Episcopal Community Services, Career Services, Inc., San Diego Futures Foundation, Project Independence and Cal Trans. (People Active OTH=23)

- Goal #9 - Working age adults with developmental disabilities have the necessary information, tools and supports to succeed in inclusive and gainful work opportunities. SCDD staff participated in VIP screening of Autism: Behind the Camera, which focuses on young adults with autism and the resources needed when students with autism "age out" of high school. The screening presented one vocational program within the film industry trying to change the unemployable outcome for autistic individuals. The screening at KVCR television studios provided an opportunity for representatives from the school districts, Department of Rehabilitation, Inland Regional Center, and other partners to view the program and discuss the duplication efforts of this program in the southern California region. Currently, the program exists in Livermore, Sacramento, and Bakersfield. It is the hope that this 12-week program can be offered to II/DD individuals and train them for employment. The program costs approximately \$8500 per person and is currently funded at the other locations using Regional Center dollars. Representatives participated in a round table discussion of the program, and spoke to two participants in the program who are now employed in this industry. Participants have ranged in abilities from severe behaviors to moderate, and have proven to be effective and successful. Further, replication of the program is being supported by the Autism Society of the Inland Empire and they are speaking with possible venue locations within the Inland Empire area to conduct these trainings. KVCR's studio has also offered to support the program, if developed, with internship and training opportunities. Possible jobs opportunities may include: sound technicians, writers, prop and costume designers, film/video editors, producers, videographers, and camera operators. Individuals learn the soft skills needed to be successful on any job, and most importantly, they gain experience necessary to be self confident and successful in life.
- Goal #10 - Individuals with developmental disabilities understand their options regarding health services and have access to a full range of coordinated health, dental and mental health services in their community. Staff provided presentation

and information about our regional coalition, Diversability Advocacy Network (DAN), in collaboration with DAN Grant Manager from Disability Action Center (DAC) to 15 people at the Adult Services Coordinating Council on 3-16-16. The presentation included the history of the two agencies working together on a previous grant through The SCAN Foundation to the current grant funded by The SCAN Foundation. The collaborative partners were introduced including Far Northern Regional Center, Passages - Area Agency on Aging, State Council on Developmental Disabilities, North State Office, Disability Action Center and Disability Rights California, Office of Clients' Rights Advocacy. The accomplishments of activities since DAN was created in 2012 to present was shared, including organizing numerous community forums around the education and awareness of the mandatory changes to Medi-Cal managed care as part of the Rural Expansion Project for our rural counties, developing and maintaining relationships with the managed care health plans that serve our 9 counties, etc. The current projects were highlighted including a community training that is being organized for June 23, 2016 in Chico. The training is titled "Medi-Cal 2020: Opportunities for Integration and Collaboration." The information was well received and we were invited to attend the meetings on a regular basis. Staff will return in May 2016 for the next meeting.

- Goal #11 - Individuals with developmental disabilities have access to affordable and accessible housing that provides control, choice and flexibility regarding where and with whom they live. SCDD staff coordinated with the Housing Authority for the County of San Bernardino (HACSB) to provide a collaborative training regarding the public housing eligibility, program size, application process waiting list and the difference between public housing, housing choice voucher, section 8 and other housing programs and the specific requirements per program. SCDD staff then provide a power point presentation "Living Options" and gave additional information regarding living options for individuals with intellectual and developmental disabilities provided by the regional centers.
- Goal #12 - Affordable and accessible housing units are developed in local communities to expand housing options for individuals with developmental disabilities. The SCDD staff attended the Lanterman Housing Alliance Thought Leaders Conference in Sacramento along with 35 other individuals and agencies involved in housing initiatives for people with I/DD. Three SCDD staff were on a panel to discuss SCDD's advocacy and involvement in housing initiatives. Staff highlighted the new CMS Settings Rules and the potential relationship between CMS, Self-Determination, and expanding housing options for people with I/DD. The conference had panels with Advocacy Partners, Policy Partners, and Funding Partners. The second day was focused on developing a Statewide Housing White

Respectfully Submitted: Vicki L. Smith, Deputy Director (A), Regional Office Operations

Paper and drafting a housing strategic plan. SCDD committed to advocating for increased housing options for people with I/DD and collaborating with this Alliance. Lanterman Housing Alliance is a group of 30 agencies all sharing a common goal of increasing housing options for I/DD.

- Goal #13 - Individuals with developmental disabilities and their families have access to community based services and supports available to the general population (such as recreation, transportation, childcare, etc.) that enable them to live productive and inclusive lives. SCDD SACRO had an information table at the CEDD Assistive Technology For Fun! event. It was attended by 75 individuals with I/DD, their families, school personnel, and professionals. In addition to information tables, there was a presentation on Robots and Kids: A Recipe for Fun! by Al Cook, and an AT for Fun! presentation by Nathan Streeter (a self-advocate). Individuals had the opportunity to learn about assistive technology resources to increase access to educational resources, AT technology that is available to borrow and try, vendors who sell AT, and how AT can assist individuals to have a voice, communicate, and facilitate their inclusion in housing, employment, education, and all facets of their lives. All vendors were given 5 minutes to present to the entire group what our agency's mission and activities are. At our table, we shared information on Employment First, IPP and Regional Center Services, IHSS, Self-Determination, SCDD, Special Education Rights and Responsibilities. (People Trained SA=30, FA=30, OTH=15 / ORGs OTH=10)
- Goal #14 - Public policy in California promotes the independence, productivity, inclusion and self-determination of individuals with developmental disabilities and their families. SCDD NVHRO was the lead on the Legislative Panel for the CHOICES Conference. Staff put together a power point and a folder of materials for the legislators. Staff facilitated the session along with other CHOICES planning team members. Included in the folder was SCDD Legislation Positions Support List, SCDD Summary of Governor's Proposed Budget, ***Coalition of Local Area Service Providers (CLASP) wrote a letter and a sheet of highlights from our conference speakers about their lives. The session is the last of the day so the audience is less than the beginning session. (PP Educated OTH=8 / GP SA=5, FA=10, OTH=50)
- Goal #15 - Individuals with developmental disabilities and their families have access to information and resources in ways that reflect their language and cultural preferences. SCDD LARO attended JSPACC Seminar to present Self-Determination Overview. JSPACC has about 200 members from California, New York, and Japan. SCDD LA Regional Manager met with the Founder of JSPACC and the Multicultural Affairs Advocate with DRC to discuss future collaboration to

outreach and meet the needs from the under-served population. SCDD LA Regional Manager was invited to the next Opening Doors Coalition meeting, which include parent groups from Chinese, Korean, Vietnamese, Japanese, Armenian and Spanish. SCDD LA Regional Office staff provided handout materials after the meeting to enhance future collaboration with meeting attendees. Handouts include "SCDD Los Angeles Regional Office: What We Do/Training & Event Calendar", "Self Determination Information Sheet", "Self-Advocacy Board of Los Angeles County" brochure, and "AIDD Fact Sheet". Also the flyer of Cycle 39 Grant Proposal was shared to be linked with JSPACC newsletters. (ORGs OTH=3 / People Trained FA=25, OTH=4)

SCDD AT WORK INSIDE THE DEVELOPMENTAL CENTERS

SCDD provides comprehensive clients' rights advocacy services (CRA) and volunteer advocacy services (VAS) for persons with I/DD who are residents of state developmental centers and the state operated community facility through an interagency agreement with DDS.

Welfare and Institutions Code Section 4433 requires DDS provide clients' rights advocacy services for all consumers in its service delivery system. To avoid the potential for a conflict of interest or appearance of a conflict DDS contracts with SCDD.

SCDD employs one full-time CRA and one full-time VAS Coordinator at Sonoma DC, Porterville DC, and Fairview DC. SCDD employs one full-time CRA/VAS staff person at Canyon Springs Community Facility.



Census as of April 1, 2016

Sonoma DC	356
Porterville DC	358
Fairview DC	235
Canyon Springs CF	48
Total	997

Project Activity for February and March 2016

Sonoma Developmental Center

The CRA assisted a client in filing and resolving a fair hearing; worked with the Sonoma County court officials on multiple writ of habeas corpus hearings, facilitated bioethics review for client admitted to outside acute care facility; provided 3 rights trainings; investigated and responded to Title 17 complaints. The VAS Coordinator is a member of the Residential Transition Advisory Group which is developing transition protocols to insure smooth transitions for SDC residents into the community. Advocate and Coordinator brought concerns about staffing shortages to the Program Director of the nursing units.

Porterville Developmental Center

Acting CRA attended 9 human rights committee/behavior management meetings, 4 IPP meetings, 10 denial of rights reviews, reviewed 16 restricted access plans, 2 handcuff debriefing, attended 3 bioethics meetings. VAS Coordinator conducted 2 volunteer advocates trainings.

Fairview Developmental Center

VAS Coordinator and advocates attended 8 IPPs; 3 Transition Planning meetings; 2 special team meetings; 2 denial of rights reviews; 2 60-day post placement meetings; 1 year community meeting; ARFPHSN placement meeting and 30 day meeting. CRA attended 8 acute crisis meetings, 14 transition mtgs, 10 denial of rights meetings, conducted 6 rights training, 18 IPP/ special teams, 1 bioethics meeting, weekly human rights/behavior support meetings, attended court twice, 2 community based meetings; attended People First meeting; filed 2 Writs of Habeas Corpus.

Canyon Springs Community Facility

CRA provided 6 rights trainings, investigated 29 alleged rights violations, provided 11 rights consultations, reviewed 1 denial of rights; participated in 4 transition meetings, reviewed 24 incident reports, reviewed 2 facility policies for rights concerns, attended 2 human rights meetings, facilitated 2 self-advocacy meetings, provided 22 clients with rights training. VAS toured 1 recruit, received 2 applications, presented to 2 local colleges, provided 2 VAS trainings on independent living services and mandated reporting, VAS program participated in 4 transitional meetings.



Holly R. Bins
CRA/VAS Project Manager 29
holly.bins@scdd.ca.gov



▶ PROJECT IN FULL OPERATION 1



▶ CFS NUMBERS 2



▶ MOVER LONGITUDINAL STUDY FAQ 4

Quality Assessment

A PROJECT OF THE STATE COUNCIL ON DEVELOPMENTAL DISABILITIES

www.scdd.ca.gov/qap.htm

Over 12, 000 CFS Received, as SCDD Begins Face to Face Interviews

As of this date, the SCDD has received **12,135** Child Family Surveys (CFS). Of the surveys received, **8,279** are English, **3,626** are Spanish, while the remaining **230** are other Medi-cal threshold languages combined. The Council is well on it's way of meeting it's statewide goal of **12,780** for the CFS.

As the end of the Y7 cycle nears, the face to face interview component began in April. Using mostly Volunteer interviewers paid with stipends, SCDD has the goal of interviewing **596** adult consumers statewide.

Additionally, The implementation of the

Mover Longitudinal Study (MLS) started in March.

The MLS requires the SCDD to interview individuals (over time) who move out of the developmental center after January 1, 2016. SCDD will interview these Movers 3 months, 6 months, 1 year and 2 years after they move into the community. See FAQ on page 4 for more information about the Mover Longitudinal Study.

See accompanying spreadsheet for more details



NCI Surveys

National Core Indicators™ (NCI), strives to provide states with valid and reliable tools to help improve system performance and better serve people with intellectual and developmental disabilities and their families.

Through a contract with Department of Developmental Services (DDS), State Council on Developmental Disabilities (SCDD), using the NCI Survey tools, collects quantitative data on consumer satisfaction, provision of services, and personal outcomes. Data collection is completed through face to face interviews with consumers as well as mail-in surveys from families.

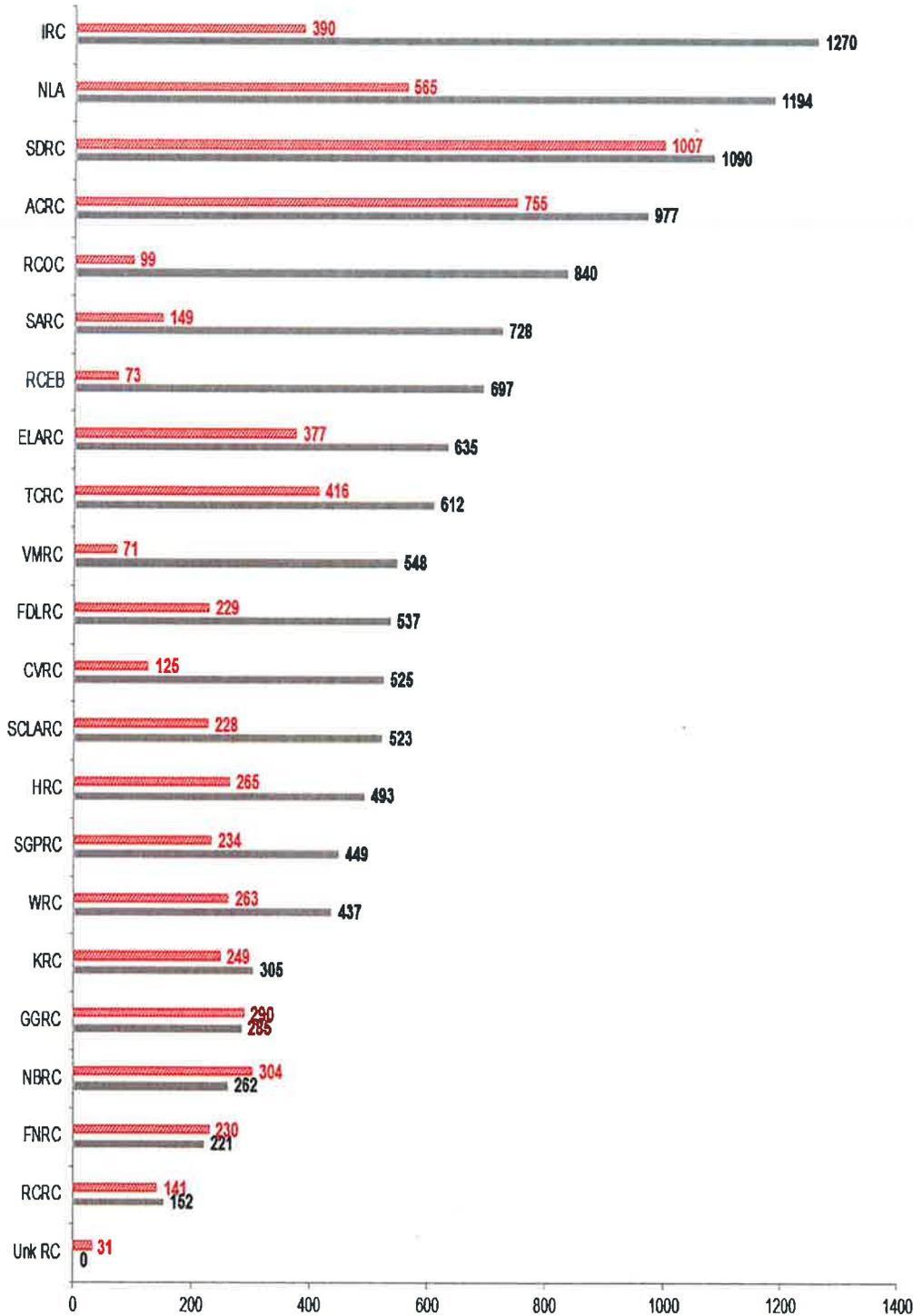
This data collection effort will enable DDS to evaluate the quality and performance of California's developmental service system and among all of the 21 regional centers over time.

For results of past surveys go to: www.dds.ca.gov/QA/index.cfm

Child Family Surveys Completed FY 2015-2016

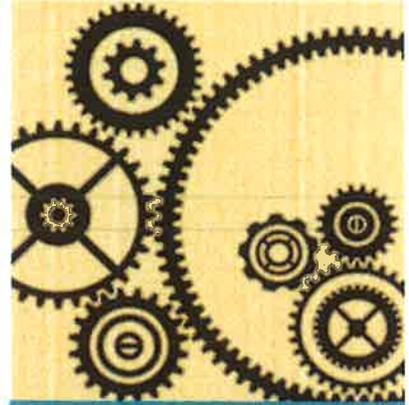
Completed** Goal

** Surveys Entered into ODESA



Note: Surveys are not considered complete until SCDD enters them into ODESA, the on-line data entry system.

QUALITY ASSESSMENT PROJECT



QA Team

North Coast

Deborah Kindley, CPS II
Theresa Ladao, OT

Sacramento

Sonya Bingaman, CPS II
Kathy Brian, CPS II
Monique von Schimmelmann, OT

Bay Area

Angel Marshall, CPS II
Ron Usac, CPS II
Valerie Buell, OT

North Valley Hills

George Lewis, CPS II
Marigene Tacan-Regan, OT

Los Angeles County

Melody Goodman, CPS II
Thomas Hamlett, CPS II
Bruce Harrell, CPS II
Dinah Pio de Roda, OT
Therese Rastatter, OA

Orange County

Jenny Villanueva, OA

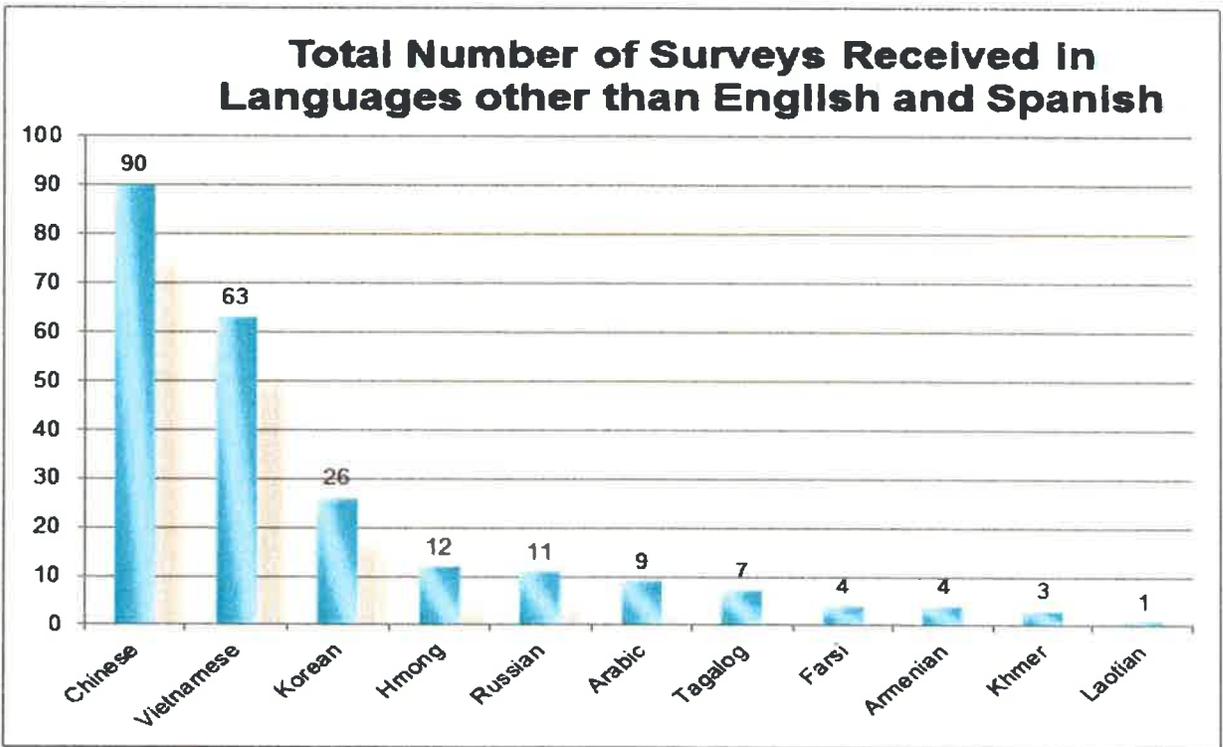
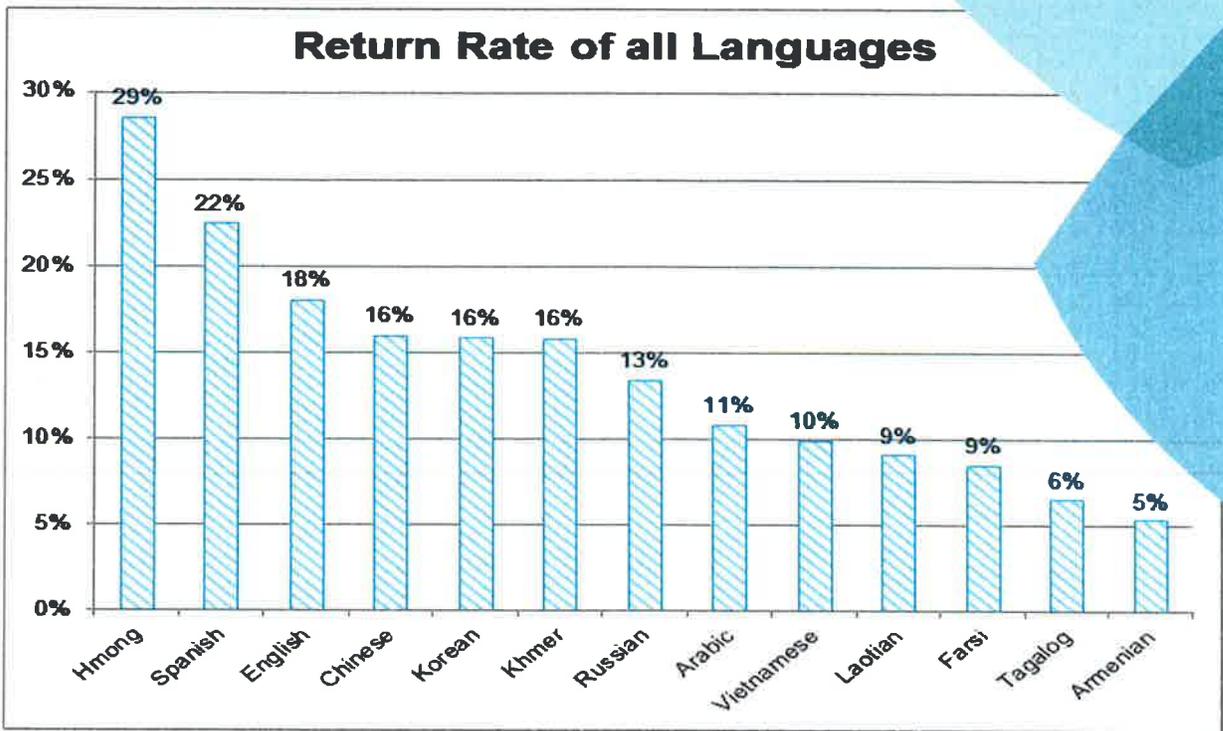
San Diego/Imperial

Nancy Dow, CPS II
Katie Bgatov, OT

Headquarters

Ruby Villanueva, CPS III

For more information about the QA project, send email qaproject@scdd.ca.gov or visit www.scdd.ca.gov/qap.htm



8,279 English CFS Received

Spanish CFS Received 3,626

Mover Longitudinal Study

- **WHAT IS THE STATE COUNCIL ON DEVELOPMENTAL DISABILITIES (SCDD)?** The Council is an independent state agency that advocates, promotes and implements policies and practices that achieve self-determination, independence, productivity and inclusion in all aspects of community life for Californians with developmental disabilities and their families. Through its Quality Assessment Project, SCDD implements the NCI surveys through a contract with Department of Developmental Services (DDS).
- **WHAT IS NCI?** National Core indicators (NCI) is a project that collects information about the satisfaction and experiences of people with developmental disabilities receiving services.
- **WHAT IS A MOVER LONGITUDINAL STUDY?** This is a study (over time) of approximately the first 125 individuals who moved from a developmental center beginning January 2016, and after.
- **HOW MANY TIMES WILL THE INDIVIDUAL BE INTERVIEWED?** Because this is a longitudinal survey, the individual will be interviewed 3 months, 6 months, 1 year, and 2 years after he/she moved into the community.
- **WHAT ARE CORE INDICATORS?** The core indicators are standard measures used across states to assess the outcomes of services provided to individuals and families. Indicators address key areas of concern including employment, rights, service planning, community inclusion, choice, and health and safety.
- **WILL REGIONAL CENTER KNOW HOW WE ANSWERED?** All information collected will remain confidential. Names and identifiable information will be kept confidential and will not be published or shared.
- **THE INDIVIDUAL DOES NOT TALK OR USE AN AUGMENTATIVE COMMUNICATION DEVICE?** Our interviewers have been trained to use a User Friendly Version for Section 1 of the interview. This User Friendly Version includes the use of pictures. For other sections of the survey, a proxy may be used.
- **WHAT IS A PROXY?** Someone who knows the individual well and can provide answers to questions that pertain directly to observable, measurable occurrences—such as how often the person participates in specific community events.
- **HOW WILL THE INFORMATION BE USED?** The study will satisfy stakeholder requests and help DDS answer questions about how and why changes in services occur over time for individuals transitioning into the community system. The information collected will also help to inform the development and coordination of transitional and community services for those who will move from a developmental center in the future.
- **I HAVE QUESTIONS ABOUT SERVICES FOR THE PERSON I SERVE. WHO SHOULD I CONTACT?** Regional Center continues to coordinate services for the person you serve. Please continue to contact the assigned service coordinator if you have questions or concerns about any services the person you are serving is receiving.

**DDS DIRECTOR
NANCY BARGMANN**

NANCY BARGMANN, DIRECTOR

NANCY BARGMANN was appointed Director of the California Department of Developmental Services (DDS) on March 4, 2016, by Governor Edmund G. Brown Jr. The Department, in conjunction with the 21 private nonprofit regional centers located throughout the State, provides services and supports to over 300,000 Californians with developmental disabilities.

Director Bargmann has extensive experience in the developmental services field, both in the private, non-profit and corporate sectors, and the State. From 2012-2015, she served as deputy director of the Community Services Division at DDS where she developed policy and oversaw regional center operations and services.

Since 2015, she has served as associate executive director at the San Gabriel/Pomona Regional Center. From 2009 to 2012, she held a variety of positions at Home Ownership for Personal Empowerment Inc., including executive director and business consultant, and held several positions at the MENTOR Network from 1998 to 2009, including vice president of operations, vice president of business development and California state director.

In addition, she held several positions at the Inland Regional Center from 1985 to 1998, including community services director, resource manager, adult services program manager and consumer services coordinator. Ms. Bargmann started her career working with individuals who have developmental disabilities as a direct service professional with The Arc of Orange County in 1984.

A native Californian, she was born and raised in San Bernardino.

Director Bargmann earned a Master of Business Administration degree from the Pepperdine University School of Business and Management, and a Master of Science degree in social work from San Diego State University.

Last Updated: 4/20/2016

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AIDD FUNDING FORMULA

FY 2017: All new formula	
Disclaimer: Once Congress enacts an appropriation for FY 2017 and after ACL has updated the data used in the formula for determining award amounts, the allotments will be adjusted accordingly. The final State allotments will be provided to the grantee after a final appropriations bill is passed by Congress.	
State/Territory	Developmental Disabilities Councils (DDC)
Alabama	\$1,281,724
Alaska	\$482,800
Arizona	\$1,401,497
Arkansas	\$765,337
California	\$6,480,165
Colorado	\$874,464
Connecticut	\$682,170
Delaware	\$482,800
District of Columbia	\$482,800
Florida	\$3,629,280
Georgia	\$2,050,711
Hawaii	\$482,800
Idaho	\$482,800
Illinois	\$2,599,472
Indiana	\$1,474,164
Iowa	\$766,697
Kansas	\$608,652
Kentucky	\$1,189,572
Louisiana	\$1,362,432
Maine	\$482,800
Maryland	\$998,421
Massachusetts	\$1,352,698
Michigan	\$2,519,176
Minnesota	\$1,015,388
Mississippi	\$905,404
Missouri	\$1,354,759
Montana	\$482,800
Nebraska	\$482,800
Nevada	\$536,291
New Hampshire	\$482,800
New Jersey	\$1,538,315
New Mexico	\$485,504
New York	\$4,061,454
North Carolina	\$2,001,426
North Dakota	\$482,800
Ohio	\$2,819,218
Oklahoma	\$888,582
Oregon	\$773,744
Pennsylvania	\$2,997,281
Rhode Island	\$482,800
South Carolina	\$1,086,402
South Dakota	\$482,800
Tennessee	\$1,447,277
Texas	\$4,767,409
Utah	\$629,136
Vermont	\$482,800
Virginia	\$1,487,420
Washington	\$1,159,270
West Virginia	\$732,199
Wisconsin	\$1,299,270
Wyoming	\$482,800
American Samoa	\$251,427
Guam	\$251,427
Northern Mariana Islands	\$251,427
Puerto Rico	\$2,482,711
Virgin Islands	\$251,427
TOTAL	\$72,270,000

SCDD FY 2016-17
BUDGET

State Council on Developmental Disabilities



Council Budget

October 1, 2016 – September 30, 2017

Released 03-10-2016

Explanation of Terms

- Expenditure – Items bought and paid for within a fiscal year
- Calendar Year – January 1 thru December 31
- State Fiscal Year – July 1 thru June 30
- Federal Fiscal Year – October 1 thru September 30



What is a Budget?

- An itemized summary of likely income and expenses for a given period
 - Basic State Grant (Federal Funds)
 - Quality Assessment (State Contract)
 - Clients' Rights Advocates/Volunteer Advocacy Services (State Contact)



The Budget Process

- January - The Governor releases a proposed budget
- February- Staff starts preparing Council's budget for review
- February- Administrative Committee and Executive Committee review and approve/ revise proposed budget for Council review
- March & May- Full Council review of proposed budget



Governor's Proposed Budget

- The Legislature is currently reviewing the proposed budget
- Legislature and Governor must adopt and enact a budget by June 30, 2016
- If SCDD's budget is changed, staff will bring it back for Council review



Spending Authority

- Governor's spending authority is the amount of money the Governor's Office and Dept. of Finance determine SCDD needs to operate
- No department is allowed to spend more than what the Governor authorizes
- Spending authority does not always match the amount the department receives in actual dollars



2016-2017 Proposed Budget

- The proposed SCDD budget is in alignment with a vision of ‘living within our means’
- To increase transparency and consistency, the proposed budget allocations are based on actual grant dollars received rather than on the Governor’s budgeted authority
- It is with all staff & management positions filled



Council Budget Summary

State Council on Developmental Disabilities
 State Council Budgeted Base
 2016-17 Budget with Recommended Policy Reductions (Final)

	Fiscal Year 2016-17			TOTAL
	Federal Funds	State Grants	State Grants	
1. Personnel Services				
Net Salaries & Wages	\$ 2,256,000	\$ 821,000	\$ 1,163,000	\$ 6,260,000
Temporary Help / Honorarium	\$ 88,500	\$ -	\$ -	\$ 88,500
Staff Benefits	\$ 1,614,500	\$ 719,000	\$ 511,000	\$ 2,472,800
Total Personnel Services	\$ 4,879,000	\$ 1,719,000	\$ 1,774,000	\$ 7,992,000
2. Operation Expenses and Equipment				
General Expense	\$ 40,000	\$ 75,000	\$ 28,500	\$ 144,000
Printing	\$ 40,000	\$ 8,000	\$ 21,500	\$ 70,000
Communications	\$ 70,000	\$ 28,000	\$ 28,000	\$ 126,000
Postage	\$ 28,000	\$ 14,000	\$ 40,500	\$ 79,000
Travel-Local	\$ 280,000	\$ 94,000	\$ 50,500	\$ 364,500
Out-of-State Travel	\$ 3,000	\$ -	\$ -	\$ 3,000
Training (Tuition and Registration)	\$ 7,000	\$ 15,000	\$ 1,000	\$ 23,000
Facilities Operation (Rent)	\$ 465,000	\$ 24,000	\$ 211,000	\$ 660,000
Utilities	\$ 8,000	\$ -	\$ 2,000	\$ 8,000
Hardware/Software Licenses	\$ 411,000	\$ 134,000	\$ 165,000	\$ 660,000
General Contract Services	\$ 3,000	\$ 3,000	\$ 12,000	\$ 18,000
Data Processing (Software, Supplies & Mail)	\$ 4,000	\$ 18,000	\$ 85,000	\$ 117,000
Statutory Cost Allocation Plan (SWACAP)	\$ 25,000	\$ -	\$ -	\$ 25,000
Other Items of Expense	\$ 5,000	\$ 177,000	\$ 108,000	\$ 290,000
Total Operating Expense and Equipment	\$ 1,361,000	\$ 568,000	\$ 724,000	\$ 2,693,000
3. Community Service	\$ 268,000	\$ -	\$ -	\$ 268,000
4. Total Council Budget (1 + 2 + 3)	\$ 6,448,000	\$ 1,777,000	\$ 2,508,000	\$ 10,733,000
5. Total Basic State Grant Award	\$ 6,486,900	\$ -	\$ -	\$ 6,486,900

Summary:

SCDD's spending is determined by two factors: 1) the Governor's Spending Authority, and 2) dollars we receive from funding sources

The Governor's Spending Authority is the amount of money the Governor's Office and Department of Finance determine SCDD needs to operate. AIDD and our contracts with DDS determine how much SCDD receives in grant and contract funding. These two numbers may not be the same but both determine how much SCDD can spend.

Currently, the Governor's budget allocates more spending authority than SCDD receives in actual dollars for the Basic State Grant (BSG). In the past, SCDD built the proposed budget based on the amount of Governor's Spending Authority. This proposed budget is based on dollars we believe SCDD will receive.

In the proposed budget, all staff and management positions are identified as being filled.

Proposed SCDD FY 16-17 Budget

1	Salaries & Benefits	\$7,804,000
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02	General Expense	\$ 144,000
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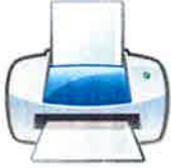
General Expense : Subscriptions, Dues, Misc. office supplies, meetings , conferences



Proposed SCDD FY 16-17 Budget

03	Printing	\$ 70,000
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Printing : Pamphlets, brochures, photocopier expense



04	Communications	\$ 125,000
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Communication: Telephone - includes fax machine, #800, teleconferences
Cell phone - cell phone expenditures



Proposed SCDD FY 16-17 Budget

<p>05 Postage <small>Postage: All postage, postage meter includes courier, bulk mail, FedEx and other overnight charges</small></p>		<p>\$ 79,000</p>
<p>06 Travel <small>Travel : Mileage reimbursement for Council members; Administ per diems, airfare</small></p>		<p>\$ 397,000</p>

Proposed SCDD FY 16-17 Budget

<p>07 Training</p>		<p>\$ 23,000</p>
<p>08 Facilities Operations (rent)</p>		<p>\$ 700,000</p>

Proposed SCDD FY 16-17 Budget

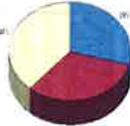
09	Utilities		\$ 8,000
10	Interdepartmental Contracts Contracts with Dept. of Social Services, Office of Technology, CalHR, Dept. of General Services etc.		\$ 700,000

Proposed SCDD FY 16-17 Budget

11	External Contract Services <small>External Contracts: Janitorial services, water delivery services, copier contracts, business supplies contract</small>		\$ 18,000
12	Data Processing & Equipment <small>Data Processing: Pc leases, netbooks (QA contract), supplies, software licenses</small>		\$ 117,000

Proposed SCDD FY 16-17 Budget

13 Statewide Cost Allocation (SWACAP) \$ 25,000
SWACAP: Represents General Fund recoveries of statewide general administrative costs (i.e., indirect costs incurred by central service agencies) from federal funding sources (Government Code [GC] Sections 13332.01 through 13332.02). Statewide Cost Allocation Plan (SWCAP), like Pro Rata, apportions central services costs to state departments. However, SWCAP includes only statewide central services that are allowable under federal cost reimbursement policies.



14 Other Items of Expense \$ 290,000
Other Items of Expense Misc. client services, late payment penalties, facilitation stipends and travel costs. Anything that does not fall under any of the previous categories



Total Council Budget

Basic State Grant (BSG) <small>Federal Funds</small>	\$6,480,165
Quality Assessment (QA) <small>State Contract</small>	\$2,03,000
Client's Rights Advocates Volunteer Advocacy(CRA/VAS) <small>State Contract</small>	\$1,777,000
Total Council Budget	\$10,760,165



**State Council On Developmental Disabilities
State Council Budgeted Base
2016-17 Budget with Recommended Deficit Reduction Impact**

	Fiscal Year 2016-17			
	Basic State Grant (BSG)	Clients' Rights Advocates/ Volunteer Advocacy	Quality Assessment	TOTAL
	Federal Funds	State Grants	State Grants	
<u>1. Personal Services:</u>				
Net Salaries & Wages	\$ 3,256,000	\$ 821,000	\$ 1,183,000	\$ 5,260,000
Temporary Help / Honorarium	\$ 66,500	\$ -	\$ -	\$ 66,500
Staff Benefits	\$ 1,514,500	\$ 398,000	\$ 565,000	\$ 2,477,500
Total Personnel Services	\$ 4,837,000	\$ 1,219,000	\$ 1,748,000	\$ 7,804,000
<u>2. Operating Expense and Equipment:</u>				
General Expense	\$ 40,000	\$ 75,000	\$ 29,000	\$ 144,000
Printing	\$ 40,000	\$ 9,000	\$ 21,000	\$ 70,000
Communications	\$ 70,000	\$ 25,000	\$ 30,000	\$ 125,000
Postage	\$ 25,000	\$ 14,000	\$ 40,000	\$ 79,000
Travel-in-State :	\$ 280,000	\$ 64,000	\$ 50,000	\$ 394,000
Out-of-State Travel	\$ 3,000	\$ -	\$ -	\$ 3,000
Training (Tuition and Registration)	\$ 7,000	\$ 15,000	\$ 1,000	\$ 23,000
Facilities Operations (Rent)	\$ 465,000	\$ 24,000	\$ 211,000	\$ 700,000
Utilities	\$ 6,000	\$ -	\$ 2,000	\$ 8,000
Interdepartmental Services:	\$ 411,000	\$ 134,000	\$ 155,000	\$ 700,000
External Contract Services	\$ 3,000	\$ 3,000	\$ 12,000	\$ 18,000
Data Processing (Software, Supplies & Misc.)	\$ 4,000	\$ 18,000	\$ 95,000	\$ 117,000
Statewide Cost Allocation Plan (SWACAP)	\$ 25,000	\$ -	\$ -	\$ 25,000
Other Items of Expense	\$ 4,000	\$ 177,000	\$ 109,000	\$ 290,000
Total Operating Expense and Equipment	\$ 1,383,000	\$ 558,000	\$ 755,000	\$ 2,696,000
3. Community Grants	\$ 260,000	\$ -	\$ -	\$ 260,000
4. Total Council Budget (1 + 2 + 3)	\$ 6,480,000	\$ 1,777,000	\$ 2,503,000	\$ 10,760,000
5. Total Basic State Grant Award	\$ 6,480,165			

**State Council On Developmental Disabilities
State Council Budgeted Base
2016-17 Budget with Recommended Deficit Reduction Impact**

Fiscal Year 2016-17				
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5. Total Basic State Grant Award	\$ 6,480,165			

SPONSORSHIP REQUESTS

COUNCIL AGENDA ITEM DETAIL SHEET

ISSUE: Sponsorship request from California Foundation for Independent Living Centers.

AMOUNT REQUESTED: \$999.00

BACKGROUND: The California State Council on Developmental Disabilities (Council) supports events that promote self-advocacy, leadership and education, thereby enabling people with developmental disabilities and their family members to expand their knowledge and skills.

ANALYSIS/DISCUSSION: The California Foundation for Independent Living Centers would like to request State Council sponsorship for their upcoming Disability Organizing Network's (DONetwork) #VoteDisability 2016 GOTV Leadership Conference.

The conference will be held June 15-16, 2016 in Pasadena, CA. The event objective is to bring people with disabilities from all over California and across the nation to learn get-out-the-vote (GOTV) skills and develop strategies to increase the disability vote for the 2016 General Election. They expect about 150 participants and our target audience is disability organizers, self-advocates, consumers, care providers, family members and allies who will work together to increase the disability vote. It is our hope that at least one third of attendees will be consumers or self-advocates volunteering on local teams.

This two-day conference will begin by exploring the national messaging from the presidential race that will motivate voters with disabilities to go to the polls. Then they will break out to study campaign messages for healthcare, housing, transportation and employment, and learn how to craft local messages that move voters. Plain language will be encouraged to provide universal access to motivational GOTV messages.

On the second day participants will roll up their sleeves and polish the chrome on their wheels to get deep into grassroots training and strategy. This day is dedicated learning how to grow a larger volunteer team, voter registration, and voter list building and enhancement and voter contact to

drive our community to vote. They will explore technology and study best practices and lessons learned from seasoned campaign professionals.

A donation of \$999.00 from the SCDD will help them fund scholarships for low-income people with disabilities to participate fully in the conference. It will also allow them to make sure teams from across California in rural areas are also engaged in this very important election.

The conference will engage approximately 15 speakers, presenters and panelists representing such organizations as Rock The Vote, Respectability, UC Davis, professional communication consultants, previous national and California-based campaigns and disability organizations. They are continuing to finalize the agenda but the planning committee expects at least 3 presenters to be consumers from a disability non-profit or government agency.

COUNCIL STRATEGIC PLAN GOAL/OBJECTIVE: Individuals with developmental disabilities, their families and their support and/or professional staff will increase their knowledge and skills so as to effectively access needed educational and/or community-based services through at least 225 trainings, conferences, workshops, webinars, and/or resource materials developed by the Council on topics such as rights under IDEA, rights under California's Lanterman Act etc. on an annual basis.

PRIOR COUNCIL ACTIVITY: Since the beginning of FY 2015-16, the Council has awarded \$4,995 for sponsorship requests. The Council allocates \$25,000 per fiscal year for sponsorships. The fiscal year began July 1, 2015.

STAFF RECOMMENDATION: To approve California Foundation for Independent Living Centers request for sponsorship.

ATTACHMENTS(S): California Foundation for Independent Living Centers request for sponsorship request for sponsorship.

PREPARED: Kristie Allensworth, May 6, 2016



Chair
Ana Acton
 FREED Center for Independent Living
 Grass Valley

Vice Chair
Larry Grable
 Services Center for Independent Life
 Claremont

Treasurer
Paul Van Doren
 Community Access Center
 Riverside

Secretary
Jessie Lorenz
 Independent Living Resource Center San
 Francisco

Policy Chair
Louis Frick
 Access to Independence
 San Diego

Member at Large
Susan Rotchy
 Independent Living Resources
 Concord

**State Independent Living Council
 Representative**
Jimmie Soto
 Independent Living Center of Kern County
 Bakersfield

Past Chair
Sheri Burns
 Community Resources for Independent Living
 Hayward

- CAC Riverside
- CCCIL Salinas
- CID San Mateo
- CIL Berkeley
- DMC Garden Grove
- DRC Long Beach
- DSLRC Santa Rosa
- ILRC Santa Barbara
- ILSNC Chico
- MCIL Marin
- PIRS Auburn
- RICV Fresno
- RSI San Bernardino

Teresa Favuzzi
 Executive Director

1234 H Street, Suite 100
 Sacramento, CA 95814
 (916) 325-1690
 (916) 325-1695 TDD
 (916) 325-1699 FAX
www.cfilc.org



Disability Organizing Network



Aaron Carruthers
 Executive Director
 State Council on Developmental Disabilities
 1507 21st Street, Suite 210
 Sacramento, CA 95814

May 5, 2016

Dear Aaron,

On behalf of California Foundation for Independent Living Centers, it is my pleasure to invite you to become a valued ***sponsor*** of the ***Disability Organizing Network's (DONetwork) #VoteDisability 2016 GOTV Leadership Conference***. Per the instructions for submitting funding request packet I have underlined the requested information.

The conference will be held June 15th and 16th, 2016 in Pasadena, CA . The event objective is to bring people with disabilities from all over California and across the nation to learn get-out-the-vote (GOTV) skills and develop strategies to increase the disability vote for the 2016 General Election. We expect about 150 participants and our target audience is disability organizers, self advocates, consumers, care providers, family members and allies who will work together to increase the disability vote. It is our hope that at least one third of attendees will be consumers or self advocates volunteering on local teams.

A gracious donation of \$999.00 from the SCDD will help us fund scholarships for low-income people with disabilities to participate fully in the conference. It will also allow us to

make sure teams from across California in rural areas are also engaged in this very important election. The total cost for this event is approximately \$37,300.00 and other sponsors include AT&T, Modern Support Services, Department of Rehabilitation, California State Independent Living Council and the Administration on Community Living.

CFILC and the DOnetwork is grateful for the past support we have received from the SCDD for our programs: in 2015 we received a sponsorship of \$999.00 for the California #ADA25 Conference; and in 2013 and 2015 we received \$4,999.00 and \$2,500 sponsorships respectively for Disability Capitol Action Day.

Beginning last year the DOnetwork trained non-partisan national GOTV disability advocates at the National Council on Independent Living and Association of Programs for Rural Independent Living conferences. And this year we have trained advocates and organizers throughout Georgia, Massachusetts and in these areas of California: Orange County, Los Angeles, Claremont, Fresno, Santa Barbara, San Jose, East San Francisco Bay Area, Northern California Sierra and Humboldt County. And now we are connecting these folks in June at the ***#VoteDisability 2016 GOTV Leadership Conference*** to march forward to Election Day, November 8, 2016.

This two-day conference will begin by exploring the national messaging from the presidential race that will motivate voters with disabilities to go to the polls. Then we will break out to study campaign messages for healthcare, housing, transportation and employment, and learn how to craft local messages that move voters. Plain language will be encouraged to provide universal access to motivational GOTV messages.

On the second day participants will roll up their sleeves and polish the chrome on their wheels to get deep into grassroots training and strategy. This day is dedicated learning how to grow a larger volunteer team, voter registration, and voter list building and enhancement and voter contact to drive our community to vote. We will explore technology and study best practices and lessons learned from seasoned campaign professionals.

The conference will engage approximately 15 speakers, presenters and panelists representing such organizations as Rock The Vote, Respectability, UC Davis, professional communication consultants, previous national and California-based campaigns and disability organizations. We are continuing to finalize the agenda but the planning committee expects at least 3 presenters to be consumers from a disability non-profit or government agency.

Outreach for conference participation will be conducted through internet publication and announcements. We will specifically target DOnetwork partners such as Regional Centers, the State Council on Developmental Disabilities, The ARC, disability community and non-profit organizations, veterans groups and social justice coalitions. As with all DOnetwork events and programs we seek to increase participation from the whole community supporting people with disabilities including family, friends, neighbors, co-workers and care providers.

The DOnetwork is a program of California Foundation for Independent Living Centers (CFILC). Our goal is to provide resources and support for disability organizers and create change on a grassroots level. We like to say that we organize for accessible communities where ever people with disabilities live, work, learn, shop, play and vote!

Can we count on the SCDD's partnership to help many volunteer disability advocates participate in the conference and celebrate this benchmark anniversary of the ADA? Your tax deductible donation check may be made payable to *California Foundation for Independent*; and mailed to *CFILC, ATTN: Ted Jackson, DOnetwork Conference, 1234 H Street, Suite 100, Sacramento, CA 95815.*

Please do not hesitate to call me if you require additional information. The conference webpages can be viewed at <http://disabilityorganizing.net/vote-disability/index.php>. Below my signature I have provided addendums with a conference agenda and budget. The conference steering committee extends this invitation in gratitude of your organization's continual support for people with disabilities.

Respectfully,



Ted Jackson
Community Organizing Director
California Foundation For Independent Living Centers
1234 H Street, Suite 100
Sacramento, CA 95814
(916) 325-1690 x 337
(916) 769-8821 Mobile & Text
(916) 325-1699 FAX

Addendum A: Conference Agenda

Wednesday, June 15, 2016

9:00 a.m. to 5:00 p.m.

Morning Session

Welcome Plenary

We will begin the conference with speakers who will explore Electoral Power, defining it for conference participants and demonstrating its possible uses in the future.

Voters with Disabilities: Current Experiences with California's Voting Systems
Researchers from the University of California Davis will present their outcomes from a recent study of California voters with disabilities. This study explored current barriers to voting, successes for replication and how voters with disabilities are reacting to the fast moving changes to voting systems.

National Election Messaging Training

Disability policy advocates and campaign professionals have recently worked together to develop a catalogue of position on our issues in the presidential race. Participants will learn how to use messaging from the presidential election to motivate voters with disabilities to turnout and vote.

Luncheon

Social Media is Served!

The conference luncheon is serving up a plate of social media. While folks enjoy their lunch and some social time, we will be joined by disability advocates who have been successful building support through social media. Get ready by following #CripTheVote and #VoteDisability!

Afternoon Session

Election Issue Briefing Breakouts

The conference will resume after lunch and give participants an opportunity to break out into smaller groups and learn more specifically how they can talk about employment, healthcare, transportation and housing on the campaign trail. Attendees will leave with the information they need to use these issues as motivators to turn out voters with disabilities.

Creating Your Local Messaging and Media Training

Learn from media and communications professionals on how to decipher the most important issues in your local community and develop messaging around them to turn out voters with disabilities. Folks will also learn the best practices for working with the media to amplify the message in your community

Panel: Why the Disability Vote in 2016?

We will wrap up our first day with a panel of experts who will explore the issues that have sparked increased interest from people with disabilities for the 2016 Election. This panel discussion will set the tone for the strategic work folks will be doing the following day.

Thursday, June 16, 2016

8:30 a.m. to 5:00 p.m.

Morning Sessions

Increasing Our Voter Registration

This training will give participants the chance to learn the Do's and Don'ts of voter registration. We will also explore new cutting edge technology that can help you increase voter registration numbers while retaining voter data for GOTV closer to Election Day.

Building Power By Building Your Team

Every community that builds power starts small and does it one person at a time. In this interactive grassroots training session attendees will learn techniques for developing volunteer leadership, recruiting and maintaining volunteer teams and get practical experience by participating in a phone bank. Then we will learn from experienced professionals how to translate a large volunteer force into a voting bloc through pledge gathering.

Luncheon Session

Power is on the Plate!

The second conference luncheon is an opportunity for folks to discuss what they have learned so far, share ideas and build relationships for their GOTV campaigns. Lunch time speakers will include leaders who can tell the story of how they built power in their communities by engaging in elections and turning out voters.

Afternoon Sessions

Get-Out-The-Vote Planning Breakouts

Conference participants will separate into three afternoon breakouts to learn more about their respective GOTV strategies.

California

Teams from California will gather to learn their state field plan complete with goals, activities and regular reporting.

National

Teams from across the nation will meet to develop a national collaborative structure for sharing best practices, communications and cumulative reporting.

Youth

Young participants will have their own space to work with each other and develop practices for increasing the disability youth vote on campuses and in social circles.

Panel: Building Power through Practical Learning

We will close the conference with panel of experienced election operatives will share their stories from the field, including success and lessons learned. This is a great opportunity to get a good start on your GOTV campaign by hearing from the folks who have been successful at turning out voters on Election Day.

Addendum B: Conference Budget

#VoteDisability 2016 GOTV	Expense Budget
Leadership Conference Budget	
<i>Conference Expenses</i>	
<i>(Budgeted for 150</i>	
<i>Participants)</i>	
Travel Scholarships	
30 Scholarships	10,000.00
Conference Planning Meetings	
Travel	500
Food and Beverage	
Box Lunches - 300QTY (\$15	4,500.00
per): Onsite Catering	
Bottled Water - 300QTY	100
Soft Drinks: Onsite Catering	250.00
Morning Coffee and Snacks:	250.00
Onsite Catering	
Saturday Continental	800.00
Breakfast: Onsite Catering (100	
ppl)	
Location Rental	
Lake Avenue Church	3000

Audio Visual (Microphones)	1000
Accommodations	
ASL Interpreters	2500
Simultaneous Translation	1,000.00
Captioners	2,500.00
Braille	500.00
Program & Promotional	
Program Printing	1000
Program Translation: Spanish and Chinese	700
Materials: Flip Charts, Supplies, Etc	250
Signage	250
Postage and Shipping	500
Videography Services	1000
Collateral	
Lanyards	300.00
Badges	300.00
Bags	600.00
Staff and Presenter	
Travel and Accommodations	2,500.00
Contingency	1000
Total	37,300.00



modern support services LLC

5/6/16

Aaron Carruthers
Executive Director
State Council on Developmental Disabilities
1507 21st Street, Suite 210
Sacramento, CA 95814

Dear Mr. Carruthers:

It is with great pleasure and honor that I write this letter of recommendation for the DO Network (DONET). I have been serving on the DONET advisory council for approximately 3 years. The work and goals that they have accomplished has been truly amazing. The DO Network focuses on advocating in different areas of an individual's life, such as, voter education, transportation, housing, among many others. They exceed all expectations in all the focus areas. The attention and involvement towards each topic is exceptional. The staff members are experts in the areas and topics they advocate for and are extremely knowledgeable about each. They work on multiple projects with precision and detailed understanding.

In conclusion, I highly recommend the State Council on Developmental Disabilities to sponsor the upcoming *#VoteDisability 2016 GOTV Leadership Conference* as it will provide many opportunities in educating and advocating the disabled community at large. Organizing a conference such as this is a key in the inclusion and integration of ALL people within the democratic process.

Sincerely,

Jack Darakjian
Executive Director

www.modernsupportservices.org

Corporate Mailing Address P.O. Box 10865 Glendale, CA 91209 T-818.244.2677 T-310.316.4042 F-818.244.2489

"Excellence In Practice"

COUNCIL AGENDA ITEM DETAIL SHEET

ISSUE: Sponsorship request from University of California, Davis.

AMOUNT REQUESTED: \$999.00

BACKGROUND: The California State Council on Developmental Disabilities (Council) supports events that promote self-advocacy, leadership and education, thereby enabling people with developmental disabilities and their family members to expand their knowledge and skills.

ANALYSIS/DISCUSSION: The Center for Excellence in Developmental Disabilities (CEDD) would like to request State Council sponsorship for their upcoming MIND Summer Institute on Neuro-developmental Disabilities in the amount of \$999. The event will be held on August 5, 2016 at the UC Davis Conference Center in Davis, CA. The speakers at the event will provide current information about policy; practice and research that will help inform all participants about the most recent advancements in neurodevelopmental disabilities.

By learning about policy and practice, self-advocates and families will have more knowledge to inform their advocacy. In addition, this conference will provide them with a venue to ask pertinent questions about research and practices related to their own needs and to connect with others who may share similar interests and challenges.

In previous years, the event has been well attended by Allied Health Professionals (about 25%), Physicians and Nurses (about 25%), Educators (about 25%), Students (About 5%) and by self-advocates and families (about 20%). They anticipate 200 participants at this year's conference.

They anticipate 27 presenters/panelists, and a minimum of 10 consumers will be part of the conference agenda this year.

COUNCIL STRATEGIC PLAN GOAL/OBJECTIVE: Individuals with developmental disabilities, their families and their support and/or professional staff will increase their knowledge and skills so as to effectively access needed educational and/or community-based services through at least 225 trainings, conferences, workshops, webinars, and/or resource materials developed by the Council on topics such as rights under IDEA, rights under California's Lanterman Act etc. on an annual basis.

PRIOR COUNCIL ACTIVITY: Since the beginning of FY 2015-16, the Council has awarded \$4,995 for sponsorship requests. The Council allocates \$25,000 per fiscal year for sponsorships. The fiscal year began July 1, 2015.

STAFF RECOMMENDATION: To approve University of California, Davis request for sponsorship.

ATTACHMENTS(S): University of California, Davis request for sponsorship request for sponsorship.

PREPARED: Kristie Allensworth, May 6, 2016



UC DAVIS HEALTH SYSTEM
4610 X STREET, SUITE 2301
SACRAMENTO, CALIFORNIA 95817

OFFICE OF CONTINUING MEDICAL EDUCATION
TELEPHONE: (916) 734-5390
FAX: (916) 734-0776

Kristie Allensworth
State Council on Developmental Disabilities
1507 21st Street, Suite 210
Sacramento, CA 95811

May 6, 2016

Dear Ms. Allensworth and the SCDD Review Committee,

Thank you for notifying us of the new requirement of the Sponsorship Request Application Checklist as part of the SCDD request for sponsorship. Enclosed is an updated request which includes all items listed in the checklist, and the checklist is attached. Thank you for considering this submission.

The University Center for Excellence in Developmental Disabilities (CEDD) at the MIND (Medical Investigation of Neurodevelopmental Disorders) Institute which is within the University of California, Davis requests State Council sponsorship for our upcoming MIND Summer Institute on Neuro-developmental Disabilities in the amount of \$999. The event will be held on August 5, 2016 at the UC Davis Conference Center in Davis, CA.

Speakers will provide current information about policy, practice and research that will help inform all participants about the most recent advancements in neurodevelopmental disabilities. The keynote presentation will be given this year by Andrew Imparato, JD, Executive Director of the Association of University Centers on Disabilities (AUCD). His talk will provide information about the future of disability policy from a national perspective. Other policy presentations include updates on the State Systemic Improvement Plan (SSIP) for early intervention, and the current implementation of the recommendations of the CA State Special Education Task Force.

There will also be a panel providing information about the implementation of Employment First Policy in CA, as well as updates on research related to early identification, mental health treatment for persons with ASD and social skills interventions for adults. Participants will also be able to access resources from the UC Davis MIND Institute, participate in Assistive Technology (AT) demonstrations hosted by the UC Davis AT Department. We anticipate 27 presenters/panelists, including 2 individuals with disabilities, will be part of the conference agenda this year.

The MIND Summer Institute will increase the ability of consumers and family members to exercise control, choice and flexibility as they learn about policy and practice with sessions targeted at the specific stages of early-intervention, school-aged, and transition/adult. Self-advocates and families will gain knowledge to inform their advocacy. In addition, this conference will provide them with a venue to ask pertinent questions about research



Reaccreditation with Commendation
(November 30, 2021)

and practices related to their own needs and to connect with others who may share similar interests and challenges.

In previous years, the event has been well attended by Consumers, Self-advocates and Families (about 20%), Educators (about 25%), Allied Health Professionals (about 25%), Physicians and Nurses (about 25%), and Students (about 5%). We expect a similar attendance distribution and anticipate 200 participants at this year's conference. Attached is a detailed demographic report of 2015 attendees listing participant type, specialty, and geographic distribution.

We are working with event partners including ALTA CA Regional Center and the Warmline Family Resource Center to conduct outreach to increase consumer and family involvement in this event. We will be emailing potential attendees, and the UC Davis MIND Institute will be distributing hard copy collateral.

The cost of the event is \$32,831.25. Funding for coordination of this event is provided through in kind support from the CEDD, the UC Davis MIND Institute and our planning committee members who are from ALTA CA Regional Center, Warmline, CA Department of Public Health, State SELPA organization, and Fiesta Educativa. We have also requested a grant from the Special Hope Foundation for \$4000 for this year's event.

The SCDD grant would go toward offsetting the costs for facilities and audio-visual equipment, allowing us to reduce or waive costs for consumers and families to attend. We have not received SCDD sponsorships or grants in the past. Please see the attached proposed budget for the event.

Thank you for your consideration. We look forward to hearing from you.

Sincerely,



Shelley A. Palumbo, M.S., CCC-SLP
Chief Administrative Officer
Center for Health and Technology
Center for Virtual Care
Office of Continuing Medical Education
4610 X Street | Sacramento, CA 95817
Phone: (916) 734-0433
Email: sapalumbo@ucdavis.edu

Attachments

- Attachment (a): Course Statistics for Demographics by Number
- Attachment (b): Course Budget reflecting SCDD Grant
- Attachment (c): Warmline Family Resource Center Letter of Recommendation
- Attachment (d): MIND Summer Institute Brochure
- Attachment (e): Sponsorship Request Application Checklist

Attachment

(a)

OCME DETAILED COURSE STATISTICS

Course Code: 080715100 MIND Summer Institute on Neurodevelopmental Disorders
 August 7, 2015
 UC Davis Conference Center

As of: 05/02/16
 Page 1

TYPE OF PARTICIPANTS

Administration	4
Attorneys	1
Doctor of Education	1
Educators	11
Licensed Social Workers	9
Marriage Family Therapist	10
Non UCD Medical Student	4
Non UCD Student	1
Nurse Practitioners	3
Nurses	4
Occupational Therapists	1
Other Professionals	31
Parent or Caretaker	32
Physical Therapists	1
Physicians	28
Psychologists	41
Research Associates	4
Residents-Non UCD	1
Social Workers	2
Sonographers	1
Speech/Lang. Pathologists	15
Therapists	1
UC Davis Residents	3
UCD Medical Students	2
Unknown	0

211

TYPE OF SPECIALTY (MDs)

Develop. Behavioral Peds	5
Family Practice	3
IM/Pediatrics	1
Internal Medicine	1
Orthopaedic Surgery	1
Pediatrics	12
Psychiatry, Child & Adolescent	3
Public Health & Prevent.	1
Pulmonary Disease	1

28

GEOGRAPHIC DISTRIBUTION (All)

CALIFORNIA

Bay Area	48
Central Southern Calif	4
Northern California	19
Sacramento Area	107
Southern California	4
Stockton Area	23
TOTAL CALIFORNIA	205

OUT OF STATE

Massachusetts	1
Nevada	1
Oregon	1
Washington	1
TOTAL OUT OF STATE	4

OUTSIDE OF US

Finland	2
TOTAL OUTSIDE USA	2

OVERALL PARTICIPANT TOTAL

211

GEOGRAPHIC DISTRIBUTION (MDs)

CALIFORNIA

Bay Area	4
Central Southern Calif	1
Northern California	4
Sacramento Area	16
Stockton Area	2
TOTAL CALIFORNIA	27

OUT OF STATE

Oregon	1
TOTAL OUT OF STATE	1

OUTSIDE OF US

OVERALL MD's TOTAL

28

The Statistics for Specialty (MDs) and Geographic Distribution (MDs) include Physicians and Retired Physicians.

Attachment

(b)

University of California, Davis, Health System
OFFICE OF CONTINUING MEDICAL EDUCATION

Summer MIND Institute on Neurodevelopmental Disorders 2016

Date: August 5, 2016

Location: UC Davis Conference Center

Course Chair(s): Robin Hansen, MD Contact: Patty Schetter

Attendance 200 - Actual:

INCOME

INCOME	PROJECTED	ACTUAL	DESCRIPTION
REGISTRATION INCOME			
Physicians & Other Healthcare @ \$220	18,920.00	0.00	86
Parents/Caregivers regstered together (no credit) @ \$200	400.00	0.00	2
Students, Parent/Caregiver (no credit) @ \$125	1,750.00	0.00	11 students, 3 parent/caregiver
MIND Staff/Clinical Suport @ \$85	1,870.00	0.00	22
Physician & Other Healthcare @ \$260	7,800.00	0.00	30
Parents/Caregivers regstered together (no credit) @ \$230	690.00	0.00	3
Parent/Caregiver (no credit) @ \$150	2,100.00	0.00	3 students 11 parent/caregivers
Physician & Other Healthcare @ \$300	1,200.00	0.00	4
Students, Parent/Caregiver (no credit) @ \$170	170.00	0.00	1
Parents/Caregivers regstered together (no credit) @ \$265	0.00	0.00	
		0.00	
SUBTOTAL REGISTRATION INCOME	34,900.00	0.00	
COMMERCIAL SUPPORT (Educational Grants)	0.00	0.00	
EXHIBITORS	0.00	0.00	
SUBTOTAL PHARMACEUTICAL INCOME	0.00	0.00	
OTHER INCOME			
Syllabus (x @ \$)	0.00	0.00	
Donation(s)	0.00	0.00	
Workshops	0.00	0.00	
Income from prior year (not subject to 3%)	0.00	0.00	
Extracurricular Activity	0.00	0.00	
SUBTOTAL OTHER	0.00	0.00	
Less Refunds/Overpayments	(475.00)	0.00	
TOTAL:	34,425.00	0.00	
UCD Assessments (3% on all income)	(1,032.75)	0.00	
TOTAL INCOME:	33,392.25	0.00	
DIRECT EXPENSES			
MARKETING	PROJECTED	ACTUAL	DESCRIPTION
Brochures (30,000 @ \$.15)	2,100.00	0.00	
Brochure Design	0.00	0.00	
Purchase of Labels: MMS, CRD, DMD (@ .06)	0.00	0.00	
Mail processing by vendor: (@ \$.04)	0.00	0.00	
Postage: USPS (@ \$.16)	0.00	0.00	
Email Lists (Physician Juncture, Manning Media)	0.00	0.00	
Course Announcements (Save the Date)	0.00	0.00	

Posters	0.00	0.00	
TOTAL MARKETING EXPENSES	2,100.00	0.00	
	PROJECTED	ACTUAL	DESCRIPTION
PRINTING/PROGRAM MATERIALS			
Syllabus Printed (x @ \$ ea)	0.00	0.00	
Syllabus Flash Drive Load (x @ \$1 ea)	0.00	0.00	
Flashdrive charge (x @ 2.54 each)	1,500.00	0.00	
Program Packet	165.00	0.00	
TOTAL PRINTING/SUPPLIES	1,665.00	0.00	
FACILITY	PROJECTED	ACTUAL	DESCRIPTION
Room Block: xx rooms (% slippage, xx rooms min)			
Meeting Room Rental	3,000.00	0.00	
Audio Visual Services	0.00	0.00	
Internet Access	0.00	0.00	
Speaker Amenities for VIPs	0.00	0.00	
Gratuities: Banquet staff	0.00	0.00	
Gratuities: Bell Staff	0.00	0.00	
Business Center	0.00	0.00	
Speaker rooms	0.00	0.00	
Staff Rooms	0.00	0.00	
Room Attrition	0.00	0.00	
Complimentary rooms	0.00	0.00	
FACILITY SUBTOTAL	3,000.00	0.00	
Food and Beverages	PROJECTED	ACTUAL	DESCRIPTION
F&B Minimum per contract: \$ 0.00 + service + tax			
Breakfast	2,000.00	0.00	
AM Break	1,000.00	0.00	
PM Break	1,000.00	0.00	
Workshop luncheon	4,750.00	0.00	
Lunch	0.00	0.00	
Faculty lunch/dinner	0.00	0.00	
Reception	0.00	0.00	
Bartender	0.00	0.00	
F&B Attrition	0.00	0.00	
FOOD AND BEVERAGES SUBTOTAL	8,750.00	0.00	
TOTAL FACILITY/F&B EXPENSES	11,750.00	0.00	
Travel	PROJECTED	ACTUAL	DESCRIPTION
Speaker Travel/Meals	1,500.00	0.00	
Staff Travel/Meals	75.00	0.00	
Speaker honoraria	750.00	0.00	
Travel for site visit	0.00	0.00	
TOTAL TRAVEL EXPENSES	2,325.00	0.00	
OTHER	PROJECTED	ACTUAL	DESCRIPTION
AV from 3rd party vendor	4,500.00	0.00	
Rental costs (e.g. joint tap models)	0.00	0.00	

Anatomical parts	0.00	0.00	
Transportation (bus, town car, car rental, parking)	150.00	0.00	
Team building/extracurricular activities (e.g. lessons, yo	0.00	0.00	
Delivery services	0.00	0.00	
Accreditation Costs (AAFP)	0.00	0.00	
On-site CME staff overtime	500.00	0.00	
Speaker gifts	0.00	0.00	
Expenses not claimed on previous year's conf budget	0.00	0.00	
TOTAL OTHER EXPENSES	5,150.00	0.00	
DIRECT EXPENSES SUBTOTAL			
	22990.00	0.00	
CME OPERATIONAL EXPENSES			
	PROJECTED	ACTUAL	DESCRIPTION
CME Accreditation Fee	1,750.00	0.00	
ACPE Accreditation Fee: X @ \$25 each	0.00	0.00	
Self Assessment Modules (SAM Credit) x @\$500	0.00	0.00	
E-mail blasts: x @ \$250/blast	750.00	0.00	
In-house mailing labels: x @ \$.06 each	0.00	0.00	
OCME AV Rental: LCD \$100/day laptop \$50 /day	350.00	0.00	
Audience Response Costs (\$200 per day)	0.00	0.00	
Audience Response lost clicker \$35 ea	0.00	0.00	
Evaluation Setup (\$300 flat + \$30/lecture)	600.00	0.00	
Registration/LMS Fee (\$25 pp includes faculty)	5,600.00	0.00	
Cashier Processing Fees @ \$3.75/pp	791.25	0.00	
TOTAL CME OPERATIONAL EXPENSES	9,841.25	0.00	

Attachment

(c)



WarmLine Family Resource Center
2791 24th Street, Sacramento, CA 95818
916-455-9500/877-561-7523
www.warmlinefrc.org / email: Kelly@warmlinefrc.org

RE: MIND Summer Institute Support

February 1, 2016

To Whom It May Concern:

Warmline Family Resource Center (WLFRC) supports the efforts of the Center for Excellence in Developmental Disabilities (CEDD) to secure support for the MIND Summer Institute by the California State Council on Developmental Disabilities (SCDD).

We believe that parents and self-advocates need to have access to high quality information that will allow them to exercise control, choice and flexibility in the services and supports they receive and, ultimately, to enhance the service system for children, youth and young adults with disabilities.

WLFRC has had a long and collaborative relationship with the MIND and believe that parents and self-advocates will benefit greatly from attendance at the MIND Summer Institute by providing them with relevant information about policy and practices.

Warmline Family Resource Center supports families with children birth to 26 years old in 26 counties in Northern California. As a US Department of Education, Office of Special Education Programs, and California Department of Developmental Services contractor, we offer parents an array of services including peer parent support, education, individual consultation, information and referral to community resources to assist them with increasing their knowledge about early intervention, special education and the systems that support their child with a disability.

Please contact me if you have any questions.

Sincerely,


Kelly Young
Executive Director

Attachment

(d)



UC DAVIS
HEALTH SYSTEM

CME
MAXIMUM OF 5.75
AMA PRA Category 1 Credits™

MIND Summer Institute

ON NEURODEVELOPMENTAL DISORDERS: RESEARCH TO PRACTICE



FRIDAY
AUGUST 5, 2016

LOCATION:
UC Davis Conference Center
Davis, CA

SPONSORED BY:
UC DAVIS HEALTH SYSTEM
Office of Continuing Medical Education
and Center for Excellence in
Developmental Disabilities
at the MIND Institute

Scan for Conference
Webpage



MIND SUMMER INSTITUTE ON NEURODEVELOPMENTAL DISORDERS

FRIDAY, AUGUST 5, 2016

7:30 – 8:30 am Registration, Continental Breakfast, Visit Resource Table and AT Demonstrations

8:30 – 8:45 Welcome, Review of Objectives

8:45 – 10:00 Keynote Address: **The Future of Disability Policy: A National Perspective**

In this keynote presentation, you will hear from the Executive Director of the Association of University Centers on Developmental Disabilities and Senator Tom Harkin's former disability policy director about a new federal agenda that will leverage bipartisan interest in improving education and employment outcomes, enhancing self-determination and choice, and building inclusive communities for the more than 57 million Americans with disabilities.

Andrew J. Imparato, JD

10:00 – 10:30 Break, Move Into Workshops

EARLY INTERVENTION

SCHOOL AGED

TRANSITION/ADULT

10:30 am – 12:00 pm

1 Research Update: Early Indicators of Autism Spectrum Disorders
Dr. Sally Ozonoff will update participants on the most recent findings from research taking place at the UC Davis MIND Institute related to early indicators of Autism Spectrum Disorders.
Sally Ozonoff, PhD

2 California Special Education Task Force – Phase 2 Implementation
This panel will provide an update on the impact of the recommendations from the California Statewide Special Education Task Force Report. Upon its release and roll-out to the many bodies possibly effected by the reform, a constant question asked was, "What's next?" A phase two of implementation was recommended to include interagency collaboration and reform, development of professional learning opportunities, dissemination of evidence-based practices, early learning enhancements, addressing disproportionality and educator preparation, and multiple funding reforms. This presentation will provide updates on the recommendations.
Maureen O'Leary Burness, MS, Teri Clark, Kristin Wright

3 Supported Decision Making, an Alternative to Conservatorships
This panel will discuss supported decision making as an alternative to conservatorship for adults with intellectual and developmental disabilities. Panelists will discuss how supported decision-making can be implemented in medical and other decision-making contexts, and how it can help people with disabilities not only develop the tools to make their own informed choices, but also to live safer and fuller lives.
Lynne O'Hara, Susan Mizner, JD, Larissa Kripke, MD, Zoe Brennan-Krohn, JD, Katie Hornberger, JD, Lisa Cooley

12:00 - 1:00 pm LUNCH (included in tuition) Visit Resource Table and AT Demonstrations

1:00 – 2:30 pm

4 Using the Desired Results Developmental Profile (2015) With Young Children With Neurodevelopmental Disabilities to Inform Embedded Instruction Practices
This workshop will describe how to link results of the statewide assessment for early learning (the DRDP 2015) to the California Early Learning Foundations and Embedded Instruction for children with individualized education programs (IEPs). Resources to support the DRDP (2015) and the Embedded Instruction for Early Learning model will be provided.
Patricia Snyder, PhD, Mary McLean, PhD, Patricia Salcedo, MA

5 Social Context's Role in Disability Identification and Special Education Placement Decisions
While many of us think of disability as an intrinsic personal trait, children's odds of actually being identified with a learning, speech, or emotional disorder are strongly shaped by the people and resources with which they are surrounded. This presentation explores the implications of these "contextual effects" for patterns of disproportionality and inequality in special education service provision.
Jacob Hibel, PhD

6 Home and Community Based Services (HCBS)
The federal Centers for Medicare & Medicaid Services (CMS) announced new rules that will fundamentally transform residential and day services funded through Medicaid as part of Home and Community Based Services (HCBS). Members of this panel will discuss opportunities and challenges as well as the impacts of these changes taking place in California.
Jim Knight, Janis White, EdD, Sarah Murphy, MA, Ed

2:30 - 2:45 pm BREAK

2:45 – 4:15 pm

7 Early Intervention Policy Panel
This panel will include presentations from the CA Departments of Health, Developmental Services, and Education on the following topics:
• Overview of the federal Maternal, Infant and Early Childhood Home Visiting (MIECHV) Program
• Upcoming changes in the state and federal accountability systems for IDEA
• Early Start State Systemic Improvement Plan (SSIP)
Kristen Rogers, PhD, Sharon DeRego, LCSW, Jennifer Teyhaerts, Elise Parnes RN, BSN, MSN, Theresa Costa Johansen, EdD

8 Development and Testing of an Individualized Mental Health Intervention for ASD
Dr. Brookman-Frazee will provide an overview of her research on mental health services for children with ASD. She will discuss common co-occurring mental health problems seen in this population and describe the development and testing of an individualized ASD intervention designed for delivery in mental health services.
Lauren Brookman-Frazee, PhD

9 Helping Young Adults With ASD to Achieve Their Life Goals: Preliminary Results From the ACCESS Program (Acquiring Career, Coping, Executive-Function and Social Skills)
Presenters will describe the three major components of the curriculum – using cognitive behavioral therapy (CBT) methods to enhance coping and reduce stress, scaffolding the development of organizational skills, and promoting enhanced social functioning. They will also share the preliminary results of the initial trial of this innovative program.
Marjorie Solomon, PhD, MBA, Tasha M. Oswald, PhD

4:15 pm ADJOURN

FACULTY

COURSE CHAIR

Robin L. Hansen, MD
Professor of Pediatrics
Director, Center for Excellence in Developmental Disabilities
Director of Clinical Programs, MIND Institute
UC Davis Health System

GUEST FACULTY

Zoë Brennan-Krohn, JD
Ford Fellow
ACLU Disability Rights Program

Lauren Brookman-Frazee, PhD
Associate Professor
UC San Diego Department of Psychiatry
Research and Training Director
Autism Discovery Institute at Rady Children's Hospital

Teri Clark
Director
Professional Services Division
Commission on Teacher Credentialing

Lisa Cooley
Self-Advocate

Theresa Costa Johansen, EdD
Director, Office of Client Rights Advocacy
Special Education Division
California Department of Education

Sharon DeRego, LCSW
California Part C Coordinator/Manager
Monitoring and Family Services Branch
Department of Developmental Services

Joshua Hori
Accessible Technology Analyst
Center for Accessible Technologies
UC Davis Health System

Katie Hornberger, JD
Disability Rights CA

Andrew J. Imparato, JD
Executive Director
Association of University Centers on Disabilities
Washington DC

Jim Knight
Assistant Deputy Director
Community Services Division
California Department of Developmental Disabilities

Clarissa Kripke, MD
Professor of Family and Community Medicine
University of California San Francisco

Mary McLean, PhD
Professor
School of Special Education, School Psychology
& Early Childhood Studies
Anita Zucker Center for Excellence in
Early Childhood Studies
University of Florida

Susan Mizner, JD
Disability Counsel
ACLU Disability Rights Program

Sarah Murphy, MA, Ed
Provider
TransCen, Inc.

Lynne O'Hara
Executive Director
Special Hope Foundation

Maureen O'Leary Burness, MS
Special Education Leadership Consultant
Technical Assistance Facilitator

Elise Parnes RN, BSN, MSN
Nurse Consultant III
Early Start & Health Services Section
Department of Developmental Services

Kristen Rogers, PhD
Chief - California Home Visiting Program Branch
Maternal, Child & Adolescent Health Division
California Department of Public Health

Patricia Salcedo, MA
Co-Director
Desired Results Access Project

Patricia Snyder, PhD
Professor and David Lawrence Jr. Endowed Chair
in Early Childhood Studies
Director, Anita Zucker Center for Excellence in
Early Childhood Studies
University of Florida

Janis White, EdD
Chief Operating Officer
Regional Center of Orange County

Kristin Wright, MEd
Policy Consultant, State Board of Education

PLANNING COMMITTEE

Maureen O'Leary Burness, MS
California Special Education Task Force/ACSA/State
SELPA

EunMi Cho, EdD
Professor, College of Education
California State University Sacramento

Terri Contenti, BA
Community Relations Officer
UC Davis MIND Institute

Paula Curran, MHA-PHN
Nurse Consultant III
California Department of Public Health
Maternal, Child and Adolescent Health Division

Michael Curtis, PhD
Chief, Surveillance Assessment and
Program Development Section
Epidemiology, Assessment and Program Development Branch
California Department of Public Health
Maternal, Child and Adolescent Health Division

Robin L. Hansen, MD, Chair
Professor of Pediatrics
Director, Center for Excellence in Developmental
Disabilities at the MIND Institute
Director of Clinical Programs
UC Davis MIND Institute

Patrick Haganin, BA
Video Production Producer/Director
UC Davis MIND Institute

Diane Larzelere, BA
Research Program Analyst
UC Davis MIND Institute

Lori Llewelyn, MPP
Associate Director, Administration
Center for Excellence in Developmental Disabilities
UC Davis MIND Institute

Robin May, LCSW, EdM
ASD Clinical Specialist
Alta California Regional Center
Sacramento, CA

Catharine Mikitka, MEd
Family Support Coordinator
Center for Excellence in Developmental Disabilities
UC Davis MIND Institute

Elizabeth Morgan, EdM
Chief Administrative Officer
Coordinator, African American Outreach and Advocacy
Center for Excellence in Developmental Disabilities
UC Davis MIND Institute

Michelle Ono, MS
Chief Administrative Officer
UC Davis MIND Institute

Angie Rivera, MA
Founding Member, Fiesta Educativa
Consultant, Latino Outreach
Center for Excellence in Developmental Disabilities
UC Davis MIND Institute

Steve Ruder, BA
Coordinator, Transition Through Adulthood Projects
Center for Excellence in Developmental Disabilities
UC Davis MIND Institute

Patricia Schetter, MA
Coordinator of Autism Education Initiatives
Center for Excellence in Developmental Disabilities
UC Davis MIND Institute

Kelly Young, JD
Director, Warmline Family Resource Center
Sacramento, CA

UC DAVIS FACULTY

Jacob Hibel, PhD
Associate Professor of Sociology
Graduate Faculty Member in School of Education
UC Davis

Tasha M. Oswald, PhD
Postdoctoral Fellow
Department of Psychiatry
MIND Institute, UC Davis School of Medicine

Sally J. Ozonoff, PhD
Endowed Professor and Vice Chair for Research
Department of Psychiatry
UC Davis MIND Institute

Marjorie Solomon, PhD, MBA
Oates Endowed Chair in Life Span
Development in Autism
Director MIND Institute Social Skills Program
Associate Professor of Psychiatry
Department of Psychiatry
MIND Institute, UC Davis School of Medicine

CONFERENCE COORDINATOR

Andrea Young, CMP
CME Specialist
Office of Continuing Medical Education
UC Davis Health System



TARGET AUDIENCE

This activity is designed for health care professionals, educators, therapists and families/caregivers, including physicians, nurses, nurse practitioners, physician assistants, psychologists, occupational therapists, speech and language pathologists, behavior therapists, licensed clinical social workers, marriage and family therapists, special educators, general educators, and school administrators.

EDUCATIONAL NEED

This institute is intended to help participants keep pace with the latest advances in research on neurodevelopmental disorders and the implications for best practices in prevention, assessment and treatment. The focus is interdisciplinary and across the lifespan. Based on evaluations from last year's institute, special attention is paid to evidence-based practices for medical and educational interventions.

EDUCATIONAL METHODS

Lectures will be used to facilitate the dissemination of up-to-date information.

Interactive workshops are included to facilitate a more in-depth understanding of clinical issues.

EDUCATIONAL OBJECTIVES

At the end of the activity, participants should be able to:

- State the policy changes that are taking place at the State and National Level related to disabilities
- State the potential impacts of National Impacts on State Level policy
- Determine how policy changes will impact changes to programs and practices
- Develop an understanding of the Early Childhood Home Visiting Program as it is being implemented in CA
- Develop an understanding of the State Systematic Improvement plan for Early Start and Public Education and state how these changes may impact practices
- Explain the changes being made relating to Special Education Policy
- State the purpose of the DRDP (2015) assessment and the results produced
- Describe the importance of linked assessment, curricula, and instruction
- Align preschool learning foundations, the DRDP (2015), and individualized educational program objectives
- Describe the earliest indicators of ASD
- Recognize the early indicators of ASD
- Make earlier referrals for developmental screening and assessments
- Identify common co-occurring mental health conditions in ASD
- Describe the development and testing of an ASD intervention to address common presenting problems for delivery in mental health services
- Discuss considerations in accessing mental health services for children with ASD
- Receive an update on the final recommendations of the Statewide Special Education Task Force
- State the policy and legislative changes that have occurred and/or are in progress as a result of Task Force;
- Receive information about the Commission on Teacher Credentialing's plans for credential changes to address the need to prepare more teachers to support all children
- Understand contemporary patterns of disproportionate special education placement along lines of racial/ethnic, gender, immigrant origin, and socioeconomic status in U.S. schools
- Consider multiple explanations for certain groups' unequal access to special education services
- Explore potential avenues for reducing special education inequalities
- Identify the specific life challenges of the tsunami of individuals with autism who are entering adulthood
- Identify potential ways to help these individuals using CBT methods to reduce stress
- See how this foundation in CBT can help individuals with ASD to better cope with typical stressors inherent in school, workplace, community, and social situations



CONFERENCE REGISTRATION

Please register early – space is limited.

Tuition is \$220 for Health Care and Other Professionals, \$125 for Students (UC Davis, CSUS, others; no credit), \$125 for Parents/Caregivers/Self Advocates (no credit), \$200 for 2 Parents/Caregivers registered together (no credit). **Confirmation of registration will be sent within two weeks after receipt of registration form.** The fees will increase after July 20, 2016.

Tuition includes continental breakfast, refreshment breaks, lunch, electronic syllabus, and an online certificate of attendance. Tuition may be paid by check, American Express, Discover, MasterCard or VISA. Cash is not accepted. Conference materials cannot be guaranteed unless registration is received by July 20, 2016. The Office of Continuing Medical Education reserves the right to cancel this conference. In such a case, a full refund will be given.

Registration forms received without payment will not be processed until payment is received, and payment must follow within 10 days. Early discount rates are honored if payment is received by the date noted on the registration form. After that date, the late fee is applied.

On-site Fees: On-site registrations will be charged at a higher rate. Please see registration form for details.

Cancellation and Refund Policy:

A refund of tuition, less \$75 administrative fee, will be allowed if requested in writing by July 20, 2016. No refunds will be provided after this date.

ELECTRONIC EVALUATION AND CREDIT

The link to your electronic syllabus, evaluation and certificate of credit will be available for 30 days after the last day of the conference. Once you have completed the online evaluation, your certificate will be available to print. However, after 30 days this link will expire and these items are no longer available online; you must contact the Office of CME to receive your certificate and a \$15 administrative fee will be applied.

SYLLABUS

The electronic syllabus will be available to pre-registered attendees online prior to the conference for downloading and printing. Registrants will also receive a flash drive onsite containing the syllabus. **No printed syllabus will be available.**

ACCREDITATION

The University of California, Davis, Health System is accredited by the Accreditation Council for Continuing Medical Education (ACCME) to provide continuing medical education for physicians.



CREDIT DESIGNATION

Physician Credit: The University of California, Davis, Health System designates this live activity for a maximum of 5.75 *AMA PRA Category 1 Credits*. Physicians should claim only the credit commensurate with the extent of their participation in the activity.

AMA PRA Category 1 Credits™ acceptable for other health care providers

Nurse: For the purpose of recertification, the American Nurses Credentialing Center accepts *AMA PRA Category 1 Credits* issued by organizations accredited by the ACCME. For the purpose of relicensure, the California Board of Registered Nursing accepts *AMA PRA Category 1 Credits* (report a maximum of 5.75 hours of credit and fill in "CME Category 1" for the provider number).

Physician Assistant: The National Commission on Certification of Physician Assistants (NCCPA) states that *AMA PRA Category 1 Credits* are acceptable for continuing medical education requirements for recertification.

Psychologist: This educational activity is recognized by the California Board of Psychology as meeting the continuing education requirements toward license renewal for California psychologists (self-reporting required).

Speech and Language Pathologist: California SLP license renewal: The California Speech-Language Pathology and Audiology Board recognizes continuing professional development services from accredited organizations approved as continuing education providers by the California Medical Association's Institute for Medical Quality Continuing Medical Education Program. American Speech-Language-Hearing Association Certification: Individuals must request permission from ASHA to use this credit to satisfy continuing professional development requirements. For more information: <http://www.asha.org>.

PRE-CONFERENCE WORKSHOP - AUGUST 4, 2016, 9:00 AM TO 3:00 PM LUNCH ON YOUR OWN, \$125 PROFESSIONALS/\$25 PARENTS, NO CME CREDIT.

A pre-conference workshop will be held at the UC Davis MIND Institute, 2825 50th Street, Sacramento, CA 95817

WHO SHOULD ATTEND

Teachers and school personnel supporting students with ASD in grades pre-K-3rd grade, behavior specialists, and families who may be interested in learning more about this approach.

CLASSROOM PIVOTAL RESPONSE TRAINING (CPRT)

CPRT is a naturalistic, behavioral approach to teaching students with autism that is soundly supported in the scientific literature. Using CPRT is fun, easy and not likely to require a dramatic change in the way teachers are interacting with their students; instead, it provides a way to label and enhance teaching methods to maximize student achievement, easily identify strengths and weaknesses, and train others to use the same approach. CPRT works in the classroom because it was designed specifically with the benefits and challenges of the classroom in mind.

Aubyn Stahmer, PhD, BCBA-D
Associate Professor
Department of Psychiatry &
Behavioral Sciences
UC Davis MIND Institute

Janice Chan, MA, BCBA
CPRT Coach
Child and Adolescent Services
Research Center
Rady Children's Hospital, San Diego

To register, go to: <https://regonline.com/cprt2016>

For other questions, contact Diane Larzelere at (916) 703-0268 or larzelere@ucdavis.edu.

Please note that registration for the pre-conference workshop is separate from registration for the August 5th conference.

LOCATION

UC Davis Conference Center

550 Alumni Lane
Davis, CA 95616
<http://bit.ly/1NaLPP7>

The UC Davis Conference Center is adjacent to the Alumni Center and across the quad from the Robert Mondavi Center for the Arts.

Layered clothing is recommended as the meeting room temperature may fluctuate.

If you have any special needs due to a disability as specified in the Americans with Disabilities Act, please call the Office of Continuing Medical Education at (916) 734-5390 so we may make the necessary accommodations for you.

PARKING

Park in the South Entry Parking Structure, Lot VP 1 or Lot VP 2. Parking is \$9.

HOTEL ACCOMMODATIONS

Hyatt Place UC Davis

173 Old Davis Road Extension
Davis, CA 95616
(530) 756-9500
<http://ucdavis.place.hyatt.com>

The Hyatt Place UC Davis is the closest hotel to the campus. We do not have special rates. You may call the hotel directly if you require overnight accommodations.



AIR TRAVEL TO SACRAMENTO (SMF)

The Office of Continuing Medical Education has arranged group rates with United Airlines. To obtain Groups and Meetings discount prices go to <http://www.united.com> and enter **Offer Code: ZVM6788442**.

GROUND TRANSPORTATION

Hertz Car Rental: To obtain discount prices go to <http://www.hertz.com> and enter Convention Number 04H70007 for discounted rates.

National Car Rental: 1 (800) CAR-RENT and request ID #5282865 for discounted rates.

SuperShuttle: <http://supershuttle.com>

DRIVING DIRECTIONS

From I-80 West (San Francisco):

Take I-80 East toward Sacramento.
Exit the UC Davis exit #71 (just after the HWY 113 interchange).
Turn left at the stop sign onto Old Davis Road.
Continue straight past the information booth.
Turn right onto Hilgard Lane (immediately after the Mondavi Food and Wine Institute buildings) and park in either the structure or the parking lot.

The Conference Center is East of the Vanderhoef Quad from the Mondavi Performing Arts Center at the corner of Old Davis Road and Alumni Lane, and the Alumni Center is on the North side of the Quad.

From I-80 East (Sacramento):

Take I-80 West toward San Francisco.
Exit the UC Davis exit #71 (after the Richards Blvd. exit)
Turn right at the stop sign onto Old Davis Road.
Continue straight past the information booth.
Turn right onto Hilgard Lane (immediately after the Mondavi Food and Wine Institute buildings) and park in either the structure or the parking lot.

The Conference Center is East of Vanderhoef Quad from the Mondavi Performing Arts Center at the corner of Old Davis Road and Alumni Lane, and the Alumni Center is on the North side of the Quad.

FOR FURTHER INFORMATION

**UC Davis Health System
Office of Continuing
Medical Education**
(866) CME4EDU (263-4338)
(916) 734-5390
(916) 734-0742 Fax
4610 X Street, Suite 2301
Sacramento, CA 95817
<http://cme.ucdavis.edu>

BROCHURE ART CREDIT

Brochure images courtesy of Accreditation Council for Continuing Medical Education, UC Davis Public Affairs, stock.adobe.com and Shutterstock.com.

REGISTRATION FORM (please print)

Mind Summer Institute on Neurodevelopmental Disorders: Research to Practice
August 5, 2016

First Name _____ M.I. _____ Last Name _____

MD PhD PA NP RN Psychologist SLP Family Member/Caregiver/Self Advocate Educator Student LCSW MFT Student Lic# _____

Institution/Employer (as you would like it to appear on your badge) _____ Profession/Occupation _____

Address (where you would like your receipt mailed) _____

City _____ State _____ Zip Code _____

Day Phone _____ Fax Number _____

Email for link to electronic syllabus. UC Davis CME will not use your email for any purpose other than corresponding with you.

Physician information: Please indicate your primary medical specialty:

FP GP PD Other (specify) _____

Social Security Number (last 4 digits required for transcript purposes)

- -

Please copy the mail code from the address side of the brochure (above your name, e.g. PPMND, MMSMND, CRDMND) _____

If you **did not** receive a brochure in the mail, how did you hear about this conference? (Example: PT&MG, UCSD website, web search, magazine, friend) _____

Have you attended this conference in the past? Yes No

REGISTRATION FEES (Receipt/Confirmation will be mailed within two weeks)

	Early Discount	After July 20	On Site
Physician & Other Health care	<input type="checkbox"/> \$220	<input type="checkbox"/> \$260	<input type="checkbox"/> \$300
Students (UC Davis, CSUS, other; no credit)	<input type="checkbox"/> \$125	<input type="checkbox"/> \$150	<input type="checkbox"/> \$170
Parent/Caregiver/Self Advocate (no credit)	<input type="checkbox"/> \$125	<input type="checkbox"/> \$150	<input type="checkbox"/> \$170
2 Parents/Caregivers registered together (no credit)	<input type="checkbox"/> \$200	<input type="checkbox"/> \$230	<input type="checkbox"/> \$265

ELECTRONIC SYLLABUS

The electronic syllabus will be available to pre-registered attendees online prior to the meeting for downloading and printing. A USB flash drive will be distributed at the conference. Please note a printed syllabus will not be offered.

WORKSHOP REGISTRATION

EARLY INTERVENTION (1, 4, 7) SCHOOL AGED (2, 5, 8) TRANSITION/ADULT (3, 6, 9)

Please circle the workshop you wish to attend at each scheduled time.

	(first choice)			(second choice)		
10:30 am	1	2	3	1	2	3
1:15 pm	4	5	6	4	5	6
3:00 pm	7	8	9	7	8	9

If you need disability accommodations at the meeting, please let us know by July 20, 2016 and our representative will contact you.

Please note special dietary/food allergy requirements _____

Please check your payment method.

Mailed registration forms without check, credit card information or a purchase order number will not be processed until payment is received.

Check enclosed payable to: UC Regents AMEX Discover MasterCard VISA

For your protection, do not fax or email your registration form with credit card information. Please mail your registration or contact our Registrar at (916) 734-5390 for assistance if you would like to use a credit card.

Account Number _____ Expiration Date _____

Authorized Signature (name on card) _____

Please use ONE of these methods to register: (Do not mail if previously registered by telephone)



Mail this registration form and payment to
Office of Continuing Medical Education
c/o UC Davis Cashier's Office
PO Box 989062, West Sacramento, CA 95798-9062



Telephone: (916) 734-5390/Toll Free: 866-CME4EDU



Fax: (916) 734-0742



For Office Use Only

MND2017
6001

REGISTER ONLINE AT:
<https://http://bit.ly/1TghD79>



MIND Summer Institute ON NEURODEVELOPMENTAL DISORDERS: RESEARCH TO PRACTICE

AUGUST 5, 2016
FRIDAY

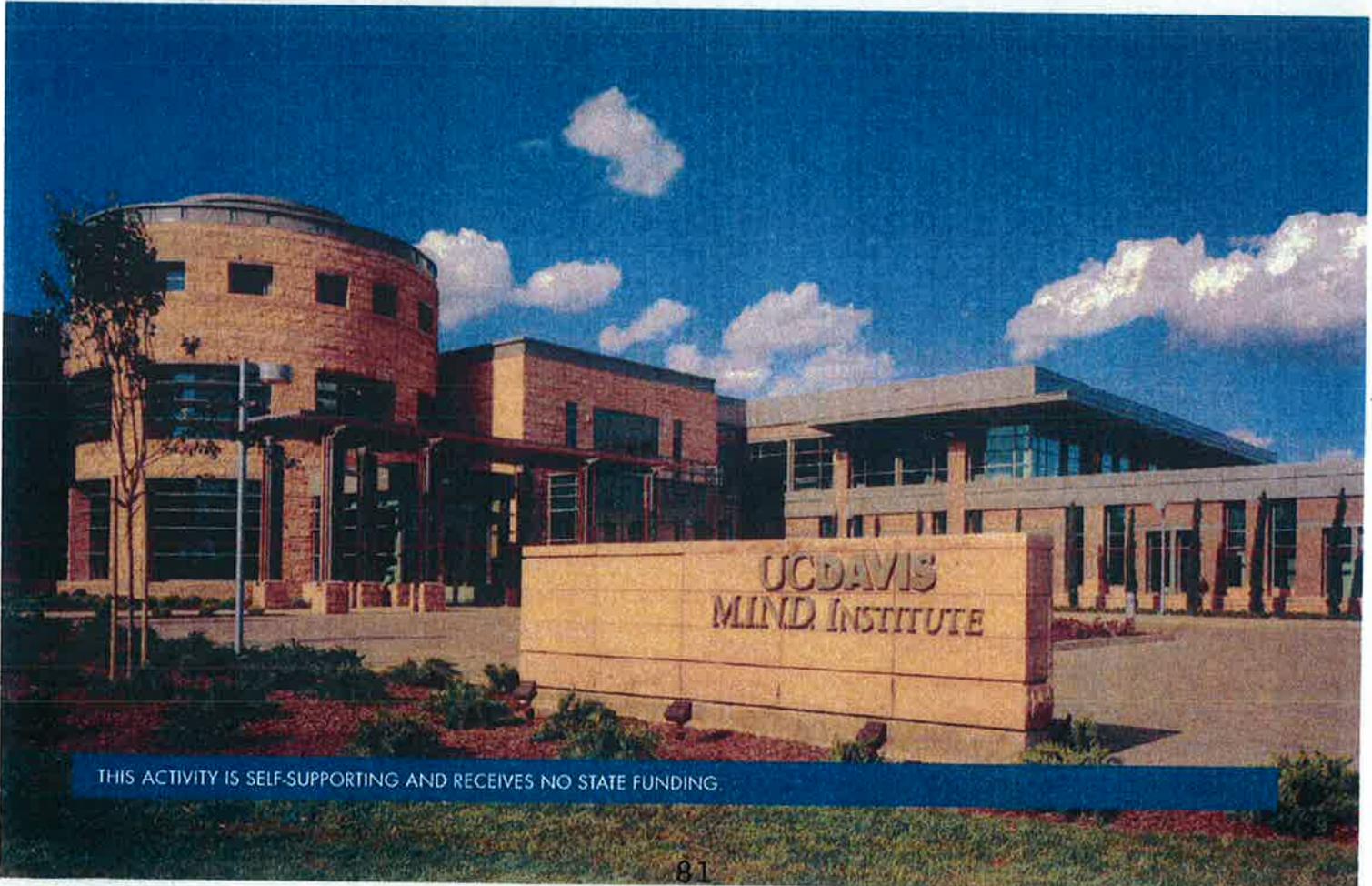
UC DAVIS CONFERENCE CENTER
Davis, CA

HIGHLIGHTS:

- Keynote address on the future of disability policy, a national perspective
- Early Indicators and Risks for Neurodevelopmental Differences
- Special Education Reform Efforts from the CA Special Education Task Force
- Implementation of Employment First Policy
- Mental Health Treatment for Autism
- Social Skills Intervention for Adults & much more...

CME MAXIMUM OF 5.75
AMA PRA Category 1 Credits

IF YOU CANNOT ATTEND...
PLEASE GIVE BROCHURE TO A COLLEAGUE!
DATED MATERIAL



THIS ACTIVITY IS SELF-SUPPORTING AND RECEIVES NO STATE FUNDING.

Attachment

(e)



Sponsorship Request Application Checklist

The checklist below will help you identify the information needed to complete the sponsorship request application. We suggest you print this page to use while you gather information for the sponsorship application.

To allow sufficient time for processing and review, we recommend that sponsorship requests be submitted at least 3 months before an event. Please submit this checklist with the sponsorship request application.

Information Checklist

- Name of your Company/Organization
- Name of Project/Event/Program
- Project/Event Date
- Contact Name
- Contact Email, Address and Phone Number
- Amount of Funding Requested
- Approximate Total Cost of Project/Event
- The answer to this question: How this event/conference will increase the ability of consumers and family members to exercise control, choice and flexibility in the services and supports they receive, including a description of the specific way SCDD's funding would be utilized
- Event/Program Objectives
- Target Audience: The number and type of expected attendees (i.e. teachers, providers, administrators, etc.), including how many of those attendees are expected to be consumers and family members
- The answer to this question: How many presenters or panelists will participate in the event and what number of the presenters or panelists will be consumers
- A list of other sponsors/major contributors
- The answer to this question: How you will conduct outreach to increase consumer and family involvement in the conference
- Have you included a complete and total budget, including the amount you are requesting (\$999 limit), details on the amount and sources of other funds solicited or obtained

- Have you included a list of other SCDD sponsorships and grants you have previously requested and/or received
- Have you included a letter of recommendation from a consumer and/or family organization that supports your efforts to improve consumer and family self-advocacy

COUNCIL AGENDA ITEM DETAIL SHEET

ISSUE: Sponsorship request from Healings in Motion, Inc.

AMOUNT REQUESTED: \$999.00

BACKGROUND: The California State Council on Developmental Disabilities (Council) supports events that promote self-advocacy, leadership and education, thereby enabling people with developmental disabilities and their family members to expand their knowledge and skills.

ANALYSIS/DISCUSSION:

The Brain Health 5K Run/Walk will increase the ability of consumers and family members to exercise control, choice and flexibility in the services and support they receive.

SCDD's funding will be utilized to: help with advertising and printing materials to increase stroke awareness to consumers and family members. This is a community, cross disability event which brings awareness, resources and people San Joaquin County together.

The Objectives of the Brain Health 5K Run/Walk are:

- Increase stroke awareness
- Showcase services available for stroke prevention
- Be an avenue to increase awareness about brain health
- Raise funds to support Healings in Motions programs so they can continue to be offered at no or minimal cost to the public

The target audience for this event is:

- The approximate number of attendees: 300+
- The Percentage of Attendees that are SCDD consumers and/or family: Approximately 10-15%

COUNCIL STRATEGIC PLAN GOAL/OBJECTIVE: Individuals with developmental disabilities, their families and their support and/or professional staff will increase their knowledge and skills so as to effectively access needed educational and/or community-based services through at least 225 trainings, conferences, workshops, webinars, and/or resource materials developed by the Council on topics such as rights under IDEA, rights under California's Lanterman Act etc. on an annual basis.

PRIOR COUNCIL ACTIVITY: Since the beginning of FY 2015-16, the Council has awarded \$4,995 for sponsorship requests. The Council allocates \$25,000 per fiscal year for sponsorships. The fiscal year began July 1, 2015.

STAFF RECOMMENDATION: To approve Healings in Motion, Inc. request for sponsorship.

ATTACHMENTS(S): Healings in Motion, Inc.'s request for sponsorship request for sponsorship.

PREPARED: Kristie Allensworth, May 2, 2016



Sponsorship Request Application Checklist

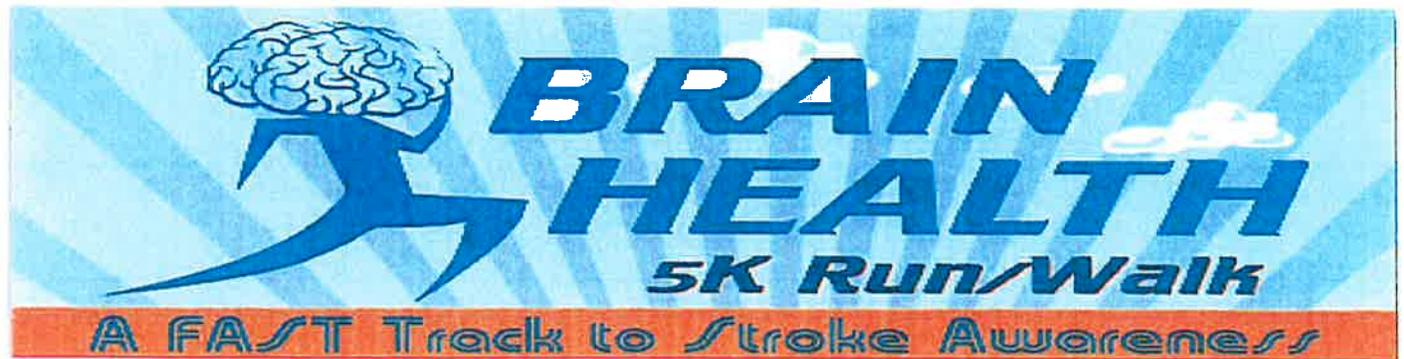
The checklist below will help you identify the information needed to complete the sponsorship request application. We suggest you print this page to use while you gather information for the sponsorship application.

To allow sufficient time for processing and review, we recommend that sponsorship requests be submitted at least 3 months before an event. Please submit this checklist with the sponsorship request application.

Information Checklist

- Name of your Company/Organization
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- Event/Program Objectives
- Target Audience: The number and type of expected attendees (i.e. teachers, providers, administrators, etc.), including how many of those attendees are expected to be consumers and family members
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- A list of other sponsors/major contributors
- The answer to this question: How you will conduct outreach to increase consumer and family involvement in the conference
- Have you included a complete and total budget, including the amount you are requesting (\$999 limit), details on the amount and sources of other funds solicited or obtained

- Have you included a list of other SCDD sponsorships and grants you have previously requested and/or received
- Have you included a letter of recommendation from a consumer and/or family organization that supports your efforts to improve consumer and family self-advocacy



Company Name: Healings in Motion, Inc.

Name of the Event: Brain Health 5K Run/Walk

Project Date: Saturday, June 25, 2016

Contact Person: Mary Nicholson

Contact Email: maryn@healingsinmotion.org

Address: 56 S. Lincoln Street, Stockton, CA 95203

Phone Number: 209-234-2802

Amount of Funding Requesting: \$999.00

Approximate Total Cost of Event: \$ 6,950

The Brain Health 5K Run/Walk will increase the ability of consumers and family members to exercise control, choice and flexibility in the services and support they receive:

SCDD's funding will be utilized to: help with advertising and printing materials to increase stroke awareness to consumers and family members. This is a community, cross disability event which brings awareness, resources and people San Joaquin County together

The Objectives of the Brain Health 5K Run/Walk are:

- Increase stroke awareness
- Showcase services available for stroke prevention
- Be an avenue to increase awareness about brain health
- Raise funds to support Healings in Motions programs so they can continue to be offered at no or minimal cost to the public

The target audience for this event are:

- The approximate number of attendees: 300+
- The Percentage of Attendees that are SCDD consumers and/or family: Approximately 10-15%

At this event there are not presenters however the event is Co-Chaired by George Lewis, Community Program Specialist II/QA Coordinator, SCDD- North Valley Hills Office and Danny Nuss, Director, Office of Services for Students with Disabilities at University of the Pacific - UOP

56 S. Lincoln Street • Stockton, CA 95203 • Phone: (209) 234-2802 • Fax (209) 910-0451
Healings in Motion is a Non-Profit Agency • EIN Number 26-1950010

In addition to SCDD, other sponsors of this event will include:

- ❖ River Islands- Housing Community in Lathrop, CA
- ❖ University of the Pacific
- ❖ Nu-You Weight Management
- ❖ Republic Waste
- ❖ Genentech
- ❖ St. Joseph's Medical Center
- ❖ Pfizer

How will you conduct outreach to increase consumer and family involvement:

This is our 2nd Annual Event and what we learned from the first event on how to market the vent and spread the word. We have one member on the planning team who has a disability and several agency members who will get the work out far and wide in San Joaquin and surrounding counties.

This event is in line with SCDD's 2011-2016 State Plan Goal 10- Health which states:

"Individuals with developmental disabilities understand their options regarding health services and have access to a full range of coordinated health, dental and mental health services in their community."

A coordinated effort with SCDD- North Valley Hills office will ensure that all publicity and materials will be sent out to the community to encourage consumer and family involvement. It will be posted on SCDD- North Valley Hills web page, Valley Mountain Regional Center's website, and family Resource Network to name a few. Email blast will also be used.

During the event -our planning team will acknowledge the event was made possible in part, with funding from the California State Council on Developmental Disabilities.

Detailed Budget for the Brain Health 5K Run/Walk, including the requested amount of \$999.00 are as follows:

Revenue	Detail		Total
Sponsorships	Various Levels	\$10,000	10,000
Fees	200 x \$25.00	5,000	5,000
T-Shirts	100 x \$10.00	1,000	1,000

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 Healings in Motion is a Non-Profit Agency • EIN Number 26-1950010

Recognition Wall	100 x \$10.00	1,000	1,000
Donations	Raised by Run/Walkers	4,500	4,500
			\$21,500

Fees include t-shirt *

Expenses	Detail	Cost (\$)	Total (\$)
Facility Rental	McLeod Lake Park	575.00	575.00
Security Deposit	City of Stockton	500.00	500.00
Electricity	City of Stockton	150.00	150.00
Fees	Fire, Police, Health	200.00	200.00
Port-A-Potty		300.00	300.00
Insurance	1mil/2mil liability	275.00	275.00
Rentals	Tables and Chairs	200.00	200.00
Bibs		200.00	200.00
Golf Cart		200.00	200.00
Security Guards		200.00	200.00
T-Shirts	200 x \$6	1,200.00	1,200.00
Mailings	Layout, Printing and Postage	500.00	500.00
Photographer		400.00	400.00
Banners		300.00	300.00
Balloons	Arch, Singles	500.00	500.00
Entertainment	DJ/Sound System	250.00	250.00
Advertising	Event Promotion	1000.00	1000.00
Water Stations		200.00	In-Kind
Prizes		500.00	In-Kind
Recognition Wall		200.00	In-Kind

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 Healings in Motion is a Non-Profit Agency • EIN Number 26-1950010

Promotional		1000.00	In-Kind
Food	Volunteers	250.00	In-Kind
Coffee/Tea		20.00	In-Kind
Total		7,320.00	6,950.00

Other SCDD sponsorships received: None

Letter from a consumer: Attached

Mary Nicholson

March 21, 2016

Hello State Council on Developmental Disabilities,

I am writing this letter in support of Brain Health 5K Walk/Run. I am a person with a developmental disability. I receive services from Valley Mountain Regional Center. My mother suffered a stroke in 2015, since then I have played a major roll in her rehabilitation. I believe this event will serve our community in many ways. The event will provide education about how to prevent stroke as well as what to do when there are signs of a potential stroke. I plan on being part of the walk this year and being part of the planning committee for next year's event. SCDD providing sponsorship for this event would be a huge help in spreading the word about health and stroke prevention.

Krisi Franzone

Self-Advocate and consultant to the Self Advocacy Council 6

209-649-8241

Franzone2468@att.net





BRAIN HEALTH

5K Run/Walk

A FAST Track to Stroke Awareness

PRESENTED BY *Healings* IN MOTION

Any one of these sudden signs could mean a stroke:



Face

Look for an uneven smile



Arm

Check if one arm is weak



Speech

Listen for slurred speech



Time

Brain Is Time. Call 911 right away

Saturday, June 25, 2016

Registration 7:30 a.m. Rally: 8:00 a.m.

Walk/Run Begins at 8:15 a.m.

McLeod Lake Park

Stockton, California

(Next to the University Plaza Waterfront Hotel)

Register to Walk (Team or Individual) and/or Donate at

<https://brainhealth5k.eventbrite.com>

Facebook www.facebook.com/brainhealth5k

2016 Co-Chairs:

Daniel Nuss: 209.946.3221

George Lewis: 209.473.6930

Your tax-deductible donation benefits Healings In Motion, a non-profit agency focused on brain health, especially stroke prevention. EIN # 26-1950010

INTRODUCTION TO HCBS FINAL RULE




Final Rule Medicaid HCBS



Disabled and Elderly Health Programs Group
Center for Medicaid and CHIP Services

Intent of the Final Rule

- To ensure that individuals receiving long-term services and supports through home and community based service (HCBS) programs under the 1915(c), 1915(i) and 1915(k) Medicaid authorities have full access to benefits of community living and the opportunity to receive services in the most integrated setting appropriate
- To enhance the quality of HCBS and provide protections to participants



Home and Community-Based Setting Requirements

- The home and community-based setting requirements establish an outcome oriented definition that focuses on the nature and quality of individuals' experiences
- The requirements maximize opportunities for individuals to have access to the benefits of community living and the opportunity to receive services in the most integrated setting



Home and Community-Based Setting Requirements

The final rule establishes:

- Mandatory requirements for the qualities of home and community-based settings including discretion for the Secretary to determine other appropriate qualities
- Settings that are not home and community-based
- Settings presumed not to be home and community-based
- State compliance and transition requirements



Home and Community-Based Setting Requirements

The Home and Community-Based setting:

- Is integrated in and supports access to the greater community
- Provides opportunities to seek employment and work in competitive integrated settings, engage in community life, and control personal resources
- Ensures the individual receives services in the community to the same degree of access as individuals not receiving Medicaid home and community-based services



Home and Community-Based Setting Requirements

- Is selected by the individual from among setting options, including non-disability specific settings and an option for a private unit in a residential setting
 - Person-centered service plans document the options based on the individual's needs, preferences; and for residential settings, the individual's resources



Home and Community-Based Setting Requirements

- Ensures an individual's rights of privacy, dignity, respect, and freedom from coercion and restraint
- Optimizes individual initiative, autonomy, and independence in making life choices
- Facilitates individual choice regarding services and supports, and who provides them



Home and Community-Based Setting Requirements for Provider-Owned or Controlled Residential Settings

Additional requirements:

- Specific unit/dwelling is owned, rented, or occupied under legally enforceable agreement
- Same responsibilities/protections from eviction as all tenants under landlord tenant law of state, county, city or other designated entity
- If tenant laws do not apply, state ensures lease, residency agreement or other written agreement is in place providing protections to address eviction processes and appeals comparable to those provided under the jurisdiction's landlord tenant law



Home and Community-Based Setting Requirements for Provider-Owned or Controlled Residential Settings

- Each individual has privacy in their sleeping or living unit
- Units have lockable entrance doors, with the individual and appropriate staff having keys to doors as needed
- Individuals sharing units have a choice of roommates
- Individuals have the freedom to furnish and decorate their sleeping or living units within the lease or other agreement
- Individuals have freedom and support to control their schedules and activities and have access to food any time
- Individuals may have visitors at any time
- Setting is physically accessible to the individual



Settings that are NOT Home and Community-Based

- Nursing facility
- Institution for mental diseases (IMD)
- Intermediate care facility for individuals with intellectual disabilities (ICF/IID)
- Hospital



Settings PRESUMED NOT to Be Home and Community-Based

- Settings in a publicly or privately-owned facility providing inpatient treatment
- Settings on grounds of, or adjacent to, a public institution
- Settings with the effect of isolating individuals from the broader community of individuals not receiving Medicaid HCBS



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Settings PRESUMED NOT to Be Home and Community-Based-Heightened Scrutiny

These settings (slide 18) may NOT be included in states' 1915(c), 1915(i) or 1915(k) HCBS programs unless:

- A state submits evidence (including public input) demonstrating that the setting does have the qualities of a home and community-based setting and NOT the qualities of an institution; AND
- The Secretary finds, based on a heightened scrutiny review of the evidence, that the setting meets the requirements for home and community-based settings and does NOT have the qualities of an institution



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1915(i) State Plan HCBS Benefit

The final rule implements the laws and requires the state to establish -

- Needs-based criteria and evaluation
- Independent assessment for each individual determined to be eligible for the benefit
- Person-centered service plan
- Provider qualifications
- Definition of individual's representative
- Self-directed services
- State responsibilities and quality improvement



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1915(i) State Plan HCBS Benefit - Provider Qualifications Requirements

The state defines -

- Standards for agency and individual providers
- Standards for agents conducting evaluations, assessment, and service plan development
- Conflict of interest standards to assure independence
(Note: Conflict of interest standards apply to public and private individuals and entities)



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CONFLICT OF INTEREST CODE AMENDMENTS

COUNCIL AGENDA ITEM DETAIL SHEET

ISSUE: AMENDMENT OF SCDD CONFLICT OF INTEREST CODE

BACKGROUND: Under state law, SCDD has a conflict of interest code (COI Code) that provides rules for all Council Members and certain employees to follow. The COI Code lists positions and assigns categories that tell what information a person has to report when he or she fills out the Statement of Economic Interests (Form 700). The Form 700 is a public document that alerts public officials and members of the public to the types of economic interests (i.e. assets) that may create conflicts of interests. SCDD is required to review its COI Code every two years and report to the Fair Political Practices Commission (FPPC) whether the Code needs to be amended. AB 1595 made changes relating to SCDD staff positions which make it necessary to now review and amend the COI Code. In addition, the Code must reasonably assure that assets which may be affected by Council decisions are addressed.

The COI code applies to “designated employees.” Under state law, “designated employees” includes Council Members.

ANALYSIS/DISCUSSION: The COI code is required to list any position which makes or participates in making decisions which may foreseeably have a material effect on any economic interest such as an investment, real property, business position, or source of income or gifts. (See Gov. Code § 82019(a)(3).) Typically, positions that involve voting, negotiating contracts, or making recommendations on purchases without substantive review must be included in a COI Code. Therefore, the proposed COI Code includes an updated “Designated Employees” list. This list addresses staff changes resulting from AB 1595 and also includes positions that were created since the Code was last adopted in 2003.

SCDD also must review the categories that tell what information must be reported. In accordance with this review, staff recommends changes to Category 1 in order to follow the FPPC rules. The proposed language is meant to capture the reporting of economic interests (e.g. assets) that may give rise to a potential conflict of interest.

At the January 2016 Council meeting, the Council was presented with decision points as discussed in the “SCDD Conflict of Interest Code: Decision Points.” (Attached.) In order to provide an easier-to-apply standard and have more complete reporting, the Council voted to select Decision A, Option 1. This option requires reporting of a business or source of income that has any involvement with developmental disabilities products or services. Under this option, it is not required to figure out whether the

business's or source of income's involvement with developmental disabilities products or services is its primary activity.

With regard to Decision B, the Council decided to further consider whether certain sources of income should be excluded from reporting on the Form 700 under the Council's Code. Specifically, Welfare and Institutions Code Section 4540(g) generally prohibits the Council from engaging in the administration of the day-to-day operation of service programs. The Council therefore considered further development of an exception from reporting for income from patients and clients who received direct services and who are unlikely to be explicitly involved in a Council decision. The Council is now presented with the Decision B question of whether there should be such an exception. If the Council rejects the proposed exception, then a Councilmember (or any other "designated employee") could simply not report the income received for providing direct services but would have to follow the procedure for notifying the FPPC outlined in Regulation 18740. (See 2 Cal. Code Regs. § 18740.) Whether or not the Council decides to include this exception, the proposed amendments to the COI Code will result in more disclosure and transparency than the current Code provides. This is because, under the current Code, only sources of income that "receive public funding and have as at least ten percent (10%) of their clientele, persons with developmental disabilities" are required to be reported on the Form 700.

In addition to these proposed changes, technical changes will be made to the first page of the COI Code so that it matches the updated Fair Political Practices Commission template.

Note that the COI Code applies primarily for purposes of reporting on the Form 700. A Councilmember or designated employee must still avoid making governmental decisions where there is a conflict of interest regardless of what information he or she publicly lists on the Form 700. (See attached Form 700 Schedule C for reporting a source of income.)

COUNCIL STATE PLAN GOAL: Goal 1: Self Advocacy (1.1) - Council will train, support and empower 1,450 self-advocates and continue to promote stability and expansion of the statewide self-advocacy network through financial and in-kind support. Compliance with the conflict of interest rules is required in order to serve on the Council.

PRIOR COUNCIL ACTIVITY: Approval of current COI code by the Council and FPPC in 2003. In September 2015, Administrative Committee reviewed and made minor edits to Category 1 with direction to staff to present revisions to the Executive Committee prior to approval by the Council. In October 2015, the Executive Committee reviewed, made additional edits to the Category 1 language, and recommended the Council approved the proposed language. At the December 2015, the Council reviewed proposed language and asked staff to return with clarifying

language. At its January 2016 meeting, the Council voted for a broader approach to the reporting of businesses and sources of income under Decision A, Option 1, and at the same time decided to further consider Decision B issues. The Executive Committee considered draft Decision B language. The Committee expressed a desire for a simplified approach but took no formal action.

RECOMMENDATION(S): If the desire is for a simpler approach, staff recommends that the Council does not add an exception for sources of income since there is a process for not reporting patients and certain clients under the FPPC rules.

ATTACHMENTS(S): SCDD Conflict of Interest Code Decision Point document; Basic Steps document; Form 700 Schedule C (Income); SCDD COI Code with proposed changes (clean version); SCDD COI Code with proposed changes (strikeout version); Current SCDD COI Code; Questions and Answers document.

PREPARED: Legal Counsel Natalie Bocanegra, May 11, 2016.

SCDD CONFLICT OF INTEREST CODE: DECISION POINT

The COI Code must specify which businesses or sources of income are reportable. The Council is presented with Decision B, described below. (The Council made a decision on Decision A in January.)¹

DECISION B

The current COI Code language only requires disclosure of organizations and not individuals. Under the proposed changes, individuals may be reportable. When an individual, such as a patient or client, is protected from reporting under state or Federal law, a Councilmember/designated employee can decide not to report the individual but must follow the process under Regulation 18740. Under this regulation, the FPPC makes the final determination regarding whether the patient or client, for example, must be reported.

Select One:

Councilmembers/designated employees should simply follow the process in Regulation 18740 if they believe a patient or client cannot be reported so that the FPPC will decide.

OR

The Council's Code should have an exception that applies to sources of income such as patients and clients who pay for "direct services" since the Council does not administer direct services and it is unlikely a Council decision will explicitly involve an individual patient or client.

If the Council would like an exception, the following language is proposed:

EXCEPTION: A source of income is not reportable if the income is received solely for a direct service provided directly to an individual to address a health, welfare, educational, or legal matter of the individual. A "direct service" does not include consultant services or other professional or expert advice provided to benefit a business or nonprofit organization.

¹ At its January 2016 meeting, the Council chose Option 1 requiring income to be reported if the income was from a business entity or source of income that is involved with products or services concerning persons with developmental disabilities: "A business entity or source of income is only reportable under this category if the business entity or source of income engages in offering, providing, purchasing or contracting for products or services concerning persons with developmental disabilities...."

BASIC STEPS FOR *NOT* REPORTING A SOURCE OF INCOME UNDER REGULATION 18740¹

The following outlines the steps of Regulation 18740 generally and is only a summary for informational purposes. This summary should not be followed in place of the actual regulation. For complete information and specific requirements see the full regulation.

Step 1: If you think an exception applies, you would not report the person (source of income) on the Form 700, but you must send the Fair Political Practices Commission (FPPC) an explanation regarding the non-reporting. For example, you can explain that the law protects the person against being reported because the person is a patient and patient confidentiality rules apply. (See Subdivision (a)(1).)

Step 2: Make required statements of non-reporting in writing. You must make them under penalty of perjury. (See Subdivision (a)(2).)

Step 3: The General Counsel of the FPPC will decide whether non-reporting is okay under the law. The General Counsel might ask for more information. (See Subdivision (b).)

Step 4(a): If the General Counsel decides the explanation given is not enough, the General Counsel will advise you in writing and direct you to report the person. You must follow the direction, or appeal to the full Commission, within 14 days. (See Subdivision (b)(1).)

Step 4(b): If the General Counsel accepts your explanation, you do not have to report the person. The General Counsel will communicate the decision to the Chair of the FPPC. The Chair can approve the decision or request a review by the full Commission. (See Subdivision (b)(2).)

Step 5(a): If the matter is looked at by the Commission, you have the chance to give written or oral testimony. If the Commission agrees with non-reporting, nothing else is required. (See Subdivision (c).)

Step 5(b): If the Commission disagrees with non-reporting and orders reporting, you must follow the order within 14 days. (See Subdivision (c).)

¹ 2 Cal. Code Regs. § 18740

SCHEDULE C
Income, Loans, & Business
Positions
 (Other than Gifts and Travel Payments)

CALIFORNIA FORM 700
 FAIR POLITICAL PRACTICES COMMISSION
 Name _____

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF SOURCE _____

YOUR BUSINESS POSITION _____

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 (For self-employed use Schedule A-2.)
 Partnership (Less than 10% ownership. For 10% or greater use
 Schedule A-2.)
 Sale of _____
 (Real property, car, boat, etc.)
 Loan repayment
 Commission or Rental Income, list each source of \$10,000 or more

 (Describe)
 Other _____
 (Describe)

▶ 1. INCOME RECEIVED

NAME OF SOURCE OF INCOME _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF SOURCE _____

YOUR BUSINESS POSITION _____

GROSS INCOME RECEIVED
 \$500 - \$1,000 \$1,001 - \$10,000
 \$10,001 - \$100,000 OVER \$100,000

CONSIDERATION FOR WHICH INCOME WAS RECEIVED
 Salary Spouse's or registered domestic partner's income
 (For self-employed use Schedule A-2.)
 Partnership (Less than 10% ownership. For 10% or greater use
 Schedule A-2.)
 Sale of _____
 (Real property, car, boat, etc.)
 Loan repayment
 Commission or Rental Income, list each source of \$10,000 or more

 (Describe)
 Other _____
 (Describe)

▶ 2. LOANS RECEIVED OR OUTSTANDING DURING THE REPORTING PERIOD

* You are not required to report loans from commercial lending institutions, or any indebtedness created as part of a retail installment or credit card transaction, made in the lender's regular course of business on terms available to members of the public without regard to your official status. Personal loans and loans received not in a lender's regular course of business must be disclosed as follows:

NAME OF LENDER* _____

ADDRESS (Business Address Acceptable) _____

BUSINESS ACTIVITY, IF ANY, OF LENDER _____

HIGHEST BALANCE DURING REPORTING PERIOD
 \$500 - \$1,000
 \$1,001 - \$10,000
 \$10,001 - \$100,000
 OVER \$100,000

INTEREST RATE _____ % None

TERM (Months/Years) _____

SECURITY FOR LOAN
 None Personal residence
 Real Property _____
 Street address _____
 City _____
 Guarantor _____
 Other _____
 (Describe)

Comments: _____

STRIKEOUT VERSION (with proposed changes)

STATE COUNCIL ON DEVELOPMENTAL DISABILITIES
Conflict of Interest Code

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Fair Political Practices Commission has adopted a regulation (2 California Code of Regulations Section 18730) that contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendix, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the State Council on Developmental Disabilities (SCDD).

Individuals holding designated positions shall file their statements of economic interests with the SCDD, which will make the statements available for public inspection and reproduction (Gov. Code Sec. 81008). Upon receipt of the statements from individuals in the following designated positions, the SCDD shall make and retain copies and forward the originals to the Fair Political Practices Commission:

- SCDD Members including ex officio Members.
- SCDD Designee (designated alternate) Members.
- SCDD Executive Director.

All other statements will be retained by the SCDD.

APPENDIX A

<u>Designated Employees</u>	<u>Applicable Disclosure Categories</u>
Members, State Council on Developmental Disabilities, including ex officio Members	1, 2
Designee (designated alternate) Members, State Council on Developmental Disabilities	1, 2
Executive Director, State Council on Developmental Disabilities	1, 2
Chief Deputy Director, State Council on Developmental Disabilities	1, 2
Deputy Directors, State Council on Developmental Disabilities	1, 2
Staff Services Manager II (Managerial)	1, 2
Staff Services Manager I	1, 2
Attorney	1, 2
Community Program Specialist IV	1, 2
Community Program Specialist III	1, 2
Consultants*	1, 2

DISCLOSURE CATEGORIES

Category 1

- (a) Except as limited in subsection (b) and (c) below, a designated employee in this category must report:
- (1) Business entities in which the designated employee has an investment or a business position; and
 - (2) Sources of income, including income that is in the form of gifts, loans, and travel payments, whether or not the source is a nonprofit organization.
- (b) A business entity or source of income is only reportable under this category if the business entity or source of income engages in offering, providing, purchasing or contracting for products or services concerning persons with developmental disabilities for the purpose of addressing developmental disabilities issues, including but not limited to consulting, representation, advocacy or direct services.
- (c) **{DECISION B}** A source of income is not reportable if the income is received solely for a direct service provided directly to an individual to address a health, welfare, educational, or legal matter of the individual. A "direct service" does not include consultant services or other professional or expert advice provided to benefit a business or nonprofit organization.

[NOTE: If Decision B language is included, take out "direct services" from prior section.]

Category 2

A designated employee in this category must disclose business entities in which he or she has an investment or a business position and sources of income, including gifts, loans, and travel payments, if the business entities or sources of income are of the type which within the previous two years contracted

01/28/16

SCDD Conflict of Interest Code

Page 2 of 3

with the State Council on Developmental Disabilities to provide equipment, leased space, materials, supplies or services, including consulting services, to or on behalf of the State Council on Developmental Disabilities.

* With respect to Consultants, the Executive Committee may, however, determine and document in writing that a particular consultant, although a "designated person," is hired to perform a range of duties that are limited in scope and thus is not required to comply with the disclosure requirements described in this Section. Such determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director will retain a copy of this determination and make it available for public inspection. Nothing herein excuses any such consultant from any other provision of this Conflict of Interest Code.

Note: Authority: Government Code Section 87300 - 87302 and 87306.

STRIKEOUT VERSION (with proposed changes)

STATE COUNCIL ON DEVELOPMENTAL DISABILITIES
Conflict of Interest Code

The Political Reform Act, (Government Code Section 81000, et seq.), requires state and local government agencies to adopt and promulgate ~~c~~Conflict of ~~i~~Interest ~~c~~Codes.

~~The Fair Political Practices Commission has adopted a regulation, (2 California Code of Regulations Section 18730), which that contains the terms of a standard c~~Conflict of ~~i~~Interest ~~c~~Code, which can be incorporated by reference in an agency's code. ~~After public notice and hearing, the standard code and which~~ may be amended by the Fair Political Practices Commission to conform to amendments ~~to in~~ the Political Reform Act. ~~after public notice and hearings.~~ Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. ~~along with the attached-~~ This regulation and the attached Appendix, designating positions and establishing disclosure categories, shall in which officials and employees are designated and disclosure categories are set forth, are hereby incorporated by reference and constitute the ~~c~~Conflict of ~~i~~Interest ~~c~~Code of the State Council on Developmental Disabilities (SCDD).

~~Designated employees~~ Individuals holding designated positions shall file their statements of economic interests with the ~~State Council on Developmental Disabilities~~ SCDD, which will make the statements available for public inspection and reproduction (Gov. Code Sec. 81008). Upon receipt of the statements from individuals in the following designated positions, the SCDD ~~The Council~~ shall make and retain ~~a copy~~ copies and forward the originals of the following statements to the Fair Political Practices Commission:

- SCDD ~~M~~members including ex officio ~~M~~members.
- SCDD Designee (designated alternate) ~~M~~members.
- SCDD Executive Director.

All other statements will be retained by the SCDD.

APPENDIX A

<u>Designated Employees</u>	<u>Applicable Disclosure Categories</u>
Members, State Council on Developmental Disabilities, including ex officio M members	1, 2
Alternate Designee (designated alternate) -Members, State Council on Developmental Disabilities	1, 2
Members, Area Boards on Developmental Disabilities	1, 2
Executive Director, State Council on Developmental Disabilities	1, 2
Chief Deputy Director, State Council on Developmental Disabilities	1, 2
Deputy Directors, State Council on Developmental Disabilities	1, 2
Executive Directors of local Area Boards on Developmental Disabilities	1, 2
Staff Services Manager II (Managerial)	1, 2
Staff Services Manager I	1, 2
Staff Counsel Attorney	1, 2
Community Program Specialist IV	1, 2
Community Program Specialist III	1, 2
Consultants*	1, 2

DISCLOSURE CATEGORIES

Category 1

- ~~(a) Except as limited in subsection (b) and (c) below, A~~ a designated employee in this category must disclose report:
- ~~(1) b~~ Business entities in which ~~he or she~~ the designated employee has an investment or a business position; and
 - ~~(2) s~~ Sources of income, including income that is in the form of gifts, loans, and travel payments, whether or not the source is a nonprofit organization ~~if the business entities or sources of income, receive public funding and have as at least ten percent (10%) of their clientele, persons with developmental disabilities.~~
- ~~(b) A business entity or source of income is only reportable under this category if the business entity or source of income engages in offering, providing, purchasing or contracting for products or services concerning persons with developmental disabilities for the purpose of addressing developmental disabilities issues, including but not limited to consulting, representation, advocacy or direct services.~~

(c) ~~{DECISION B}~~ A source of income is not reportable if the income is received solely for a direct service provided directly to an individual to address a health, welfare, educational, or legal matter of the individual. A "direct service" does not include consultant services or other professional or expert advice provided to benefit a business or nonprofit organization.

[NOTE: If Decision B language is included, take out "direct services" from prior section.]

Category 2

A designated employee in this category must disclose business entities in which he or she has an investment or a business position and sources of income, including gifts, loans, and travel payments, if the business entities or sources of income are of the type which within the previous two years contracted with the agency-State Council on Developmental Disabilities to provide equipment, leased space, materials, supplies or services, including consulting services, to or on behalf of the agencyState Council on Developmental Disabilities.

* With respect to Consultants, the Executive ~~Director-Committee~~ may, however, determine and document in writing that a particular consultant, although a "designated person," is hired to perform a range of duties that are limited in scope and thus is not required to comply with the disclosure requirements described in this Section. Such determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director will retain a copy of this determination and make it available for public inspection. Nothing herein excuses any such consultant from any other provision of this Conflict of Interest Code.

Note: Authority: Government Code Section 87300 - 87302 and 87306.

STATE COUNCIL ON DEVELOPMENTAL DISABILITIES
Conflict of Interest Code

The Political Reform Act, Government Code Section 81000, et seq., requires state and local government agencies to adopt and promulgate Conflict of Interest Codes.

The Fair Political Practices Commission has adopted a regulation, 2 California Code of Regs. Section 18730, which contains the terms of a standard Conflict of Interest Code, which can be incorporated by reference, and which may be amended by the Fair Political Practices Commission to conform to amendments to the Political Reform Act after public notice and hearings. Therefore, the terms of 2 California Code of Regs. Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission, along with the attached Appendix in which officials and employees are designated and disclosure categories are set forth, are hereby incorporated by reference and constitute the Conflict of Interest Code of the State Council on Developmental Disabilities.

Designated employees shall file statements of economic interests with the State Council on Developmental Disabilities. The Council shall make and retain a copy and forward the original of the following statements to FPPC:

- SCDD members including ex officio members.
- SCDD alternate members.
- SCDD Executive Director.

APPENDIX A

Designated Employees

Applicable Disclosure Categories

Members, State Council on Developmental Disabilities, including ex officio members	1, 2
Alternate Members, State Council on Developmental Disabilities	1, 2
Members, Area Boards on Developmental Disabilities	1, 2
Executive Director, State Council on Developmental Disabilities	1, 2
Chief Deputy Director, State Council on Developmental Disabilities	1, 2
Deputy Directors, State Council on Developmental Disabilities	1, 2
Executive Directors of local Area Boards on Developmental Disabilities	1, 2
Staff Services Manager 1	1, 2
Staff Counsel	1, 2
Consultants *	1, 2

DISCLOSURE CATEGORIES

Category 1

A designated employee in this category must disclose business entities in which he or she has an investment or a business position and sources of income, including gifts, loans, and travel payments, if the business entities or sources of income, receive public funding and have as at least ten percent (10%) of their clientele, persons with developmental disabilities.

Category 2

A designated employee in this category must disclose business entities in which he or she has an investment or a business position and sources of income, including gifts, loans, and travel payments, if the business entities or sources of income are of the type which within the previous two years contracted with the agency to provide equipment, leased space, materials, supplies or services, including consulting services, to or on behalf of the agency.

(+)

*With respect to Consultants, the Executive Director may, however, determine in writing that a particular consultant, although a "designated person," is hired to perform a range of duties that are limited in scope and thus is not required to comply with the disclosure requirements described in this Section. Such determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director will retain a copy of this determination and make it available for public inspection. Nothing herein excuses any such consultant from any other provision of this Conflict of Interest Code.

Note: Authority: Government Code Section 87300-87302 and 87306

This is the last page of the conflict of interest code for the **State Council on Developmental Disabilities**.



CERTIFICATION OF FPPC APPROVAL

Pursuant to Government Code Section 87303, the conflict of interest code for the **State Council on Developmental Disabilities** was approved on **June 13, 2003**.

A handwritten signature in black ink, appearing to read "Mark Krausse", written over a horizontal line.

Mark Krausse
Executive Director
Fair Political Practices Commission

Pursuant to Government Code Section 11346.2

Secretary of State Filing Date: 8/13/03

Effective: 9/13/03

**SCDD CONFLICT OF INTEREST CODE
PROPOSED AMENDMENTS
QUESTIONS & ANSWERS**

1. What is a Conflict of Interest Code?

A Conflict of Interest Code (COI Code) gives employees and the public information about individuals who work for an agency. The COI Code lists the people who are required to file a Form 700 and report their “economic interests” (real property, investments, and sources of income). The COI Code also tells which types of economic interests have to be reported.

2. Why do we need a COI Code if we already have a Form 700?

The Form 700 gives general rules for reporting *all* economic interests. In comparison, the COI Code gives specific reporting rules for a particular agency and may require *less* reporting. Under the law, people should only have to report items that can be affected by their specific government work. Therefore, an agency must use the COI Code to limit reporting to only those items that could be affected. The COI Code tells each individual what reporting rules apply to him or her.

3. Why are we changing our COI Code now?

Changes are recommended due to AB 1595 amendments affecting SCDD’s structure and potential conflict of interest issues identified during review. State law requires that each COI Code reflect the current structure of the agency and properly identify officials who should be reporting on the Form 700.

4. What are my responsibilities as a Council member when considering proposed changes?

As a Council Member, you are required to review proposed changes to the COI Code. State law requires that the COI Code reasonably address all foreseeable potential conflict of interest situations. However, the need to provide information to the public must be balanced with an individual's right to privacy. Your responsibility is to think about these issues when reviewing the changes.

5. What changes are being proposed?

One main change is to update the position list due to AB 1595 organizational changes and other changes since the code was last adopted in 2003.

Another main change is to eliminate the Category 1 rule that a business or source of income is reportable only if it:

- 1) receives public funding; and
- 2) has 10% of its clientele persons with developmental disabilities.

Staff recommends replacing this "public funding – 10% clientele" rule because it is too narrow and does not adequately address foreseeable conflict of interest situations. At its January 2016 meeting, the Council decided to replace this with a rule based on whether a business or source

of income deals with products or services concerning persons with developmental disabilities.

6. How will the proposed changes affect my reporting?

If you have an economic interest such as a businesses or source of income that is involved with developmental disabilities products or services, you may have additional reporting.

7. Are Council Members “Designated Employees?”

Yes. Under the Government Code, a “designated employee” includes any officer, employee, member, or consultant of an agency whose position is listed in a COI Code because the position “entails the making or participation in the making of decisions which may foreseeably have a material effect on any financial interest.”

8. Do doctors have to report their patients? Do attorneys have to report their clients?

A patient or client does not have to be reported if the law protects their confidentiality. However, generally, the rules of Regulation 18740 must be followed before a patient, client, or other protected person can be excluded from reporting. Decision B is meant to address this issue.

9. How will the proposed changes affect whether I have a conflict of interest?

The proposed changes only deal with reporting. They do not change the conflict of interest rules that deal with participating in decisions.

10. What are the next steps?

Proposed changes will be submitted to the FPPC for review. Public comment will also begin.

REGIONAL CENTER COI WAIVER REQUEST

COUNCIL AGENDA ITEM DETAIL SHEET

ISSUE: Regional Center Conflict of Interest (COI)

SUMMARY: Amendments to the Lanterman Act made by AB 1595 resulted in redefining the Area Boards into Regional Advisory Committees, changing their appointment authority and scope. Under an interim process, the State Council on Developmental Disabilities (Council) is presented with a recommendation on the below conflict of interest resolution plan.

BACKGROUND: The Lanterman Act requires regional centers to submit conflict of interest statements and proposed resolution plans to the Department of Developmental Services (DDS) and the Council. (W&I Code 4626(l).) Under the Act, as amended by AB 1595, the Director of DDS may waive conflict of interest requirements of a contracting agency (i.e., a regional center) with approval of the State Council. (See W&I Code 4628.) Current DDS regulations address action by an Area Board in addition to action by the Council. The regulations also extend the Council's role to matters involving regional center employees and others. SCDD staff has identified a need to discuss with DDS how to appropriately address these issues and the requests for approval of proposed resolution plans.

The Council is being presented with information reviewed under the existing SCDD criteria and interim process approved by the Council. This interim approach provides for staff analysis of regional center conflict of interest issues.

FACTS - FNRC Board Member Leslie Corletto: Leslie Corletto is a member of the governing board of the Far Northern Regional Center (FNRC). Ms. Corletto recently accepted part-time employment with Rowell Family Employment (Rowell) as a bilingual parent assistant. Rowell is an Early Start Family Resource Center that serves families of infants and toddlers who have or are at risk of developing a disability. Ms. Corletto has not previously been granted a conflict of interest waiver.

The catchment area of FNRC is predominantly rural, and it is difficult to recruit qualified members for the board. FNRC has a public member

recruitment and appointment process which is subject to public review and engagement. FNRC recruits prospective board members by advertising their recruitment efforts within the agency, with their community partners, and using public forums, websites, and e-blast notifications. Where there are County Coordinating Councils, the Councils make recommendation to the County Board of Supervisors who make the actual appointment. Where there are counties without a county Coordinating Council, final appointments are decided by the membership committee of the FNRC Board of Directors.

DISCUSSION:

Conflict of Interest: DDS Regulation 54520 provides, in part, that a conflict of interest exists when the board member, or a family member of a regional center board member, holds any of the below positions for a provider:

- (1) a governing board member;
 - (2) a board committee member;
 - (3) a director;
 - (4) an officer;
 - (5) an owner;
 - (6) a partner;
 - (7) a shareholder;
 - (8) a trustee;
 - (9) an agent;
 - (10) an employee;
 - (11) a contractor;
 - (12) a consultant;
 - (13) a person who holds any position of management; or
 - (14) a person who has decision or policy making authority.
- (See 17 Cal. Code § 54520(a).)

With regard her employment with Rowell, Ms. Corletto identifies a conflict of interest under Regulation 54520(a)(10). Therefore, she submits a Conflict of Interest Resolution Plan for approval. Specifically, her plan consists of the following:

- She does not, and will not in the future, make any recommendations, participate in any discussion or vote on any vendor contract with FNRC regarding Rowell or any other family resource center serving families of infants and toddlers who have a diagnosed disability or who are at risk of developing a disability;
- Should the issue of Rowell or any other family resource center service arise during the course of a FNRC Board of Directors' meeting, she will excuse herself from the room;
- She will not promote Rowell to FNRC staff or consumers;
- She will not discuss FNRC business or activities, or consumers with any principals or employees of Rowell;
- She will not access any files kept by FNRC relating to Rowell's vendorization, nor will she access any files relating to other family resource centers, should there be one;
- She will not participate individually, or as part of a group, in the preparation, presentation, formulation or approval of reports, plans, policies, analyses, opinions or recommendations pertaining to Rowell.

W&I Code Section 4622 Requirements: In addition to the requirements of Regulation 54520, W&I Code Section 4622(k) says that member of a board who is also an employee of a regional center provider shall not:

- (1) Serve as an officer of the board;
- (2) Vote on any fiscal matter affecting the purchase of services from any regional center provider;
- (3) Vote on any issue in which the member has a financial interest under Government Code Section 87103 and determined by the regional center board.

Since Rowell is a FNRC provider, Ms. Corletto's conflict resolution plan must follow the rules of Section 4622.

After reviewing the submitted proposal and assuming that Ms. Corletto does not serve as an officer of the FNRC board, the staff recommendation is to approve Ms. Corletto's waiver request since FNRC engages in the public board member recruitment process, described above, and the proposed plan mitigates the opportunity for a resulting conflict of interest.

COUNCIL STRATEGIC PLAN OBJECTIVE: None

PRIOR COUNCIL ACTIVITY: None.

STAFF RECOMMENDATION: Assuming that Ms. Corletto complies with Section 4622 requirements, the staff recommendation is to approve Ms. Corletto's waiver request.

ATTACHMENT: Phillips COI reporting statement and resolution plan.

PREPARED BY: Legal Counsel Natalie Bocanegra, April 28, 2016.



Far Northern Regional Center

Providing services and supports that allow persons with developmental disabilities to live productive and valued lives

Laura Larson
Executive Director

March 28, 2016

State Council on Developmental Disabilities
1507 21st Street, Suite 210
Sacramento, CA 95811

Attention: Aaron Carruthers, Acting Executive Director

Re: Conflict of Interest, FNCC Board Member, Leslie Corletto

Dear Mr. Carruthers:

Enclosed, please find the following documents regarding the potential Conflict of Interest of FNRC/FNCC Board Member, Leslie Corletto:

- Request for Waiver of Potential Conflict of Interest – FNCC Board member Leslie Corletto
- Proposed Conflict of Interest Avoidance/Mitigation Plan – Board of Directors' member Leslie Corletto
- Conflict of Interest Reporting Statement

The originals have been sent to the Department of Developmental Services for review.

Thank you for your consideration in this matter.

Sincerely,



Cynthia Presidio
Executive Assistant

Enclosures

cc: Allan Smith, Department of Developmental Services
FNCC Executive Committee
Leslie Corletto

www.farnorthernrc.org

March 25, 2016

Board of Directors and
Laura Larson, Executive Director
Far Northern Regional Center
P.O. Box 492418
Redding, CA 96049-2418

Re: Proposed Conflict of Interest Avoidance/Mitigation Plan –
Board of Directors Member Leslie Corletto

**TO THE BOARD OF DIRECTORS AND EXECUTIVE DIRECTOR OF FAR NORTHERN
REGIONAL CENTER:**

I am a member of Far Northern Regional Center's Board of Directors as a representative from Shasta County.

I recently accepted part-time employment with Rowell Family Empowerment ("Rowell") as a part-time bilingual parent assistant. Rowell is a Early Start Family Resource Center that serves families of infants and toddlers who have or are at risk of developing a disability.

I have signed an updated conflict of interest reporting statement disclosing this change in circumstances.

Since Rowell is a FNRC provider, I understand that my employment raises potential for a conflict of interest. Under the circumstances, I have prepared a plan to avoid or mitigate any actual conflict with Rowell, and request assistance from the Board in obtaining a DDS waiver.

In my capacity as a FNRC Board Member, I do not have opportunity or authority to approve any contract with Rowell, or with any other provider of family resource services.

In my employment with Rowell, I do not have any business decision-making authority, nor do I have authority to approve or enter into a contract on behalf of Rowell.

The purpose of this letter is to ask the Board to approve my avoidance/mitigation plan, and to submit a request for conflict waiver to DDS on my behalf. My plan is:

1. I do not currently, nor will I in the future, make any recommendation, participate in any discussion or vote on any vendor contract with FNRC regarding Rowell or any other family resource center serving families of infants and toddlers who have a diagnosed disability or who are at risk of developing a disability;

Proposed Conflict of Interest Avoidance/Mitigation Plan – Leslie Corletto

March 25, 2016

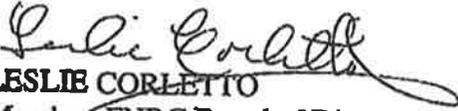
Page 2

2. Should the issue of Rowell or any other family resource center service arise during the course of a FNRC Board of Directors' meeting, I will excuse myself from the room;
3. I will not promote Rowell to FNRC staff or consumers;
4. I will I will not discuss FNRC business or activities, or consumers with any principals or employees of Rowell;
5. I will not access any files kept by FNRC relating to Rowell's vendorization, nor will I access any files relating to other family resource centers, should there be one.
6. I will not participate individually, or as part of a group, in the preparation, presentation, formulation or approval of reports, plans, policies, analyses, opinions or recommendations pertaining to Rowell.

Executive Director Laura Larson and the Chairperson of FNRC's Board of Directors have agreed to oversee the plan and ensure that its safeguards are applied.

Thank you for your assistance.

Very truly yours,


LESLIE CORLETTO
Member, FNRC Board of Directors



Laura Larson
Executive Director

Far Northern Regional Center

Providing services and supports that allow persons with developmental disabilities to live productive and valued lives.

March 28, 2016

Allan Smith
Regional Center Operations Section
Department of Developmental Services
1600 Ninth Street, Room 320 (MS 3-9)
Sacramento, CA 95814

Re: Request for Waiver of Potential Conflict of Interest –
FNCC Board Member Leslie Corletto

Dear Mr. Smith:

FNCC Board member Leslie Corletto has disclosed a potential conflict of interest under California Code of Regulations, Title 7, sections 54500, *et seq.* She is requesting a conflict waiver.

Mrs. Corletto was recently employed by the Rowell Family Empowerment Center ("Rowell"), as a part-time bilingual parent assistant. Rowell is an Early Start Family Resource Center that serves families of infants and toddlers who have or are at risk of developing a disability.

Once Mrs. Corletto accepted employment with Rowell, she submitted an updated conflict of interest reporting statement disclosing the change in circumstances (attached).

As a FNCC Board member, Ms. Corletto has not had opportunity or authority to approve any contract with Rowell. However, in light of the potential conflict of interest raised by her employment, she has prepared a plan to avoid or mitigate any actual conflict of interest. (See proposed conflict resolution plan, attached).

The Board Chairperson, with support from the remaining Board members, shall be responsible for ensuring that the conditions stated in the plan are applied. This letter, with its attachments, serves as a Request for Waiver as prescribed by California Code of Regulations, Title 7, section 54533.

www.farnorthernrc.org

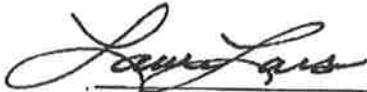
- REDDING MAIN OFFICE: P. O. Box 492418 Redding, CA 96049-2418 1900 Churn Creek Rd. Suite 319 Redding, CA 96002-0277 (530) 222-4791 Fax (530) 222-8908
- CHICO OFFICE: 1377 East Lassen Ave. Chico, CA 95973-7824 (530) 895-8633 FAX (530) 332-1497
- REGIONAL OFFICES in: Lake Almanor and Mount Shasta

Allan Smith
March 28, 2016
Page 2

Please contact Executive Director Laura Larson if you have any questions concerning this matter.

Thank you for your assistance.

Sincerely,



LAURA L. LARSON
Executive Director



MARGARET SHIPP
Chairperson, FNCC Board of Directors

Encls: Conflict of Interest Reporting Statement – Leslie Corletto (3/7/16)
Proposed Conflict of Interest Resolution Plan – Leslie Corletto

cc: State Council on Developmental Disabilities
FNCC Executive Committee
Leslie Corletto



**CONFLICT OF INTEREST REPORTING STATEMENT
DS 6016 (Rev. 08/2013)**

The duties and responsibilities of your position with the regional center require you to file this Conflict of Interest Reporting Statement. The purpose of this statement is to assist you, the regional center and the Department of Developmental Services (DDS) to identify any relationships, positions or circumstances involving you which may create a conflict of interest between your regional center duties and obligations, and any other financial interests and/or relationships that you may have. In order to be comprehensive, this reporting statement requires you to provide information with respect to your financial interests.

A "conflict of interest" generally exists if you have one or more personal, business, or financial interests, or relationships that would cause a reasonable person with knowledge of the relevant facts to question your impartiality with respect to your regional center duties. The specific circumstances and relationships which create a conflict of interest are set forth in the California Code of Regulations, title 17, sections 54500 through 54530. You should review these provisions to understand the specific financial interests and relationships that can create a conflict of interest.

Please answer the following questions to the best of your knowledge. If you find a question requires further explanation and/or there is not enough space to thoroughly answer the question, please attach as many additional sheets as necessary, and refer to the question number next to your answer. If the regional center identifies a conflict involving you, it will be required to prepare a conflict resolution plan. Some relevant definitions have been provided in the footnotes to assist you in responding to this statement.

You are required to file this Reporting Statement within 30 days of beginning your employment with the regional center or from the date that you are appointed to the regional center board or advisory committee board. You are then required to file an annual Reporting Statement by August 1st of every year while you remain employed with the regional center or while you are a member of the regional center board or advisory committee board. You must also file a Reporting Statement within 30 days of any change in your status that could result in a conflict of interest. Circumstances that can constitute a change in your status that can require you to file an updated Reporting Statement are described below in footnote one.

A. INFORMATION OF REPORTING INDIVIDUAL

Name: Leslie Corletto Regional Center: Far Northern Regional Center

Regional Center Position/Title: Governing Board Member Executive Director
 Vendor Advisory Committee sitting on Board Employee
 Contractor Agent Consultant

Reporting Status: Annual New Appointment (date): _____
 Change of Status¹

If a change in status, date and circumstance of change in status:
 Accepted employment with a FNRC provider, Rowell Family Empowerment

1. Please list your job title and describe your job duties at the regional center.
 FNCC Board Member

¹ Change of status includes a previously unreported activity that should have been reported, change in the circumstance of a previously reported activity, change in financial interest, familial relationship, legal commitment, change in regional center position or duties, change in regional center, or change to outside position or duties. See California Code of Regulations, title 17, sections 54531(d) and 54532(d).

- | |
|--|
| <input checked="" type="checkbox"/> Governing Board Member |
| <input type="checkbox"/> Vendor Advisory on Board |
| <input type="checkbox"/> Executive Director |
| <input type="checkbox"/> Employee/Other |

2. Do you or a family member² work for any entity or organization that is a regional center provider or contractor? yes no – If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers. If the provider or contractor is a state or local governmental entity, provide the specific name of the state or local governmental entity and describe your job duties at the state or local governmental entity.

I am currently employed part-time as a bilingual parent assistant with Rowell Family Empowerment, a regional center provider. Rowell is a Early Start Family Resource Center, serving families of infants and toddlers who have a diagnosed disability or who are at risk of developing a disability due to certain risk factors.

3. Do you or a family member own or hold a position³ in an entity or organization that is a regional center provider or contractor? yes no – If yes, provide the name of the entity or organization, describe what services it provides for the regional center or regional center consumers, and describe your or your family member's financial interest.

See #2, above

4. Are you a regional center advisory committee board member? yes no – If yes, are you a member of the governing board or owner or employee of an entity or organization that provides services to the regional center or regional center consumers? yes no – If yes, provide the name of the entity or organization and describe what services it provides for the regional center or regional center consumers.

5. If you are a regional center advisory committee board member and answered yes to all the questions in Question 4 above, do any of the following apply to you: (a) are you an officer of the regional center board; (b) do you vote on purchasing services from a regional center provider; or (c) do you vote on matters where you might have a financial interest? yes no -- If yes, please explain.

² Family member includes your spouse, domestic partner, parents, stepparents, grandparents, siblings, stepsiblings, children, stepchildren, grandchildren, parent-in-laws, brother-in-laws, sister-in-laws, son-in-laws and daughter-in-laws. See California Code of Regulations, title 17, sections 54505(f).

³ For purposes of this question, hold a position generally means that you or a family member is a director, officer, owner, partner, employee, or shareholder of an entity or organization that is a regional center provider or contractor. For a specific description of positions that create a conflict of interest in a regional center provider or contractor see the California Code of Regulations, title 17, sections 54520 and 54526.

- | |
|--|
| <input checked="" type="checkbox"/> Governing Board Member |
| <input type="checkbox"/> Vendor Advisory on Board |
| <input type="checkbox"/> Executive Director |
| <input type="checkbox"/> Employee/Other |

6. Do any of the decisions you make when performing your job duties with the regional center have the potential to financially benefit you or a family member⁴? [Note: Governing board members do not have to answer "yes" to this question if the financial benefit would be available to regional center consumers or their families generally].
 yes no – If yes, please explain.

7. Are you responsible for negotiating, making,⁵ executing or approving contracts on behalf of the regional center? yes no – If yes, please explain.

I am a voting member of the Board of Directors pursuant to Welfare & Institutions Code section 4825.5 and related provisions

8. Do you have a financial interest in any contract⁶ with the regional center? yes no – If yes, did you negotiate, make, execute or approve the contract on behalf of the regional center? yes no – If yes, please explain.

9. Do any of your family members have a financial interest in any contract with the regional center? yes no
 If yes, did you negotiate, make, execute or approve the contract on behalf of the regional center? yes no
 If yes, please explain.

⁴ Generally, a decision can financially benefit you or a family member if the decision can either directly or indirectly cause you or a family member to receive a financial gain or avoid a financial loss. For a specific description of the types of decisions that can result in a financial benefit to you or a family member see the California Code of Regulations, title 17, sections 54522 and 54527.

⁵ California Code of Regulations, title 17, sections 54523(b)(2) and 54528(b)(2) describes the types of conduct which constitute involvement in the making of a contract.

⁶ For purposes of questions 8 and 9, a financial interest in a contract generally means any direct or indirect interest in a contract that can cause you or a family member to receive any sort of financial gain or avoid any sort of financial loss irrespective of the dollar amount. California Code of Regulations, title 17, sections 54523 and 54528 define when financial interests in a contract will occur.

<input checked="" type="checkbox"/> Governing Board Member
<input type="checkbox"/> Vendor Advisory on Board
<input type="checkbox"/> Executive Director
<input type="checkbox"/> Employee/Other

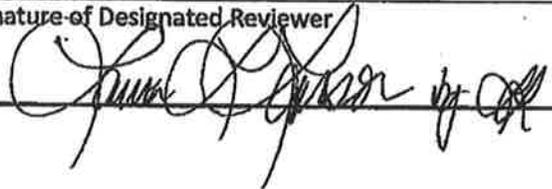
10. Do you evaluate employment applications or contract bids that are submitted by your family member(s)?
 yes no – If yes, please explain.

11. Your job duties require you to act in the best interests of the regional center and regional center consumers. Do you have any circumstances or other financial interests not already discussed above that would prevent you from acting in the best interests of the regional center or its consumers? yes no – If yes, please explain.

B. ATTESTATION

I Leslie Corletto (print name) HEREBY CONFIRM that I have read and understand the regional center's Conflict of Interest Policy and that my responses to the questions in this Conflict of Interest Reporting Statement are complete, true, and correct to the best of my information and belief. I agree that if I become aware of any information that might indicate that this statement is not accurate or that I have not complied with the regional center's Conflict of Interest Policy or the applicable conflict of interest laws, I will notify the regional center's designated individual immediately. I understand that knowingly providing false information on this Conflict of Interest Reporting Statement shall subject me to a civil penalty in an amount up to fifty thousand dollars (\$50,000) pursuant to Welfare and Institutions Code section 4626.

Signature  Date 03/07/2016

INTERNAL USE ONLY	
Date this Statement was received by Reviewer:	
The reporting individual <input checked="" type="checkbox"/> does <input type="checkbox"/> does not have a <input type="checkbox"/> present <input checked="" type="checkbox"/> potential conflict of interest	
Signature of Designated Reviewer <u></u>	Date Review Completed <u>3/25/16</u>

STATE PLAN COMMITTEE



DRAFT

SPC Committee Meeting Minutes

DATE: February 2, 2016

Attending Members

Sandra Aldana (SA)
Rebecca Donabed (SA)
Carmela Garnica (FA)
Robin Hansen
Janelle Lewis (FA)
Sandra Smith (FA) **Chair**

Members Absent

Others Attending

Bob Giovati
Vicki Smith
Dawn Morley
Janet Fernandez
Connie Lapin (FA)
Harvey Lapin
Jay Lytton (SA)

1. CALL TO ORDER

Chairperson Sandra Smith (FA) called the meeting to order at 10:10 a.m.

2. ESTABLISH QUORUM

A quorum was established.

3. WELCOME/INTRODUCTIONS

Members and others introduced themselves as indicated.

4. PUBLIC COMMENTS

A member of the public commented that the Council must monitor the service system for equitable access that addresses the specific needs of individuals, reflecting the core principle of person-centered planning.

5. DISCUSSION OF POSSIBLE STATE PLAN GOALS AND OBJECTIVES

At the January 20, 2016 meeting, the Council accepted the Proposed State Plan (in concept) with the directive that the State Plan Committee convene to develop policy language and build measurements into the

State Plan Objectives. The revised (Proposed) State Plan Goal and Objective document would then be forwarded to the Executive Committee for approval at the February 9, 2016 meeting to release the document for the required 45-Day public comment period. It was noted that only substantive changes to Goals will require an additional public comment period; changes to Objectives do not require an additional comment period.

For more information, please see Attachment 1 which can be viewed by clicking on the following link:

<http://www.sccd.ca.gov/res/docs/pdf/State%20Plan/2016/SPC%20Draft%20Meeting%20Min%201%20and%202%20Attach%20for%202%202%2016.pdf>

The Final State Plan must be submitted to AAIDD by August 15, 2016.

Committee members reviewed documents that were submitted to Council staff after the 1-20-16 Council meeting which outlined suggested language changes and comments for consideration by the Committee.

Based on the Council's recommendations, submitted comments, and further Committee discussion, the State Plan Committee reviewed and revised the proposed language for Goals and corresponding Objectives.

For more information, please see Attachment 2 which can be viewed by clicking on the following link:

<http://www.sccd.ca.gov/res/docs/pdf/State%20Plan/2016/SPC%20Draft%20Meeting%20Min%201%20and%202%20Attach%20for%202%202%2016.pdf>

The following motions were given by the committee: for each of the goals and objectives:

Goal 1 and Objectives: Moved/Seconded (Hansen/Lewis [FA]) to adopt the language, as revised by the Committee and bring to the Executive. There were no abstentions.

Goal 2 and Objectives: Moved/Seconded (Lewis FA)/Smith [FA]) to adopt the language, as revised by the Committee and bring to the Executive Committee. There were no abstentions.

Goal 3 and Objectives: Moved/Seconded (Lewis [FA]/Donabed [SA]) to adopt the language, as revised by the Committee and bring to the Executive Committee. There were no abstentions.

Goal 4 and Objectives: Moved/Seconded (Aldana [SA]/Donabed [SA]) to adopt the language, as revised by the Committee and bring to the Executive Committee. There were no abstentions.

Goal 5 and Objectives: Moved/Seconded (Lewis [FA]/Smith [FA]) to adopt the language, as revised by the Committee and bring to the Executive Committee. There were no abstentions.

Goal 6 and Objectives: Moved/Seconded (Lewis [FA]/Smith [FA]) to adopt the language, as revised by the Committee and bring to the Executive Committee. There were no abstentions.

Roll Call Voting Record:

Member Name	Goal 1	Goal 2	Goal 3	Goal 4	Goal 5	Goal 6
Sandra Aldana	For	Not present	For	For	For	For
Rebecca Donabed	For	For	For	For	For	Not present
Carmela Garnica	Not Present	For	For	For	For	For
Robin Hansen	For	Not Present				
Janelle Lewis	For	For	For	For	For	For
Sandra Smith	For	For	For	For	For	For

6. FUTURE 2016 MEETING DATES

Next meeting is set for February 11, 2016 from 9:30 a.m. to 3:30 p.m.

Legend
 SA= Self-Advocate
 FA = Family Advocate

7. ADJOURN

Meeting adjourned at 4:30 p.m.



DRAFT

SPC Committee Meeting Minutes

DATE: February 11, 2016

Attending Members

Sandra Aldana (SA)
Robin Hansen
Janelle Lewis (FA)
Sandra Smith (FA) **Chair**

Members Absent

Rebecca Donabed (SA)
Carmela Garnica (FA)

Others Attending

Aaron Carruthers
Vicki Smith
Dawn Morley
Janet Fernandez
Sheryl Matney

1. CALL TO ORDER

Chairperson Sandra Smith (FA) called the meeting to order at 9:50 a.m.

2. ESTABLISH QUORUM

A quorum was established.

3. WELCOME/INTRODUCTIONS

Members and others introduced themselves as indicated.

4. PUBLIC COMMENTS

There were no public comments.

5. DISCUSSION OF POSSIBLE REVISION OF STATE PLAN

Draft Revised Goals and Objectives were submitted to Sheryl Matney (NACDD/ITACC), who provided preliminary feedback regarding the draft Revised Goals and Objectives.

The revised (Proposed) State Plan Goal and Objective document was forwarded to the Executive Committee for approval at the February 2, 2016 meeting. The Executive Committee approved the Goals with recommended edits (to include measurement [e.g. increase/decrease] language) and to release the Goal document for the required 45-day public comment period.

Legend

.SA = Self Advocate

FA = Family Member

Ms. Matney joined the group via teleconference. It was noted that only substantive changes to Goals would require an additional public comment period and AAIDD requires only Goals be posted for the comment period; the posting of Objectives is discretionary. Based on possible changes to the Objectives and the timeline requirements, the Goals only will be posted for public comment but will be framed with background and process, noting that the plan will include Objectives and a Work Plan.

Ms. Matney referenced the Draft State Plan Review Tool that outlines specific elements that all State Plans must include. The Review tool will be released to the network by **March 15, 2016** and will be used by peers to review submitted State Plans. Staff requested to receive this information in order to refine language for the Objectives and to meet specified requirements (e.g. targeted disparity, augmentative and assistive technology, specific collaborators and self-advocacy).

There was a lengthy discussion regarding the targeted disparity requirement - specifically identified unserved and underserved populations - name the group, specify the disparity, identify or specify the activity. The targeted disparity objective must be in the annual work plan; activities may or may not appear in Year One or Two but must still be named in a Goal or Objective. Goals and Objects must relate to Comprehensive Review and Analysis and include an impact statement and outcomes. Staff was tasked with incorporating the recommended element into the final State Plan document. The Committee directed staff to come up with draft language on how to address this proposed objective.

The Committee reviewed the entire February 9, 2016 Revised Goals and Objective document with Ms. Matney, who responded to questions posed by members and provided technical assistance and guidance to fine tune the document to match expected elements of the Draft Review Tool. The document has been revised based on this discussion.

For more information, please see Attachment 2 which can be viewed by clicking on the following link:

<http://www.sccd.ca.gov/res/docs/pdf/State%20Plan/2016/SPC%20Draft%20Meeting%20Min%201%20and%202%20Attach%20for%202%2011%2016.pdf>

The Objectives will be subject to further review/revision, pending the March 15, 2016 release of the State Plan Review Tool.

6. COMMUNITY PROGRAM DEVELOPMENT GRANT CYCLE 39

Vicki Smith, Acting Deputy Director of Regional Office Operations/Central Coast Regional Office Manager, disseminated a proposed timeline for the upcoming grant cycle which will focus on local grants.

For more information, please see Attachment 1 which can be viewed by clicking on the following link:

<http://www.sccd.ca.gov/res/docs/pdf/State%20Plan/2016/SPC%20Draft%20Meeting%20Min%201%20and%202%20Attach%20for%202%2011%2016.pdf>

The Committee reviewed the document and made the following recommendations/changes:

- Due to Member scheduling, **July 5, 2016** was selected as the date for the State Plan Committee to meet and review grantee recommendations.
- Regarding the Grant approval criteria, after discussion, the Committee recommended the wording be revised to state to the effect that proposals are encouraged to reflect:
 - ✓ Innovative Programs
 - ✓ Reflect Emerging Practices
 - ✓ Address Unmet Needs

Legend

SA = Self Advocate

FA = Family Member

✓ Replicable (e.g. in other geographic areas)

7. ADJOURN

- Meeting adjourned 3:17 p.m.
- Next Meeting is scheduled for March 21, 2016.



DRAFT

State Plan Committee Meeting Minutes

DATE: March 21, 2016

Attending Members

Sandra Aldana (SA)
Rebecca Donabed (SA)
Janelle Lewis (FA)
Sandra Smith (FA) Chair

Members Absent

Carmela Garnica (FA)
Robin Hansen

Others Attending

Kristie Allensworth
Ann Brazil (FA)
Janet Fernandez
Pierre Landry (FA)
Dawn Morley
Vicki Smith

1. CALL TO ORDER

Committee Chair, Sandra Smith, called the meeting to order at 10:30 a.m.

2. ESTABLISHMENT OF QUORUM

A quorum was established.

3. WELCOME AND INTRODUCTIONS

Members and others in attendance introduced themselves.

4. APPROVAL OF FEBRUARY 2 AND 11, 2016 MINUTES

Motion 1- It was moved and seconded [(Lewis (FA)/Aldana (SA)] to approve both the February 2 and 11, 2016 minutes. Motion carried. There were no abstentions. (See page 4 for voting record of members present.)

By consensus, Agenda Item 7 Community Program Development Grant Cycle 39 on the agenda will be addressed before Agenda Item 6 Discussion of Possible Revision of State Plan.

5. PUBLIC COMMENTS

Ann Brazil (FA) expressed concerns regarding her and her son's experience with Supported Living Services (SLS) providers, methods, and apparent lack of SLS oversight and accountability by the regional center.

Legend:

SA = Self Advocate

FA = Family Advocate

Ms. Brazil provided specific examples of issues and her efforts to work with and resolve issues with the local regional center. Staff informed Ms. Brazil (FA) that it would be helpful to provide specific information in writing to the Council either through the Issue Advisory/Request Form which can be found on the Council website under the Committee tab or she could provide the input through a letter to the Council. Vicki Smith (Deputy Director of Regional Office Operations) noted that the information could also be submitted through the local Regional Advisory Committee. Committee members thanked her for her comments and encouraged her that if she knows of others who have similar issues and concerns that they too should submit input to the Council; the more documentation the better.

It was also suggested that the Issue Advisory/Request Form could be placed on the SCDD main home page rather than within the Committee tab.

7. COMMUNITY PROGRAM DEVELOPMENT GRANT CYCLE 39

The Regional Office Goal Recommendation document was reviewed by the committee members. Each region was asked to submit two goal areas for the upcoming grant cycle. It was pointed out that the recommendations from the offices are consistent with the top 4 service areas identified as most important to people through the state plan public input process.

It was suggested that staff could develop an overlay of the state map regions and the goal areas selected.

For the Request for Proposal (RFP) announcement, it was recommended that the goal and objectives be included in order to assist applicants to better tailor applications to meet the state plan goals and objectives.

Motion 2 – It was moved and seconded [(Lewis (FA)/Donabed (SA)] to approve the Regional Office recommendations for the grant goal areas as submitted. Motion carried. There were no abstentions. (See page 4 for voting record of members present.)

Legend:
SA = Self Advocate
FA = Family Advocate

The updated Grant Process Outline for Regional Offices was presented to the committee. Kristie Allensworth (Staff) explained the timelines were adjusted slightly to better meet posting requirements.

Motion 3 – It was moved and seconded [(Lewis (FA)/Donabed (SA)] to approve the dates in the revised (3-21-16) Grant Process Outline for Regional Offices document. Motion carried. There were no abstentions. (See page 4 for voting record of members present.)

<http://www.scdd.ca.gov/res/docs/pdf/State%20Plan/2016/SPC%20Handouts%203.21.16.pdf>

There was additional discussion regarding the RFP reporting requirements and performance measure that are required by AIDD. Ms. Allensworth explained that the grantees are required to provide quarterly updates during the grant cycle. It was suggested that SCDD staff should review the application and reporting forms to ensure that current performance measures/terminology is included in the documents to better inform grantees of the required reporting criteria and to capture required reporting measurements.

6. DISCUSSION OF POSSIBLE REVISION OF STATE PLAN

The Proposed State Plan Goals have been translated into the required threshold languages and are posted on the State Council website for public comment.

Current copies of the Goals and Objective documents were distributed to the committee members. The group reviewed each of the Objectives in detail and reviewed the document language for consistency and clarity. There was discussion about measurability, the gathering of baseline data, highlighting emerging issues and how to best capture the good work that is done statewide which needs to be incorporated into the Work Plan. Deputy Director Smith informed the group that preparation for the development of the new Work Plan based on the objectives identified by the committee will be a focus of the management team in the coming months. Additionally,

Legend:

SA = Self Advocate .

FA = Family Advocate

managers are in the process of organizing current curriculum, creating templates to facilitate standardization of fliers, handouts, evaluations and pre-post surveys and tests so that all staff have materials to utilize statewide but can be customized for each local area. Additionally, report streamlining is underway so that all offices can hit the ground running when the new State Plan goes into effect. Any input for the Work Plan can be submitted to Deputy Director Smith, Janet Fernandez (Staff) or Dawn Morley (Regional Manager for the SCDD North Coast Office).

As follow up to the February 9th meeting, staff conducted an outreach to stakeholders regarding the targeted objective. Through this process, it was noted that families are consistently identified as not having a directory of services that clearly describes the types of services that are available. Currently, there is a list of service codes and titles but there is no clarifying description of what the code means. It was discussed that initially the resource could be developed in English and translated into Spanish. In one year, this resource would be piloted in targeted areas of the state and evaluated through pre and post surveys/tests. In subsequent years, after the initial evaluation phase, the directory would then be rolled out statewide.

There was discussion that terms used in the Goals and Objectives are clearly stated/defined so that other state representatives during the peer review process, who may not be familiar with the California service system structure, will be able to more easily assess the State Plan document.

Motion 4 – It was moved and seconded [(Lewis (FA)/Donabed (SA)] to approve the updated Objectives. Motion carried. There were no abstentions. (See page 4 for voting record of members present.)

<http://www.scdd.ca.gov/res/docs/pdf/State%20Plan/2016/SPC%20Handouts%203.21.16.pdf>

Mrs. Fernandez will send the updated document to Cheryl Matney (Director of Technical Assistance, NACDD ITACC) at ITACC for review.

Legend:
SA = Self Advocate
FA = Family Advocate

Deputy Director Smith informed the committee that the Council did receive input from self-advocates in the Stockton area relating to transportation issues as they did not see the topic specifically included in the Goal document. It can be noted that transportation is included under Goal 6 in Objective 3 but the Objectives for the Goals were not required to be posted during the public comment period.

Staff is routinely checking online for Goal public comments. The Public Comment Period is scheduled to close on April 14, 2016.

The final State Plan Goals and Objectives document will go to the Executive Committee who may make changes and whose decision will be final. Consequently, the meeting that was calendared for April 18, 2016 will not be held.

The completed full State Plan document must be submitted through DD Suite by August 15, 2016.

8. ADJOURN

Meeting adjourned at 2:45 p.m.

Member Name	Motion 1	Motion 2	Motion 3	Motion 4
Sandra Aldana	For	For	For	For
Rebecca Donabed	For	For	For	For
Janelle Lewis	For	For	For	For
Sandra Smith	For	For	For	For

Next meeting is set for July 5, 2016.

2017-21 State Plan (Proposed)

Goal 1: Self-Advocacy

Californians with I/DD and their families reflecting the diversity of the state will have increased information and supports to advocate for civil and service rights to achieve self-determination, integration and inclusion in all areas of community life.

1. The Council will increase knowledge about self-determination and person-centered planning by monitoring, supporting and actively engaging in the implementation of the Self-Determination Program.
2. The Council will promote self-advocates in leadership roles in statewide networks 1) through the strengthening of a statewide self-advocacy organization and by supporting self-advocates; 2) within cross-disability leadership coalitions; and 3) in training other self-advocates to become leaders.

Goal 2: Employment

Californians with I/DD and their families reflecting the diversity of the state will have increased information to obtain competitive, integrated employment.

1. The Council will increase and promote culturally competent strategies and resources that facilitate competitive, integrated employment (CIE) of people with I/DD.
2. The Council, in consultation with its federal partners, will increase identification, advocacy and/or sponsorship of legislative, regulatory, policy, procedure and/or practice changes to increase CIE for people with I/DD.

Goal 3: Housing

Californians with I/DD and their families reflecting the diversity of the state will have increased access to affordable, accessible, safe, and fully integrated housing that provides choice and flexibility regarding where and with whom they live.

1. The Council will work with housing entities to increase the development and/or provision of community housing for people with I/DD.
2. The Council will identify and decrease barriers to housing for people with I/DD.
3. The Council, in consultation with its federal partners, will increase identification, advocacy and/or sponsorship of legislative, regulatory, policy, procedure and/or practice changes to increase housing for people with I/DD.

Goal 4: Health and Safety

Californians w/ I/DD and their families reflecting the diversity of the state will have increased information to access health, public safety, and related services that meet their needs and health care choices.

- 1. The Council and its federal partners will increase knowledge and awareness for people with I/DD and their families about the availability of and access to health and public safety-related services and supports.**
- 2. The Council, its federal partners, and self-advocates will increase information and training to law enforcement, court personnel, health care providers, and/or other care professionals about disability-related health and safety issues.**
- 3. The Council, in consultation with its federal partners, will increase identification, advocacy and/or sponsorship of legislative, regulatory, policy, procedure and/or practice changes to increase accessibility to health care and public safety services for people with I/DD and their families.**

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Goal 5: Early Intervention, Education, Transition & Post-Secondary Education

Californians with I/DD and their families reflecting the diversity of the state will have increased information, in order to obtain inclusive education services throughout the lifespan.

- 1. The Council and its federal partners will increase knowledge and awareness of developmental milestones and intervention services for families of young children and professionals.**
- 2. The Council, in consultation with its federal partners and other stakeholders, will increase awareness and knowledge for families and self-advocates about the availability of and access to inclusive educational services.**
- 3. The Council, in consultation with its federal partners and in collaboration with educators and stakeholders, will increase information and technical assistance to prepare and empower students, families and professionals in developing individualized transition plans.**
- 4. The Council, in consultation with its federal partners, will increase identification, advocacy and/or sponsorship of legislative, regulatory, policy, procedure and/or practice changes to increase access to quality education services throughout the lifespan for people with I/DD.**

Goal 6: Formal & Informal Community Supports

Californians with I/DD and their families reflecting the diversity of the state will have increased information and supports to access community-based services available to the general population.

- 1. The Council, in collaboration with our federal DD partners, will reduce service access barriers and decrease the disparity in available information, which describes services and supports that may be purchased throughout California’s Regional Center system, by translating and providing that information in Spanish and tracking statewide POS disparity data for Spanish-speaking self-advocates and families.**
- 2. The Council will increase the knowledge and skills of people with I/DD to move from institutional to community settings and to increase their ability to self-advocate.**
- 3. The Council will increase outreach, training, and technical assistance to improve the quality of and access to services, including (but not limited to) Regional Centers, education, transportation, public benefits, child care, and recreation for people with I/DD and their families.**
- 4. The Council, in consultation with its federal partners, will increase identification, advocacy and/or sponsorship of legislative, regulatory, policy, procedure and/or practice changes to increase access to quality community-based services for people with I/DD and their families.**

**CYCLE 39 – Regional Office Recommendations to the
State Plan Committee for March 21, 2016**

The Regional Offices met with their Regional Advisory Committee and/or Stakeholders in the Community and are recommending the following goal areas be selected for SCDD Cycle 39 grants.

<u>Regional Office</u>	<u>First Goal Area</u>	<u>Second Goal Area</u>
North Coast	Employment	Housing
North State	Employment	Housing
Sacramento	Employment	Self-Advocacy
North Bay	Early Intervention	Health and Safety
Bay Area	Early Intervention	Informal and Formal Community Supports
North Valley Hills	Employment	Education
Monterey Bay/Silicon Valley	Employment	Housing
Sequoia	Self-Advocacy	Informal and Formal Community Supports
Central Coast	Employment	Housing
Los Angeles	Housing	Health and Safety
Orange County	Employment	Housing
San Bernardino	Employment	Early Intervention
San Diego and Imperial	Employment	Self-Advocacy

Grant Process Outline for Regional Offices

- State Plan Committee reviews process, timelines and criteria on **February 11, 2016**.
- Regional Offices, in coordination with their Regional Advisory Committees and/or local stakeholders, review 2016-21 State Plan goals and identify the two goal areas that they would like to address with their grants. Regional Offices email two goal areas to Deputy Director of Regional Office Operations by **March 9, 2016**.
- State Plan Committee meets on **March 21, 2016** to review recommendations from Regional Offices and finalize grant timelines and criteria.
- Executive Committee meets early **April 2016** to approve recommended areas of emphasis, timelines and criteria from State Plan Committee.
- Request for Proposal (RFP) Announcement on **April 15, 2016**
 - Disseminated in all formats: mail, post to website, email and enter into FISCal (state procurement website)
- Regional Advisory Committees promote to community that RFP has been posted and encourage organizations and stakeholders to apply.
- Submission: Request for Proposals due **May 31, 2016**
 - Submit proposal via mail or hand delivered (must be postmarked by closing date)
- Technical and Committee Review
 - Staff reviews proposals
 - Scoring of proposals, according to established criteria
 - Select grantees
 - Send recommendations to Deputy Director of Regional Office Operations by **June 30, 2016**
 - State Plan Committee reviews recommendations **July 5, 2016**
 - State Plan Committee send their recommendations to the Council for approval
- Public Notice at Council Meeting on **July 22, 2016**
 - Council meets on July 22, 2016, reviews the recommendations and approves or declines selected grants. Selected grantees will be posted on the State Council's website July 25, 2016.
 - A hard copy of selected grantees will be posted in the Regional Office
- Protest Period begins on **July 25, 2016**

- A 10 day protest period is allowed. No action will be taken during this time unless a protest is received.
- Notification Letters sent on **August 8, 2016**
 - Notification letter will be sent to all applicants
 - Awards and rejections
- Encumbrance Process:
 - Staff works with grantee to receive all required documents
 - Staff sends approved contract to Department of Social Services for encumbrance
 - Staff sends encumbered grant to Department of General Services for approval (if required)
 - Staff sends grantee reporting and invoicing templates
- Grant in Process:
 - Regional Office staff will keep in contact with grantee to ensure work is being completed on schedule throughout the duration of the contract and help resolve any issues if necessary
- Billing procedures
 - Grantee must send original invoice, year-to-date financial report and quarterly progress report to Regional Office (all originals must be signed in blue ink)
 - Regional Office must send original invoices, year-to-date financial report and quarterly progress reports to headquarter analyst for final processing
- End of contract exiting evaluation
 - Grantee must submit final report and billing
- Proposers are encouraged to address one or more of the following considerations:
 - Innovative
 - Reflects Emerging Practices
 - Addresses Unmet Needs
 - Replicable (e.g. in other geographic areas)

North Coast Office
Employment
Housing

North State Office
Employment
Housing

Sacramento Office
Employment
Self-Advocacy

North Bay Office
Early Intervention
Health and Safety

Sequoia Office
Self-Advocacy
Informal and Formal Community Supports

Bay Area Office
Early Intervention
Informal and Formal
Community Supports

North Valley Hills Office
Employment
Education

Los Angeles Office
Housing
Health and Safety.

Silicon Valley-Monterey Bay Office
Employment
Housing

San Bernardino Office
Employment
Early Intervention

Central Coast Office
Employment
Housing

Orange County Office
Employment
Housing

San Diego Imperial Office
Employment
Self-Advocacy



CYCLE 39 GRANT GOAL AREAS BY REGIONAL OFFICE

**LEGISLATIVE AND
PUBLIC POLICY
COMMITTEE**



DRAFT

LPPC Committee Meeting Minutes
DATE: March 17, 2016

Attending Members

Janelle Lewis (FA)
April Lopez (FA)
David Forderer (SA)
Sandra Aldana (SA)
Jennifer Allen (SA)
Lisa Davidson (FA)
Connie Lapin (FA)
Evelyn Abouhassan

Members Absent

Others Attending

Bob Giovati
Michael Brett
Wayne Glusker
Eric Rodriguez
Michael Garcia
Dana Dorsey

1. CALL TO ORDER

Chairperson Janelle Lewis (FA) called the meeting to order at 1:13 p.m.

2. ESTABLISH QUORUM

A quorum was established.

3. WELCOME/INTRODUCTIONS

Members and others introduced themselves as indicated.

4. MEMBER REPORTS

Connie Lapin (FA): The following reports were given:

- *In a Different Key*, a story on autism, is receiving much publicity.
- Discussed how the new Executive Director was hired for the Westside Regional Center. Two candidates were in the running with one being chosen internally. Part of the interview process was for both candidates to appear before the community and answer questions.
- Attended the Arch Conference that went into the CMS Rules.

Legend:
SA = Self-Advocate
FA = Family Advocate

Page 1

- Next DS Taskforce is taking place April 13, 2016 with State Council representation.
- Briefed the committee on the New Day Conference which dealt with public policy, CMS rules, and Self Determination. Mrs. Lapin (FA) stated that the conference went great.
- During the Self Determination Now conference, a campaign was being promoted on the importance of NOW.
- Through Person Centered Advocacy, there will be a conference taking place April 6, 2016.
- Mentioned the testimony from Judy Mark. For more details on this, please click on the below link which is located on the SCDD Website:

<http://www.scdd.ca.gov/res/docs/pdf/LPPC/2016/LPPC%20Handout%20for%203%2017%2016%20APPROVAL%20The%20Story%20of%20the%20CA%20SD.pdf>

Lisa Davidson (FA): The Los Angeles Regional office held their RAC on February 22, 2016, thanking Dr. Sandra Aldana (SA) (Councilmember/fellow committee member) for her attendance/interest. Ms. Davidson (F) also stated that the next RAC will take place March 28, 2016.

Jennifer Allen (SA): No report.

Dr. April Lopez (FA): Dr. Lopez (FA) discussed the following:

- The Statewide Self-Determination meeting was a fabulous success. with positive feedback from the participants. It was also appreciated that it was open to the general public and had representation from all Regional Centers.

Aaron Carruthers (Executive Director), Bob Giovati (Deputy Director of Policy and Planning), and herself attended five or six meetings at the State Capitol with various legislators to discuss/explain issues of significance to the Council.

Evelyn Abouhassan: The following reports were given:

- DRC is sponsoring two bills: AB 488 (Gonzales) and AB 2873 (Thurmond)
- DRC would like the State Council to get more involved with access – related bills.
- Committee/Staff discussed that this committee would like to have a spot on the DRC Legislative committee. Ms. Abouhassan is going to check with DRC staff.

Chairperson Lewis (FA): No report.

Dr. Sandra Aldana (SA): No report.

David Forderer (SA): No report.

5. APPROVAL OF THE February 8, 2016 MEETING MINUTES

It was moved/seconded (Lapin)(FA), (Davidson)(FA) and carried to approve the February 8, 2016 meeting minutes with corrections. There were no abstentions.

(See attendance list for voting members)

Corrections made to the LPPC Packet Meeting Minutes:

- Change Chairperson Lewis' (FA) last sentence to read: "BHT Services are being disrupted." This is from her member report located on page 4.
- Remove and change Ms. Lapin's (FA) last sentence to read: "The book can be purchased on Amazon.com." This is from her member report located on page 5.
- Remove 4th statement from Mrs. Lapin's (FA) discussion on Self-Determination located on page 11.

6. PUBLIC COMMENTS

There were no public comments.

7. OLD BUSINESS (Standing Items)

a. Budget Update/Special Session/Lanterman Coalition

The above was recapped with the following highlights:

- Lanterman Coalition: Staff met with the coalition on the Brown Administration's developmental services funding proposal/proposed Managed Care Organization (MCO) Tax.
- CMS Rules.
- With regards to the budget update/special session/Lanterman Coalition, committee would like to remain updated on these subjects.

b. IHSS/CMS Updates/Overtime Discussion/DC Closures

Mrs. Lapin (FA)/committee updated the committee on the following items:

- A budget hearing was held regarding overtime.
- DRC Testimony on maintaining a safety net from Catherine Blakemore, Executive Director from Disability Rights California. (See motion below)
- Crisis homes.
- To have Debra Doctor at the next LPPC meeting on IHSS/CMS updates.
- Sharing resources on DC Closures

It was moved/seconded (Forderer (SA), (Lopez (FA) to take the support recommendations/letter to the Executive Committee on maintaining the safety net. All were in favor with no abstentions.

(See attendance list for voting members).

For more information on this testimony, it can be found in the LPPC Packet on page 20. Please click on the below link which is located on the SCDD Website:

<http://www.scdd.ca.gov/res/docs/pdf/LPPC/2016/LPPC%20Packet%20Approved%203.17.16.pdf>

c. Federal and State Legislation Updates/Council Update on LPPC Bill Package and Other Bills.

The above was recapped with the following highlights on housing. It was also mentioned that housing is part of the Council's State Plan.

d. Self-Determination

Ms. Lapin (FA)/committee gave an update on the Self-Determination conference and Statewide SDP Committee.

See Dr. Lopez' member report.

i. Update on Person Centered Planning

Chairperson Lewis (FA) mentioned that the Self-Determination conference gave a presentation on Person Centered Planning.

Discussed Self-Determination/CMS Waivers

Discussed different ways of presenting Person Centered Planning

ii. HCBS

See agenda item 7b for inputs.

e. Disparity Issues

This item was not discussed

8. NEW BUSINESS

a. Detail Sheets/Bill Review

Committee would like to have the bill language included in the LPPC Packet along with an updated watch list.

Deputy Director Giovati briefed the committee that the State Council has approximately 157 bills on its watch list.

Staff and the committee then went on to discuss/vote on the following bills:

Supported Bills:

- **AB 1553**

It was moved/seconded (Davidson (FA), Allen (SA) to support AB 1553 with one abstention from Evelyn Abouhassan .

(See attendance list for voting members).

- **AB 2091**

It was moved/seconded (Davidson (FA), Lapin (FA) to support AB 2091 with one abstention from Evelyn Abouhassan.

(See attendance list for voting members).

Committee asked to have staff check and see who is sponsoring this bill.

For more details on this bill, please click on the below link which is located on the SCDD Website:

<http://www.scdd.ca.gov/res/docs/pdf/LPPC/2016/LPPC%20Handout%20for%203%2017%2016%20APPROVAL%20AB%202091%20Detail%20Sheet.pdf>

- **SB 1221**

It was moved/seconded (Aldana) (SA), (Davidson) (FA) to support SB 1221 with one abstention from Evelyn Abouhassan.

(See attendance list for voting members).

- **SB 1252**

It was moved/seconded (Lapin) (FA), (Lopez) (FA) to support SB 1252 with one abstention from Evelyn Abouhassan.

(See attendance list for voting members).

- **Driverless Cars**

It was moved/seconded (Lapin) (FA), (Lopez) (FA) to support Driverless Cars with no abstentions.

(See attendance list for voting members).

Bills to Watch:

- **AB 1821**

After staff/committee discussion, the LPPC decided to watch this bill. No action was taken.

At the next LPPC Meeting, the committee would like to discuss/receive an update on this bill.

Committee would like this bill placed on the next agenda for update.

- **AB 1824**

After staff/committee discussion, the LPPC decided to watch this bill. No action was taken. More information on this bill is to come.

Committee would like this bill placed on the next agenda for update

- **AB 2231**

After staff/committee discussion, the LPPC decided to watch this bill. No action was taken.

Committee would like this bill placed on the next agenda for update.

- **AB 2383**

After staff/committee discussion, the LPPC decided to watch this bill. No action was taken.

Committee would like this bill placed on the next agenda for update.

- **SB 982**

After staff/committee discussion, the LPPC decided to watch this bill. DRC also has a watch. No action was taken.

Committee would like this bill placed on the next agenda for update.

- **SB 1034**

After staff/committee discussion, the LPPC decided to watch this bill. No action was taken.

Committee would like more clarification on this bill.

Committee would like this bill placed on the next agenda for update.

With the exception AB 2091, the full description/detail sheets of the above bills can be found in the LPPC Packet on pages 46 to 79. Please click on the below link which is located on the SCDD Website:

<http://www.scdd.ca.gov/res/docs/pdf/LPPC/2016/LPPC%20Packet%20Approved%203.17.16.pdf>

Additional Bills Discussed:

- **AB 488**
State Council is co-sponsoring this bill
- **SB 1024**
State Council is co-sponsoring this bill.
- **SB 1072**
The State Council is sponsoring this bill with Senator Tony Mendoza's office which deals with school transportation safety.

For informational purposes, staff/committee briefed the following:

Deputy Director Giovati, Chairperson Lewis met Senator Mendoza's staff on March 16, 2016. In addition, Executive Director Aaron Carruthers and Council Chair Dr. Lopez also met with Senator Mendoza's staff.

LPPC Staff/Council will be participating in upcoming SB 1072 hearings.

While sponsoring this bill, the State Council has been asked to provide the following:

- Personal stories.
- Author statements.
- Witnesses.

For more details on this bill, please click on the below link which is located on the SCDD Website:

<http://www.scdd.ca.gov/res/docs/pdf/LPPC/2016/LPPC%20Handout%203.17.16.pdf>

- **SB 1427**

Chairperson Lewis mentioned that the Council is watching this bill.

Committee/staff held a final discussion on attending budget hearings. The next hearing for the senate takes place April 7, 2016.

b. Press Outreach

This item was not discussed.

9. ADJOURN

Meeting adjourned at 4:41 p.m. Next meeting is planned for May 2016.

Chairperson Lewis (FA) mentioned to the committee that at the last Council meeting it was voted for this committee to meet six times a year. However, Dr. Lopez (FA), Council Chair, stated that if more meetings are needed, she can call additional meetings.

PAUL LEE SCHOOL BUS SAFETY LAW APPROVED BY SENATE COMMITTEE



Paul Lee School Bus Safety Law: State Senator Tony Mendoza at a news conference with the Lee family. At right is a picture of Paul Lee who tragically died in the back of a school bus. The bill/law will require a bus driver to walk to the back of the bus to turn off an alarm to ensure children are not left on the bus.

Tragic Death of Whittier Student Inspires Bill

Sacramento – After hearing testimony from the parents of Paul Lee, a special needs student from Whittier, who tragically passed away last year after being left unattended on school bus for many hours, the Senate Transportation and Housing Committee approved SB 1072, authored by Senator Tony Mendoza on a unanimous bipartisan vote of 10 to 0.

The bill known as the “Paul Lee School Bus Safety Law” would require school buses in California to be equipped with child-safety alarm systems to ensure that children are not left on buses unattended and in potentially hazardous circumstances. It would also require drivers, upon a renewal of their annual school bus driver safety certificate, to receive training in child-safety check procedures.

“No parent should fear that their child will not return home safely at the end of the day,” said Senator Tony Mendoza. “My hope is that SB 1072 will prevent future tragedies by requiring every school bus in the state to be equipped with a child-safety alarm system.”

Said Eun Ha Lee, Paul Lee’s Mother, “Paul loved to go to school and when he took his seat on the school bus on September 11, 2015, I believed he was in safe hands. But on that day, he was not safe. He was left on that hot school bus for many hours and due to the carelessness of others, my son lost his life. Paul’s death should never have happened and I will remain vigilant that it will not be without change. When a child boards a school bus, there should never be a fear of them being left behind. Senate Bill 1072 is the first step in backing up our words with actions and I want to thank Senator Mendoza for authoring it. Knowing this bill has been written in his name will put a smile on Paul’s face in heaven.”

“The State Council on Developmental Disabilities is proud to Sponsor SB 1072, and we are grateful to Senator Mendoza for carrying this important bill. The tragedy in Whittier was totally avoidable. The state must take decisive action to ensure something like this never occurs again,” said Dr. April Lopez, Chair of the Council.

A school bus child-safety alarm system generates an audible sound when the ignition of the vehicle is turned off. This alarm requires the bus driver to walk to the rear of the vehicle to silence the alarm, thereby detecting any remaining children or passengers on the school bus. Some states, including Arkansas and Wisconsin, already require school buses and childcare vehicles over a certain passenger size to be equipped with child-safety alarms.

“California should join other states that require child-safety alarm systems on school buses. It is vital that we do everything we can to protect the many thousands of children transported daily to and from school,” said Senator Tony Mendoza. “It is my hope that we can find some meaning in the recent tragic loss of a Whittier student on a school bus, in the form of meaningful change that will safeguard other children. It should not take events like this one to spur us into action on these issues.”

Current law requires public and private school districts to create and follow a transportation safety plan containing procedures for school personnel to follow to ensure the safe transportation of pupils. The plans must include specific procedures on boarding and exiting a school bus at each pupil’s bus stop and at the final trip destination.

Additionally, to operate a school bus, a driver is required to obtain a California Special Driver Certificate from the Department of Motor Vehicles (DMV), which entails classroom instruction, special training, and testing. Annual renewal of the certificate is required and involves 10 hours of renewal classroom instruction, or behind-the-wheel or in-service training.

During the last several years in California, there have been several cases where children were left on school buses unattended and found hours later. An example of one such case occurred last year in Los Angeles when a special needs student died after being left alone accidentally on a bus for many hours.

Specifically, SB 1072 will:

Require all school buses to be equipped with an operational child-safety alarm system that prompts the driver to inspect all seats before leaving the bus. The system must be approved by the CHP and hard-wired into the vehicle's electrical system and activated when the ignition is turned on.

Require drivers upon a renewal of their annual school bus driver safety certificate to receive training in child-safety check procedures.

Add a reporting requirement to ensure that the Department of Motor Vehicles is notified of cases when a child is left on a bus unattended.

Direct the CHP to promulgate rules to implement this section and provide a list of child-safety alarms that are approved for use in school busses and a qualified technician or mechanic that can install the system.

Grant school districts and school bus contractors a grace period of eight months after the date that the CHP issues the rules to install a child safety alarm system.

Before today's hearing, a press conference in support of SB 1072 was held on the West Steps of the Capitol with the Lee family, Senator Mendoza, advocates and two yellow school buses to demonstrate the effectiveness of child-safety alarm-systems and why school districts throughout the state should be required to install them in every school bus. Video and photos of the press event may be found at the following links:

COUNCIL AGENDA ITEM DETAIL SHEET

ISSUE: Should the Council formally support six specific policy points offered by Disability Rights California as ways of reducing service complexities in the DD system?

SUMMARY: On February 23, 2016, Disability Rights California offered testimony at a Joint Hearing of the Senate Human Services Committee, Senate Budget and Fiscal Review Subcommittee No.3 on Health and Human Services at the State Capitol.

“A Defining Moment: Considering the Closure of Developmental Centers and Its Impact on Residents, Families, and the Regional Center System. Testimony Regarding Maintaining a Safety Net: What Should Be the State's Ongoing Role in Providing Unique Services, Addressing Unmet Needs, and Ensuring the Well-Being of Those with Challenging Medical and Behavioral Needs. “

Included in the written testimony on page 7 were six specific points the Council is being asked to evaluate. The relevant background text of the DRC testimony (as submitted) and the resultant six points can be read below.

BACKGROUND/ISSUES/ANALYSIS:

Reduce Service Complexities -

Beginning in 2009, due to the economic crisis, the State made more than a billion dollars in cuts to the developmental disabilities system. We are grateful for the efforts to restore funding for providers and regional centers through the Managed Care Organization (MCO) tax proposals, and the efforts to improve outcomes in the employment area. However, an unintended consequence of the reductions is the increased complexity of the service system, which makes it difficult for consumers and families to access the services they need, and in the end, does not result in real savings to the State as the services are Medicaid funded, regardless of which state agency provides them.

In addition, the recent changes in federal law require the payment of overtime to workers providing personal care services. As a result, some service providers, have eliminated all overtime expenditures,

others have required parents and conservators to sign agreements obligating the parent and consumer to assume full responsibility for managing the IHSS services even when a consumer is placed in a home operated by the provider. At least one regional center has sent a letter to all clients and families instructing them not to ask workers or caregivers to work extra hours as the rates paid to service providers do not allow for overtime pay, even though the Legislature approved a 5.82 % rate increase expressly for this purpose. For consumers with the most significant needs, they often need continuity of support and support provided by a more highly trained worker. This often is not possible with IHSS due to high turnover.

Similar problems arise when individuals need to access medical or dental services provided through Medi-Cal. During the economic crisis, the law was changed to prohibit a regional center from purchasing medical or dental services for a consumer three years of age or older unless the regional center is provided with documentation of a Medi-Cal, private insurance, or a health care service plan denial, and the regional center determines that an appeal by the consumer or family of the denial does not have merit. Regional centers may pay for medical or dental services pending a final administrative decision on the administrative appeal if the family provides verification that an appeal is being pursued. The result is that families are required to appeal any decisions denying their child access to critical occupational or physical therapy, speech and language services, or dental services before regional centers will agree to pay for the service. This happens even though the State will should not save any money since the services are Medicaid eligible regardless which agency provides the services. The unintended consequence is that low-income families that use Medi-Cal do not have the time, resources or skills to appeal an adverse Medi-Cal decision and thus forego the service-which results in savings to the State.

As a way of reducing some of this complexity, we encourage you to do the following:

1. Change §WIC 4689 (f) to allow consumers' IPP teams to determine if using IHSS is an appropriate generic service. In making this determination, the IPP Team would consider the following: the nature or extent of the consumer's disability, the need for staff

continuity and the need for supportive services staff with a higher level of skill, training or expertise. If the planning team determines that IHSS services are not appropriate, the consumer would not be required to utilize those services.

2. Make statutory changes clarifying that regional center funded home care services (Supported Living Services (SLS), in-Home Respite and Personal Assistance Centers) are not joint employers with IHSS or Waiver Personal Care Services.
3. Provide funding for pilot programs that provide access to temporary workers who can provide services when either the IHSS worker has exceeded the state-imposed overtime limits or the provider will not authorize overtime.
4. Ensure that the current state overtime provisions that allow a worker to work up to 66 or 70.5 hours apply to the regional center system including a funding allocation to specifically target this overtime. For example, when an SLS worker working for one SLS agency is paid through both IHSS and regional center funds, that worker should be eligible to work up to 66 or 70.5 hours per week regardless of the funding source. In addition, the statute should allow the same additional exceptions to these limits as is allowed in the IHSS program.
5. Amend WIC Section 4659 to no longer require families to pursue Medi-Cal administrative hearings before regional centers can pay for medical and dental services available through the Medi-Cal program.
6. Provide additional Service Coordinators who can help families navigate generic services.

DISCUSSION: In their March 2016 meeting, the LPPC voted to put these six points before the Executive Committee at their April 5, 2016 meeting. However, due to extenuating circumstances, the Executive Committee was unable to address this matter at that time, so the issue now comes before the full Council.

The suggested code changes are included in the attachment.

RECOMMENDATION: None.

COUNCIL STRATEGIC PLAN OBJECTIVE: N/A.

ATTACHMENTS: Full text of DRC testimony on 2/23/16.

PREPARED: Bob Giovati.



California's protection & advocacy system

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**Joint Hearing
Senate Human Services Committee
Senate Budget and Fiscal Review Subcommittee No. 3 on Health and
Human Services
Tuesday, February 23, 2016
1:30 p.m.
State Capitol, Room 4203**

**A Defining Moment: Considering the Closure of Developmental Centers
and Its Impact on Residents, Families, and the Regional Center System**

**Testimony Regarding Maintaining a Safety Net: What Should Be the State's
Ongoing Role in Providing Unique Services, Addressing Unmet Needs, and
Ensuring the Well-Being of Those with Challenging Medical and Behavioral
Needs**

Testimony of Catherine Blakemore, Executive Director

Disability Rights California is the federally mandated protection and advocacy system and works to advance dignity, equality, independence, and freedom of Californians with disabilities. In addition to our federally required services, we provide the clients' rights advocacy assistance for consumers and their families at the 21 regional centers. Last year, we provided advocacy assistance to 25,736 individuals with disabilities including individuals with intellectual or developmental disabilities. In addition, through more than 800 trainings we provided more than 40,000 individuals with disabilities and their families with information about their rights. Our systemic and policy advocacy positively impacted more than 500,000 individuals.

The developmental disabilities system is in transition driven by a number of factors including the proposed closure of state developmental centers;

service complexities which contribute to unmet need; and new federal Medicaid and overtime requirements. We welcome this opportunity to provide our perspective on the critical steps the state must take to strengthen the service system and meet the unique and unmet service needs of each regional center consumer.

Creating a Strong Community Safety Net

With the closure of Sonoma, Fairview, and the non-forensic units at Porterville Developmental Centers, the community will be the safety net for the nearly 290,000 regional center consumers. We know first-hand the importance of adequate crisis and new service models for regional center consumers.

Last year we assisted Tyler. When Tyler's family could no longer provide the care she needed because she was having seizures, she was placed in a nursing facility and then a hospital. While her physical health improved, the extended hospital stay was stressful, and she experienced significant behavioral challenges. The regional center was not able to locate an appropriate living arrangement, and the community hospital didn't know how to handle her behavioral challenges so they restrained her in an enclosed bed. Being confined affected her speech and mobility, and her physical and mental health. Because there was not adequate community crisis capacity to address her behavioral challenges, our clients' rights advocate worked with the regional center and department to place her temporarily in the State's short-term acute crisis unit at a developmental center, and our Investigations Unit educated the hospital about their inappropriate use of seclusion and restraint. After a few short months Tyler's behavior, ability to express herself and her social skills greatly improved. She is now deciding where she wants to live in the community.

To ensure a strong community safety net, we encourage the State to do the following:

1. Work with the regional centers to expeditiously develop the quality enhanced behavioral health support homes required by statute. We are pleased that last month the Department submitted regulations that will allow these homes to become operational, but note that the Department of Social Services companion regulations, which address critical elements such as emergency behavior plans, the use of restraint and monitoring of behavioral services, have not been developed.

2. Promptly develop increased crisis capacity. The Department has yet to complete the development of regulations for crisis homes services or to expand access to crisis services provided in an individual's current home. Without this increased crisis capacity, we are concerned that inappropriate and expensive models such as locked institutions for mental disease (IMDs) will remain a common way of providing short and long-term crisis services. We have concerns about the cost of these services, since, for many individuals, the service is ineligible for Medi-Cal funding due to an exclusion in federal law; and more importantly, about the quality of service.
3. Develop "placements of last resort" as called for in the DC Task Force Report and work with stakeholders to determine the most effective means of providing these services including the State's on-going role in providing these services.

Currently, the State operates small, short-term acute crisis units at Sonoma and Fairview. These programs have been successful due to clear statutory requirements requiring immediate and ongoing assessment of the individuals need and one year time-limited placements as well as the involvement of clients' rights advocates in the process, and the department's willingness to intervene with licensing and other agencies to ensure new living arrangement can timely open. WIC 4418.7(e)

The community will not be able to be the safety net without similar capacity and requirements regarding the use of such facilities. At a minimum, the Department must have dedicated staff to ensure ongoing state involvement in these placements. Because private providers can also decline to serve individuals, we believe that State should have a role in providing residential services to those whom the private sector cannot serve; either with small state owned and operated facilities, or state supports in privately operated facilities.

4. Modify Health and Safety Exception Process. Exceptions to rate freezes and median rates are available for people whose service needs necessitate a higher rate. This is possible on an individual basis only by applying for a Health and Safety Waiver. In practice this is a lengthy process, requiring approval from both the regional center executive director and DDS. This process must move faster. Consideration should also be given to allowing regional enters to approve rate exceptions when exceptional circumstances exist.

5. Ensure adequate oversight of facilities providing short and long-term services to individuals in crisis including increased access to information and records by Disability Rights California, the federally mandated protection and advocacy agency.

One of Disability Rights California's most important responsibilities is the investigation of abuse and neglect including the use of restraints in facilities providing care and treatment. DRC's Investigation Unit has been investigating cases of alleged abuse and/or neglect of residents at one IMD where regional center consumers are placed. Because of the gravity of our concerns, we filed complaints with the federal and state agencies. The Center for Medicaid and Medicare (CMS) made an unannounced site visit and due to flagrant health and safety violations, including failure to investigate two sexual assault complaints, made a finding of "Immediate Jeopardy." While the facility remedied the immediate concerns, our monitor continues to document problems with ongoing abuse, injury, inappropriate use of restraints and the death of a resident.

Several years ago when there were concerns about the quality of care at state developmental centers, state law was changed to require increased reporting to DRC about specific types of injuries suggestive of abuse or neglect. With the transition to a community safety net, we propose that state law is amended to require that the protection and advocacy agency receive similar reports of injuries from IMDs, community crisis facilities and enhanced behavioral support homes. (See attached)

Also, we encourage reduced caseloads for individuals who are receiving crisis services and are placed in community institutions such as locked IMDs. These individuals are likely to be the same individuals who would have been placed at developmental centers. Current law requires a reduced case load for individuals who are moving from developmental centers to the community this have been an important element for their successful transition, and we encourage a similar approach for individuals who are in crisis or locked facilities in the community.

Reduce Service Complexities

Beginning in 2009, due to the economic crisis, the State made more than a billion dollars in cuts to the developmental disabilities system. We are grateful for the efforts to restore funding for providers and regional centers

through the Managed Care Organization (MCO) tax proposals, and the efforts to improve outcomes in the employment area. However, an unintended consequence of the reductions is the increased complexity of the service system, which makes it difficult for consumers and families to access the services they need, and in the end, does not result in real savings to the State as the services are Medicaid funded, regardless of which state agency provides them.

In addition, the recent changes in federal law require the payment of overtime to workers providing personal care services. As a result, some service providers, have eliminated all overtime expenditures, others have required parents and conservators to sign agreements obligating the parent and consumer to assume full responsibility for managing the IHSS services even when a consumer is placed in a home operated by the provider. At least one regional center has sent a letter to all clients and families instructing them not to ask workers or caregivers to work extra hours as the rates paid to service providers do not allow for overtime pay, even though the Legislature approved a 5.82% rate increase expressly for this purpose. For consumers with the most significant needs, they often need continuity of support and support provided by a more highly trained worker. This often is not possible with IHSS due to high turnover.

Similar problems arise when individuals need to access medical or dental services provided through Medi-Cal. During the economic crisis, the law was changed to prohibit a regional center from purchasing medical or dental services for a consumer three years of age or older unless the regional center is provided with documentation of a Medi-Cal, private insurance, or a health care service plan denial, and the regional center determines that an appeal by the consumer or family of the denial does not have merit. Regional centers may pay for medical or dental services pending a final administrative decision on the administrative appeal if the family provides verification that an appeal is being pursued. The result is that families are required to appeal any decisions denying their child access to critical occupational or physical therapy, speech and language services, or dental services before regional centers will agree to pay for the service. This happens even though the State will should not save any money since the services are Medicaid eligible regardless which agency provides the services. The unintended consequence is that low-income families that use Medi-Cal do not have the time, resources or skills to appeal an adverse Medi-Cal decision and thus forego the service—which results in savings to the State.

As a way of reducing some of this complexity, we encourage you to do the following:

1. Change §WIC 4689 (f) to allow consumers' IPP teams to determine if using IHSS is an appropriate generic service. In making this determination, the IPP Team would consider the following: the nature or extent of the consumer's disability, the need for staff continuity and the need for supportive services staff with a higher level of skill, training or expertise. If the planning team determines that IHSS services are not appropriate, the consumer would not be required to utilize those services. (See attached WIC §4689(f) revision)
2. Make statutory changes clarifying that regional center funded home care services (Supported Living Services (SLS), In-Home Respite and Personal Assistance Centers) are not joint employers with IHSS or Waiver Personal Care Services.
3. Provide funding for pilot programs that provide access to temporary workers who can provide services when either the IHSS worker has exceeded the state-imposed overtime limits or the provider will not authorize overtime.
4. Ensure that the current state overtime provisions that allow a worker to work up to 66 or 70.5 hours apply to the regional center system including a funding allocation to specifically target this overtime. For example, when an SLS worker working for one SLS agency is paid through both IHSS and regional center funds, that worker should be eligible to work up to 66 or 70.5 hours per week regardless of the funding source. In addition, the statute should allow the same additional exceptions to these limits as is allowed in the IHSS program.
5. Amend WIC Section 4659 to no longer require families to pursue Medi-Cal administrative hearings before regional centers can pay for medical and dental services available through the Medi-Cal program. (See attached)
6. Provide additional Service Coordinators who can help families navigate generic services.

Improve Access to Mental Health and Dental Services

Dental Services

Children and adults with disabilities experience significant barriers to obtaining needed dental services as a result of low Denti-Cal reimbursement rates; this is related to the shortage of qualified providers, and the lack of adequate reimbursement for disability-related appropriate procedures and methods.

Denti-Cal rates are generally far lower than rates for private insurance. See page 32 of the State Auditor's report on Denti-Cal for children, available at <https://www.auditor.ca.gov/pdfs/reports/2013-125.pdf>. Likewise, anesthesia rates for Denti-Cal are significantly lower than rates for private insurance. For example, a typical private insurance rate is \$275 for the first 30 minutes of anesthesia and \$100 for each additional 15 minutes. On the other hand, a typical Medi-Cal rate is \$42.14 for the first 30 minutes and \$21.07 for each subsequent 15 minutes.

For people with disabilities, such as those with autism who may have difficulty communicating symptoms associated with dental problems or have behavioral challenges that make routine dental care more difficult to provide, the access problems are compounded particularly when specialized services are not available or the rates for those services are too low. When treatment is not available, individuals too often develop serious infections or horrendous pain and have no choice but to go to hospital emergency rooms, where they receive very expensive symptomatic care. For individuals with developmental disabilities, regional centers are "payers of last resort" for the disability-related services that their clients need. This means that regional center consumers must access generic services, such as Denti-Cal, before the regional centers can pay for the service. The requirement of requesting generic Denti-Cal services exists even when it is known that the service rate or type of service is inadequate given the disability-related needs and as a result, many months will have elapsed between the initial request for the service and when the service is provided.

As part of the Agnews Developmental Center closure plan, some regional centers received funding for Dental Coordinators to help ensure that consumers leaving Agnews could continue to access appropriate dental care. The use of Dental Coordinators proves to be an effective means of ensuring access to dental services. Some regional centers continue to have Dental Coordinators, and it appears that access to dental services is

enhanced at those regional centers. The Agnews and Lanterman closure plans continued the availability of dental services at those developmental centers.

We recommend the following solutions:

1. Funding for Regional Center Dental Coordinators at each regional center, as this is a proven way to increase access to dental services either by assisting consumers and families in accessing Denti-Cal or by quickly determining that Denti-Cal cannot provide appropriate specialized dental services and use purchase of service funds to obtain the needed services.
2. Increase the rates for anesthesia dental care and the rates for common preventive dental care. Consistent with the State Audit and other available information, California's Denti-Cal rates are extremely low compared to other states, inhibiting access to care and permit Denti-Cal reimbursement for services such as scaling and root cleaning, and periodic comprehensive evaluations. Increase the dental anesthesia rate to provide rate parity between anesthesia for other services and anesthesia for dental services.
3. Some developmental center dentists and staff have specialized expertise regarding the unique dental needs of regional center clients. Through the closure process, it is important to ensure that dental services and staff expertise regarding provisions of dental services continues to be available in the community.

Mental Health Services

As noted in the DC Task Force Report, an overarching issue is access to mental health services including care coordination and appropriate and continuous medication management. The Task Force Report notes that 22% of individuals living in Developmental Centers have prevailing psychiatric/mental health issues, and 51% are prescribed at least one psychiatric medication targeting behavioral challenges. From our work with individuals dually diagnosed with mental health and developmental disabilities who live in the community, we also know that these individuals are often served in emergency rooms and local hospitals, and have great difficulty accessing community mental health services.

We recommend that the Department convene stakeholders to look at current effective models of providing access to mental health services and new and innovative options that can be attached to the yet to be developed community crisis services.

Obtain Federal Approval and Implement the Self-Determination Program

In 2013, the Legislature unanimously approved, and the Governor signed into law, SB 468 which created a statewide Self-Determination Program; a voluntary, alternative to the traditional way of providing regional center services. It provides consumers and their family with more control over the services and supports they need. Self-determination provides consumers, and their families, with an individual budget, which they can use to purchase the services and supports they need to implement their Individual Program Plan (IPP). Consumers and families may for example, purchase existing services from services providers or local businesses, hire support workers, or negotiate unique arrangements with local community resources. Family members of individuals residing at State Developmental Centers have indicated that this is one of their preferred ways to provide services as their loved one transitions from the developmental center to the community.

While the Department and regional centers have taken important steps to implement the program, we have yet to receive federal CMS approval due in large part to the inter-relationship between this waiver and the required Home and Community Based Services regulations required Transition Plan. The Transition Plan and the Self-Determination Waiver must both demonstrate how the State will ensure that as of March 2019, all waiver services, including self-determination services, meet the federal integration and choice requirements.

We encourage the Department to continue, and expedite, its work with Stakeholders to develop a strategy that provides sufficient assurances to CMS that the Self-Determination Waiver meets the HCBS requirements and if necessary, obtain a conditional time-limited approval. The experience of the Self-Determination Pilot Program is that consumers and families experience a high level of satisfaction with this program and that the cost to the state is often less than the costs associated with the traditional regional center service system.

Statutory Changes

Monitoring of Facilities and Living Arrangements Serving Individuals with Developmental Disabilities

Amend Welfare and Institutions Code 4659.2

(b) All regional center vendors that provide crisis or residential services or supported living services, long-term health care facilities, and acute psychiatric hospitals shall report the following to the agency designated pursuant to subdivision (i) of Section 4900 the following:

(1) Each death or serious injury of a person occurring during, or related to, the use of seclusion, physical restraint, or chemical restraint, or any combination thereof

(2) Any unexpected or suspicious death, regardless of whether the cause is immediately known.

(3) Any allegation of sexual assault, as defined in Section 15610.63, in which the alleged perpetrator is a staff member, service provider or facility employee or contractor.

(4) Any report made to the local law enforcement agency in the jurisdiction in which the facility is located that involves physical abuse, as defined in Section 15610.63, in which a staff member, service provider or facility employee or contractor is implicated.

~~(start delete) to the agency designated pursuant to subdivision (i) of Section 4900 (end delete)~~

(5) The reports required in Sections (1)-(4) shall be made no later than the close of the business day following the following the death or serious injury. The report shall include the encrypted identifier of the person involved, and the name, street address, and telephone number of the facility.

(c) On a monthly basis all regional center vendors that provide residential services or supported living services, long-term health care facilities, and acute psychiatric hospitals shall report the following to the agency designated pursuant to subdivision (i) of Section 4900 the following:

(1) The number of incidents of seclusion and the duration of time spent per incident in seclusion;

(2) The number of incidents of the use of behavioral restraints and the duration of time spent per incident of restraint; and

(3) The number of times an involuntary emergency medication is used to control behavior.

(4) The reports required in sections (1)-(3) shall include the name, street address and telephone number of the facility.

Amendments to Ensure Access to Personal Care Services for Individuals Living in Supported Living Arrangements.

Amend WIC 4689

(f) The planning team, established pursuant to subdivision (j) of Section 4512, for a consumer receiving supported living services shall confirm that all appropriate and available sources of natural and generic supports have been utilized to the fullest extent possible for that consumer. The consumer's individual program planning team shall review and determine if the supportive services provided by the IHSS program are appropriate to meet the consumer's needs. In making that determination the individual program planning team shall consider the nature or extent of the consumer's disability, the need for staff continuity and the need for supportive services staff with a higher level of skill, training or expertise. If the planning team determines that IHSS services are not appropriate, the consumer shall not be required to utilize those services notwithstanding the requirements of sections 4659 and 4689.05.

Amendments to Ensure Access to Appropriate Medical or Dental Care without the Necessity of Pursuing a Medi-Cal Appeal

Amend to WIC Section 4659(d)

(d) (1) Effective July 1, 2009, notwithstanding any other law or regulation, a regional center shall not purchase medical or dental services for a consumer three years of age or older unless the regional center is provided with documentation of a Medi-Cal, private insurance, or a health care service plan denial (start delete) ~~and the regional center determines that an appeal by the consumer or family of the denial does not have merit. If, on July 1, 2009, a regional center is purchasing the service as part of a consumer's IPP, this provision shall take effect on August 1, 2009 (end delete).~~ Regional centers may pay for medical or dental services during the following periods:

(A) While coverage is being pursued, but before a denial is made.

~~(Start delete) (B) Pending a final administrative decision on the administrative appeal if the family has provided to the regional center a verification that an administrative appeal is being pursued. (end delete)~~

(C) Until the commencement of services by Medi-Cal, private insurance, or a health care service plan.

(2) When necessary, the consumer or family may receive assistance from the regional center, the Clients' Rights Advocate funded by the department, or the state council in pursuing these (start delete) ~~appeals~~ (end delete) denials.

EXECUTIVE COMMITTEE



DRAFT

**Executive Committee Meeting Minutes
April 5, 2016**

Attending Members

Ning Yang (SA)
April Lopez (FA)
Charles Nutt (SA)
Janelle Lewis (FA)
David Forderer (SA)
Sandra Smith (FA)

Members Absent

Others Attending

Aaron Carruthers
Gabriel Rogin
Lynn Cach
Vicki Smith
Jackie Nguyen (FA)
Natalie Bocanegra
Sandra Aldana (SA)

1. CALL TO ORDER

Committee Chair Ning Yang (SA) called the meeting to order at 9:33 a.m.

2. ESTABLISH QUORUM

A quorum was established.

3. WELCOME/INTRODUCTIONS

Committee members and others introduced themselves.

4. APPROVAL OF THE FEBRUARY 9, 2016 MEETING MINUTES

It was moved/seconded (Lewis [FA]/Forderer [SA]) and carried to approve the minutes as amended. (For: Yang [SA], Smith [FA], Lewis [FA], Nutt [SA], Lopez [FA], Forderer [SA])

Amendment made to the February 9, 2016 Meeting Minutes: Change Charles Harmon-Nutt to Charles Nutt.

5. PUBLIC COMMENTS

No public comments.

6. APPROVE GRANT CYCLE 39 REGIONAL GOAL AREAS

Deputy Director (A) Vicki Smith reviewed the Cycle 39 grant timelines and focus areas selected by regional offices. The below items were discussed.

- The RFP has been revised to include SPC priorities (e.g. innovation)
- The RFP was posted on April 15, 2016
- The proposals are due to SCDD headquarters on May 31, 2016
- The Council will review staff recommendations during the July, 2016 meeting
- The projects are scheduled to start on October 1, 2016
- Regional focus areas are based on State Plan goals
- All focus areas selected by regional offices are within the top 4 areas of need identified by our recent State Plan Surveys

It was moved/seconded (Nutt [SA]/Lopez [FA]) and carried to approve the grant focus areas selected by the regional offices. (For: Yang [SA], Smith [FA], Lewis [FA], Nutt [SA], Lopez [FA]) Committee member Forderer (SA) was not present for the vote.

7. EXECUTIVE DIRECTOR EVALUATION PROCESS AND UPDATE

Committee Chair Yang (SA) changed the order of the day. Agenda Item 13: Executive Director Evaluation was moved without objection. Legal Counsel Natalie Bocanegra presented the Executive Director Evaluation process, which will be distributed at the July Council meeting.

It was moved/seconded (Lewis)(FA)/(Forderer)(SA) and carried to add the supplemental question, "What accomplishment over the past year do you consider most significant?" to the 2016 Executive Director Evaluation. (For: Yang [SA], Smith [FA], Lewis [FA], Nutt [SA], Lopez [FA], Forderer [SA].

8. 2017-21 STATE PLAN OBJECTIVE REVISIONS

Committee member Sandra Smith (FA) reported that there have been some minor changes to the proposed State Plan objectives, based on feedback SCDD received from ITACC. Deputy Director Vicki Smith provided the following information to the Committee on behalf of Committee member Sandra Smith (FA).

Goal 1, Objective 2 was modified to ensure that it clearly identified language to meet the following federal requirements:

- 1) Strengthen for the direct funding of a statewide self-advocacy organization
- 2) Provide opportunities for leaders with developmental disabilities to provide leadership training to other people with developmental disabilities who may become leaders
- 3) Provide opportunities for people with DD to participate in cross-disability leadership coalitions

Goal 6, Objective 1 was also modified to become more targeted and now includes a specific underserved population, the specific disparity that will be addressed, and the activities that will be conducted to remove barriers for that population.

It was also noted that the rest of the objectives have already been approved by the State Plan Committee and that Councilmember Robin Hansen previously expressed concerns about the limited scope of Objective 6.1. However, it was pointed out that 6.1 is a targeted 1-year goal.

Staff will let Councilmember Hansen know that these concerns were addressed.

Additionally, the public comment period remains open and no substantive changes have been necessary based on the comments received so far.

It was moved/seconded (Nutt [SA]/Smith [FA]) and carried to approve the changes to the State Plan Objectives 1.2 and 6.1. (For: Yang [SA], Smith [FA], Nutt [SA], Forderer [SA]). Committee members Lewis (FA) and Lopez (FA) were no longer present at the meeting.

9. LPPC RECOMMENDATIONS

No action taken. This topic will be discussed at the May, 2016 Council meeting.

10. **SCDD FY 2015-16 BUDGET PROJECTIONS**

Budget Officer Lynn Cach presented the FY 2016-17 Budget Projections. Ms. Cach stated that the current deficit is slightly lower than previously because we've finished paying out lump sum payments to staff.

Executive Director Carruthers reported that the Council is in good financial standing with sufficient cash flow.

11. **SCDD FY 2016-17 PROPOSED BUDGET**

Budget Officer Lynn Cach presented the revised proposed FY 2016-17 proposed budget to the Committee. She stated that the budget is based on the dollars that we have actually received and it includes the 10 structural deficit recommendations. Ms. Cach also pointed out that the line items with no dollars available indicate the areas that SCDD is not currently spending any money. The next step in the budget approval process will be to take the proposed budget will be to the Council in May.

12. **AIDD FUNDING FORMULA**

Director Carruthers reported that no new information was available and that AIDD is in the process of updating population data from 2014 to 2015. Director Carruthers further reported that the support letter to AIDD indicating that the formula should be fair, clear and transparent was sent immediately following the March Council meeting. The UCEDDs, DRC and other partners also wrote letters of support.

13. **CLOSED SESSION**

This item was tabled.

14. **ESTABLISH MAY COUNCIL AGENDA**

Based on the 2016 Council Planning Calendar and Committee input, May Council agenda items include:

- A focus on employment
- The final draft of the 2016-17 SCDD budget
- Legislative Updates
- An update on the process of reimbursements for travel of Council Members
- Final goals of the State Plan

15. **ADJOURN**

Chairperson Ying Yang adjourned the meeting at 10:16 a.m.

STATE COUNCIL ON DEVELOPMENTAL DISABILITIES
 FY 2015-16 EXPENDITURES

Based on Expenditures through March 2016

Funding Source 2015-2016 Grants and Contracts	Annual Budget Total
Basic State Grant (BSG)	\$6,543,380
Quality Assurance/Assessment	\$2,636,110
Client's Rights Advocates/ Volunteer Advocacy Coordinator's	\$1,773,000
Combined Total:	\$10,952,490

Projected Year-End Budget	Through March
BSG Current Staffing	(\$349,773)

Council Report
Expenditures through
March-16

FEDERAL GRANT (BSG)

Based on Federal Fiscal Year

	Annual Grant Award	Monthly Expenditure	Year-To-Date Expenditure	Balance	YTD Surplus/Deficit
Personal Services & Benefits	\$ 4,841,674	\$ 361,478	\$ 2,474,013	\$ 2,367,661	\$ -371,911
Operating Expenses	\$ 1,701,706	\$ 198,075	\$ 816,568	\$ 885,138	\$ 22,138
Grants / Special Items	\$ -	\$ -	\$ -	\$ -	\$ -
Total	\$ 6,543,380	\$ 559,553	\$ 3,290,581	\$ 3,252,799	\$ -349,773

QUALITY ASSESSMENT (QA)

Based on State Fiscal Year

	Annual Budget	Monthly Expenditure	Year-To-Date Expenditure	Balance
Personal Services & Benefits	\$ 1,743,876	\$ 143,565	\$ 1,243,650	\$ 500,226
Operating Expenses	\$ 892,234	\$ 49,486	\$ 468,189	\$ 424,045
Total	\$ 2,636,110	\$ 193,051	\$ 1,711,839	\$ 924,271

CRA/VAS

Based on State Fiscal Year

	Annual Budget	Monthly Expenditure	Year-To-Date Expenditure	Balance
Personal Services & Benefits	\$ 1,215,055	\$ 94,332	\$ 868,204	\$ 346,851
Operating Expenses	\$ 557,945	\$ 24,574	\$ 162,335	\$ 395,610
Total	\$ 1,773,000	\$ 118,906	\$ 1,030,539	\$ 742,461

ADMINISTRATIVE COMMITTEE

DRAFT
Administrative Committee Meeting Minutes
April 4, 2016

Attending Members

Charles Nutt (SA)
Eric Gelber
Ning Yang (SA)
Sandra Smith (FA)
Max Duley (FA)

Members Absent

Kris Kent

Others Attending

Aaron Carruthers
Lynn Cach
Gabriel Rogin

1. **Call to Order**

Committee Chair Charles Nutt (SA) called the meeting to order at 1:35 p.m.

2. **Establishment of Quorum**

A quorum was present.

3. **Welcome and Introductions**

Members and others introduced themselves.

4. **Public Comments**

None

5. **Approval of the January 26, 2016 Minutes**

It was moved/seconded (Smith [FA]/Yang [SA]) and carried to approve the January 26, 2016 minutes as presented. (For: Smith [FA], Yang [SA], Nutt [SA], Gelber. Abstain: Duley [FA])

6. **Monthly Budget Projections**

Executive Director Aaron Carruthers provided an overview of the monthly budget projections through February, 2016. He stated that we are still projecting a significant deficit in our federal grant (BSG). However, he noted that the federal fiscal year continues through September so there is still time to reduce the deficit.

7. **FY 2016-2017 Proposed Budget**

Budget Officer Lynn Cach presented the 2016-17 proposed budget. She stated that the budget reflects implementation of the structural deficit recommendations and an increase of \$79,000 from AIDD.

Legend:
SA = Self-Advocate
FA = Family Advocate

Director Carruthers stated that it represents the Council spending the dollars that we receive. Committee Chair Nutt asked if SCDD is still “in the red” this year. Mr. Carruthers responded that we are but we won’t be next year.

Ms. Cach added that we won’t be “in the red” assuming that we meet our targets. She noted that we actually spend more on travel than is reflected in the proposed budget. The amount listed for travel is a target. Ms. Cach also noted the co-location of SCDD Headquarters with the Sacramento Regional Office is not reflected in the proposed budget, as it has not happened yet. The consolidation of the Central Coast Office is included.

Director Carruthers noted that this proposed budget will go to the full Council for approval.

8. **AIDD Funding Formula**

Director Carruthers stated that AIDD’s previous formula was created decades ago. The Council submitted comments on the planned changes to the formula. No one can remember how the current formula was devised. The new formula is clear and transparent. Based on the new formula, California would receive a 5.8% increase. We had hoped to receive confirmation of the new formula by April 1, 2016 but it hasn’t happened yet.

The potential increase for California from the new formula confirms that AIDD has been underfunding California. DRC also thinks that the new formula is fair – it will impact them too. DRC and other stakeholders also submitted letters of support.

Ms. Cach stated that AIDD is updating their population data from 2014 to 2015 before the release the formula. Director Carruthers noted that 60% of the new formula is based on population data.

9. **Allocations based on the new formula**

To be discussed at the next full Council meeting.

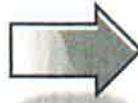
10. **Council Member Reimbursement Process**

Gabriel Rogin, SCDD's Acting Chief Deputy Director, updated the committee on the SCDD's reimbursement process for Council Members. Mr. Rogin suggested that all Council Members would benefit from an overview of the process. The Committee agreed and suggested that Mr. Rogin provide an update at a full Council meeting.

11. **Adjournment**

The meeting was adjourned at 2:11 p.m.

**STATEWIDE
SELF-ADVOCACY
NETWORK REPORT**



Report from SSAN Meeting to SCDD by David Forderer
Highlights from the March 2-3, 2016 SSAN Meeting in Sacramento

SSAN Members voted to move forward with the MOU process choosing, Option # 3 - SSAN will be a Cross Disability Training Network. SSAN will expand by inviting other Disability Organizations to join the Network.

Members received 3 trainings:

The representative from the SCDD San Diego Imperial Office provided an excellent presentation on Strengthening Self Advocacy Presentation. This was produced by San Diego People First with funding from a PADSA grant. (PADSA is a Project of National Significance.)

Members learned more about customized employment from Carole Watilo, Executive Director of Progressive Employment Concepts and learned about reasonable accommodations on the job. You can learn more about the Job Accommodation Network (JAN) by looking on the Department of Labor website for the Office of Disability Employment Policy – ODEP dot.gov

The representative from the SCDD Bay Area Office presented on transition and Future Goals for Young Adults.

Members voted to use the Monthly Activity Log developed by Paul with their member reports.

Members were invited to contact Ted Jackson, Community Organizing Director; California Foundation For Independent Living Centers is looking for testers for a new online voting ballot. Members emailed Ted directly if they were interested in helping out. ted@cfilc.org

Members received a Legislative Update from Bob, Giovati and SSAN members made a motion to discuss how SSAN can help the State Council with assuring that individuals leaving

developmental centers can be in a safe, supportive environment that will ensure their safety and success in the community at the next SSAN meeting.

For the next meeting members will be asked to provide the following with their member report:

- Tell a unique thing about the area they you represent
- Bring 3 new resources for SSAN's resource binder
- Reach out to agencies that they have not had contact with before and be prepared to provide a brief summary of what the agency does
- Members were reminded that the goal is to build bridges that strengthen advocacy among disability communities

EXECUTIVE DIRECTOR EVALUATION

COUNCIL AGENDA ITEM DETAIL SHEET

ISSUE: EXECUTIVE DIRECTOR EVALUATION UPDATE

BACKGROUND: In accordance with the federal Developmental Disabilities Assistance and Bill of Rights Act (Federal DD Act), the California State Council on Developmental Disabilities (Council) is required to evaluate the performance of the Council's Executive Director on an annual basis.

To meet this requirement, the Council previously approved an evaluation tool to assess Executive Director performance. (See Minutes of March 16, 2011, Council Meeting.) At its October 2015 meeting, the Executive Committee approved the 2016 timeline utilizing the Executive Director evaluation tool and requested that staff develop one or more supplemental questions that would allow for information on the Executive Director's accomplishments to be shared with the Committee and Council. At the April 2016 Executive Committee meeting, the Committee approved a supplemental question to be posed to the Executive Director.

ANALYSIS/DISCUSSION: The Council is being updated with the 2016 timeline and supplemental question.

COUNCIL STRATEGIC PLAN OBJECTIVE: N/A

PRIOR COUNCIL ACTIVITY: 2011 Council approval of current evaluation process. On April 14, 2015, Executive Committee action to: 1) direct that evaluation be initiated; 2) ratify utilization of Council's evaluation tool; 3) approve proposed timeline; and 4) assign the Council Personnel Officer to support Council Chair as Evaluation Coordinator. At its October 2015 meeting, the Executive Committee approved the 2016 timeline and requested supplemental question(s) so information on the Executive Director's accomplishments could be shared with the Committee and Council. The Executive Committee approved a supplemental question for the Executive Director in April 2016.

RECOMMENDATION(S): N/A

ATTACHMENTS(S): 2016 Evaluation Materials and Timeline

PREPARED: Legal Counsel Natalie Bocanegra, April 28, 2016



STATE COUNCIL ON DEVELOPMENTAL DISABILITIES 2016 EXECUTIVE DIRECTOR EVALUATION

I. PROCESS

The Developmental Disabilities Assistance and Bill of Rights Act of 2000 (federal DD act) requires that the Executive Director of the Council be evaluated on an annual basis.

The process for evaluating the Executive Director is:

1. The Chairperson of the Council oversees and manages the Executive Director Evaluation. He/she distributes the Performance Evaluation Form to Council members and Council staff.
2. Each Council member must complete the Performance Evaluation Form. Once completed, the form must be returned to the Chairperson.
3. Staff members will be sent Narrative Questions only. These must be returned to the Chairperson.
4. The Executive Director will complete the Performance Evaluation Form for herself/himself and answer the supplemental question: What accomplishments over the past year do you consider most significant? The Executive Director will discuss her/his self-evaluation and answers with the Chairperson.
5. Each Performance Evaluation Form is logged onto a worksheet and summarized by the Chairperson with the assistance of the Evaluation Coordinator.
6. A final summary report is produced by the Chairperson with the assistance of the Evaluation Coordinator. Legal Counsel will review materials to confirm compliance with the approved evaluation process.

7. The Chairperson with the assistance of the Evaluation Coordinator will present the final summary report along with evaluation materials, statistical data and all other information to the Executive Committee during a closed session.
8. The Executive Director Evaluation will be on the next possible Council Meeting agenda as a closed session item. Executive Committee will present the evaluation and their recommendation to the full Council during the closed session.
9. In open session, the Executive Director and Council then meet to discuss salary/bonus, etc., if applicable.
10. This information is then processed through the Council Personnel Department.

II. INSTRUCTIONS

Please use the rating levels: “**N/I**” (Needs Improvement) “**A**” (Meets Standards/Acceptable), or “**O**” (Exceeds Standards/Outstanding). If you do not know about a particular area, mark **Do Not Know**.

Rating Factor	Needs Improvement (N/I)	Meets Standards Acceptable (A)	Exceeds Standards Outstanding (O)
Work quantity	Executive Director does not produce enough work.	Executive Director produces the proper amount of work.	Executive Director produces a lot of work.
Work Quality	Executive Director does not have work skills.	Executive Director has the work skills and works accurately.	Executive Director’s work is always accurate and orderly and works with superior skill.

III. EXECUTIVE DIRECTOR EVALUATION

ASSISTANCE TO COUNCIL MEMBERS	Don't Know	Needs Improvement	Acceptable	Outstanding
Assists the Council in scheduling, planning and preparing for Council and committee meetings.				
Assists the Council in the development of agency policy, organizational goals, objectives and budgets.				
Assists the Council in the development of policy decisions regarding issues that affect the rights and interests of persons with disabilities.				
Assists the Council in making sure that the Council follows all federal and state laws and regulations, including providing and interpreting information.				
Assists the Council in the development of the goals and objectives of the Council's 5 year state and strategic plan.				
Provides regular reports to the Council on the state and strategic plan and emerging issues and provides recommendations.				

PERSONNEL	Don't Know	Needs Improvement	Acceptable	Outstanding
Assures that staff are supervised and coordinated effectively in order to carry out all of the Council's goals and objectives.				
BUDGET				
Develops, implements and manages the Council approved budget.				
Ensures that budget is legal and uses acceptable accounting and fiscal management practices.				
Assures that the Council receives budget information.				
Makes contracts on behalf of the Council consistent with approved goals, objectives, plans and budget actions.				

PUBLIC AND AGENCY LIAISON	Don't Know	Needs Improvement	Acceptable	Outstanding
Maintains effective relationships between the Council, the federal Administration on Developmental Disabilities and State funding agencies.				
Maintains effective relationships and works with other advocacy organizations with similar goals and objectives.				
Maintains effective relationship and liaison with the National Association of Councils on Developmental Disabilities (NACDD).				
ADAPTABILITY RATING				
Able to assume a variety of roles and responsibilities related to the position and perform with required knowledge/skills.				
Able to respond well to changing job requirements and work conditions, including unanticipated/exceptional administrative and/or programmatic events.				

EXPRESSION	Don't Know	Needs Improvement	Acceptable	Outstanding
Able to clearly/concisely convey information (e.g., interpreting regulations, presenting reports, articulating needs/priorities, giving instructions) orally and in writing.				
Able to organize coherent presentations and effectively highlight/summarize key points and issues.				
INTERPERSONAL RELATIONS				
Demonstrates sensitivity and good judgment.				
Is helpful and friendly.				
Resolves conflicts in an objective manner.				

IV. NARRATIVE QUESTIONS

1. What impressed you the most about the Executive Director's performance this year?

EXECUTIVE DIRECTOR EVALUATION 2016 TIMELINE

June 15, 2016

Evaluation form will be sent out to evaluators with a return date of July 15, 2015, for submission to the Chair or Evaluation Coordinator, as appropriate.

August 2016

The Chairperson with the assistance of the Evaluation Coordinator will present the evaluation materials, statistical data and all information to the Executive Committee during a closed session.

September 2016

Executive Committee will present the evaluation and their recommendation to the full Council during a closed session.